



# CHAPMAN UNIVERSITY

## **Drug-Free Schools and Campuses Regulations [Edgar Part 86] Biennial Review: Academic Years 2016-2017 & 2017-2018**

### Committee

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Dr. Nina LeNoir, Vice Provost of Undergraduate Education

Dr. Christopher Hutchison, Assistant Dean of Students and Director of Student  
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Dr. Dani Smith, Director PEER and Health Education

Randy Burba, Chief, Public Safety

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## **Introduction**

In order to receive federal funding or other financial assistance, institutions of higher education are required by the Drug Free Schools and Campuses Act (DFSCA) to certify that they have adopted and are implementing a program to prevent the unlawful possession, use, and distribution of alcohol and illegal drugs on their campuses and as part of their activities. In compliance with the DFSCA, Chapman University conducted a biennial review of its alcohol and drug programs and services. The Vice President for Student Affairs and Dean of Students, or designee, shall chair a Committee of University employees to perform the biennial review in even years. The Committee shall be comprised of, at a minimum, a representative from the Dean of Students Office, the Director of PEER and Health Education, a representative from the faculty, and a representative from Human Resources.

## **Biennial Review Process**

This biennial review covers academic years 2016-2017 and 2017-2018. The Biennial Review Committee was convened in August 2018 and adjourned in December 2018. Data for the biennial review was collected by the Committee chair, with the assistance of Committee members, and reviewed by the entire Committee. Data on student conduct code violations and sanctions were supplied by the Director of Student Conduct and data on Human Resources policy violations were provided by Human Resources. Committee members and their campus titles are available on the cover page.

The Biennial Review Committee shall be comprised of one representative, at a minimum, from the following areas: the Dean of Students Office, PEER Education, Residence Life and First Year Experience, Athletics, Student Engagement, Human Resources, the Provost's Office, Public Safety, and the Office of Institutional Compliance. The committee shall be chaired by the Vice President for Student Affairs or their designee. After the report has been drafted by the chair and approved by the Committee, it shall be reviewed and approved by the Vice President for Student Affairs and Dean of Students and the Chief Operating Officer.

The biennial reviews are kept in the office of the Vice President for Student Affairs and Dean of Students. Any requests for copies of the current or previous biennial reviews can be made to this office. Biennial reviews are kept on file and are available for review for three years after the fiscal year it was created pursuant to 34 C.F.R. § 86.103.

The Biennial Review Committee did not meet in between the completion of one review and the start of another. However, to better track and meet the goals set forth in this document, the Committee will meet more regularly than during the summer/fall semesters in which the review is completed. A meeting of the entire Committee will be called at least once a semester, and once during the summer, in odd academic years. Additionally, the entire Committee will meet at least once in the semester following the review.

## **Annual Policy Notification Process**

In September 2014 and September 2015, students were notified of the Student Conduct Code policies about alcohol and other drugs through the Dean of Student's weekly announcements. In 2014, students also received the annual Drug Free Schools and Campuses Act notification via their university email addresses. The University did not send this notification in 2015. In 2014 and 2015, students were also notified about the University's alcohol program via the Annual Security Report, which all current University students, graduate and undergraduate students receive via email annually.

Employees were notified of the University's alcohol and drug program via the staff and faculty handbooks upon their employment and via the University's Annual Security Report, which employees receive via email annually.

Policies are available on the University's website for the respective groups to access as appropriate.

The University maintains recordkeeping requirements that include keeping a copy of the biennial review and other compliance documents for three years after the fiscal year in which the record was created pursuant to 34 C.F.R. § 86.103.

## **Alcohol and Other Drug Trend Data**

The University does not currently participate in any assessments or surveys about student's alcohol and other drug consumption. As such, there are no data to report. *(See goals and objectives for the next biennium.)*

## **Alcohol and Other Drug Policies**

Policies which are applicable to this report are included in the appendices. Links are included below, but some may only be accessible behind the University firewall. Publicly accessible policies within the documents listed below are included as appendices.

- [Staff Handbook](#)
- [Faculty Manual](#)
- [Student Conduct Code](#)
  - [Alcohol Policy](#)
  - [Illegal Substances Policy](#)
  - [Medical Amnesty Policy](#)
  - [Good Samaritan Policy](#)
- [Student Sexual Misconduct Policy](#)
  - [Sexual Misconduct Amnesty Policy](#)
- [Smoke Free Campus Policy](#)
- [Athletic Department Alcohol and Drug Policy](#)
- [Student Organization on Campus Events with Alcohol Policy](#)

The administration of employee policies is overseen by Human Resources in consultation with the appropriate administrative office. The administration of the Student Conduct Code is overseen by the Vice President for Student Affairs and Dean of Students. The Athletic Department administers the Athletic Department policy, although alleged violations of the Student Conduct Code are also subject to adjudication by Student Affairs.

## Alcohol and Other Drug Policy Enforcement

There were no alcohol- or drug-related fatalities reported at Chapman University or at Chapman University related events during the time period of this biennial review.

### *Student Policy Violations and Sanctions*

The Student Conduct Code is enforced for both on- and off-campus student behavior. It is the general practice of the University to defer a student's first and second violation of the alcohol policy, as long as the incident did not involve harm to another person, property, failure to comply or abusive behavior directed at another individual. It is also the general practice of the University to defer a student's first violation of the illegal substances policy if the incident involved only marijuana and also met the aforementioned criteria. A found violation means that a student was found responsible for violating the policy; a deferred violation means that a student is responsible for a violation, but that violation will be held in abeyance as long as no other violations of the conduct code occur.

2016-2017

<b>Policy</b>	<b>Found Violations</b>	<b>Deferred Violations</b>
Alcoholic Beverages	29	198
Illegal Substances	4	13

2017-2018

<b>Policy</b>	<b>Found Violations</b>	<b>Deferred Violations</b>
Alcoholic Beverages	99	422
Illegal Substances	16	47

After the 2016-2017 academic year, staff in Student Conduct reviewed the small number of violations, and as it seemed not proportionate to the alleged violations and historical tendencies, expanded training for the resident director staff (who hear much of cases). Because of the increased training on the student conduct process and the emphasis on correctly entering information into the student conduct database, the found violations increased during the 2017-2018 academic year.

<b>Total Number of Incidents</b>	<b>Number of Students with Multiple Incidents in 2016-2017</b>	<b>Number of Students with Multiple Incidents in 2017-2018</b>
2	45	87
3	8	20
4	3	12

Students who are found responsible for violating the alcohol or illegal substances policies may be sanctioned. Sanctions can include a change in student status (ranging from formal warning to expulsion) or may be educational in nature. Students may receive a status sanction and an educational sanction(s). Students are required to complete the sanction as assigned. Students who fail to complete the assigned sanction will have a dean's hold placed on their account, which will prevent them from adding courses or receiving transcripts from the University. The Director of Student Conduct, or designee, reviews incomplete sanctions on at least a monthly basis to place holds. Staff who review sanctions are trained on consistently enforcing them.

2016-2017

<b><u>Sanction</u></b>	<b><u>Assigned Frequency</u></b>
Suspension	1
Deferred Suspension	3
Probation with Loss of Privileges	0
Probation	2
Conduct Review	8
Formal Warning	5
Involuntary Housing Reassignment	1
Write reflection/research paper	8
Attend Anger Management Session(s)	0
Review a Video/DVD	0
Restitution	0
Read a Book related to Alcohol and/or Other Drugs	0
Participate in Choicepoints Program	3
Post-Choicepoints Program Reflection Activity	1
Meet with a University Official	12
Letter of Apology	1
Create and present an educational PowerPoint Presentation	0
Create an Educational Brochure	0
Educational Sanction—Other	14

2017-2018

<b><u>Sanction</u></b>	<b><u>Assigned Frequency</u></b>
Suspension	2
Deferred Suspension	0
Probation with Loss of Privileges	0
Probation	6
Conduct Review	18
Formal Warning	5
No-Trespassing Order for Residential Facilities	0
Other Change in Status	0

<b><u>Sanction</u></b>	<b><u>Assigned Frequency</u></b>
Write reflection/research paper	58
Review a Video/DVD	3
Participate in Choicepoints Program	7
Post-Choicepoints Reflection Activity	3
Meet with a University Official	18
Letter of Apology	0
Educational Sanction—Other	23
Create an Educational Brochure	5
Facilitate a Program	1

*Employee Policy Violations and Sanctions*

For 2016-2017 and 2017-2018, Human Resources reported no employee violations of the University's alcohol policy.

**Alcohol and Other Drug Prevention/Intervention Programs**

During the 2016-2017 and 2017-2018 academic years, the Office of PEER and Health Education continued to emphasize healthy and wise decision-making for students, focusing on alcohol and other drug prevention education programming and sexual assault prevention with presentations conducted for new and transfer undergraduate students, fraternity and sorority members, classes, and individual students. Several training programs were designed and presented for campus groups and academic classes throughout the year covering physical, social, psychological wellness. Passive educational efforts continue with information available to all Chapman University community members.

Some of the programs offered by PEER and Health Education include:

*Healthy Panther Initiative*

In-house, integrated health education orientation strategy. Strong emphasis on the dangers of excessive alcohol consumption, sexual misconduct. (See Appendix E for information on the effectiveness of Healthy Panther.)

*Customized Programs*

PEER and Health Education provides programs customized to specific student organization or group needs. Some of the groups who have hosted such programs include men's and women's athletic teams, fraternities, and sororities. While the content is customized as appropriate for each group, the programs review healthy and responsible decision-making about one's alcohol and drug use.

*Mocktails*

Mocktails is a passive alcohol education program offered during Orientation for new students and their families that provides information on the importance of families to continually discuss alcohol and other drug use with their students.



### *Choicepoints*

An individualized program for students who are sanctioned or voluntarily connect with Dr. Smith. During the program, she reviews a student's risk for alcohol and other drug abuse and provides skills and strategies to reduce consumption.

### *Passive Programming*

Passive marketing campaigns include brochures available to all around reducing alcohol consumption, smoking cessation, and healthy strategies for stress management (to be used in lieu of alcohol or other drug consumption).

See Appendix F for information on program attendance.

Some of the programs offered by other departments include:

### *Student Concern Intervention Team (SCIT)*

The goal of SCIT is to create a network of care and support for students with concerns that emphasizes early intervention and academic success/progress for students dealing with difficulties and to connect students with appropriate resources or individuals that may help address their needs. The difficulties students may be experiencing could be academic, social, relationship-based (family, romantic, friends, etc.), psychological, substance abuse, financial, or behavioral. SCIT assesses student behaviors of concern in the community and develop appropriate intervention plans. Any community member concerned about a student can notify SCIT by filling out the [Student Concern Informational Report](#).

### *Operation Safe Ride*

Students, faculty, staff and visitors can utilize this free escort service. Operation Safe Ride operates between 7:00 PM to 12:00 AM (PDT) and 5:00 PM to 12:00 AM (PST) during the fall and spring semesters. On Thursday, Friday, and Saturday nights, the hours are extended to 2:30 AM. The designated Safe Ride drivers are in constant radio contact with Public Safety. If a student needs an escort and it is after the scheduled hours, students can still call Public Safety at (714) 997-6763 to receive an escort. Additional information on Operation Safe Ride can be obtained on the [Operation Safe Ride website](#).

### *Rape Awareness Defense (R.A.D.)*

RAD is a unique crime prevention program just for women that focuses on women's safety and self-defense techniques. To schedule a RAD training seminar for a group, campus community members are encouraged to [email Sergeant Josephine Wright](#) or Public Safety at (714) 997-6763 or register for other Public Safety training sessions [with their online scheduler](#).

### *Student Athlete Programming*

All Chapman University student-athletes are required to attend annual compliance and education meetings to discuss the Athletics Department's drug and alcohol policy. Each athlete is required to sign NCAA consent to drug testing and understand the consequences if they were to test positive for an NCAA banned substance. Each team is also required to draft their own team drug and alcohol policy with clearly defined

rules and consequences, signed by each team member prior to their first date of competition. Finally, the mandatory fall educational programming for student-athletes has partnered with the Healthy Panther Initiative and Dr. Dani Smith addressing drugs, alcohol and sexual violence. There is also a mandatory spring educational program.

*Alcohol and Other Drug Free Programming*

Various campus departments/organizations including University Program Board, Student Engagement, and Residence Life and First Year Experience frequently offer substance-free programs to provide students with entertainment opportunities that do not center around alcohol or other drug consumption.

*Life Assistance Program*

Employees receive automatic enrollment in Cigna's Life Assistance Program as part of their benefits package. The program offers confidential assistance, information and resources, including access to in-person behavioral health assistance, telephonic counseling, and online tools.

*Mandatory Alcohol and Drug Assessments for Students*

At times, student behavior results in required alcohol and drug screenings through the University's Student Psychological Counseling Services and may result in mandated ongoing counseling.

*Program Assessment Method*

When assessing the programming, the Committee reviewed the above programs using the National Institute on [Alcohol Abuse and Alcoholism's CollegeAIM](#) guide. The majority of the program efforts fell into the IND-7 (skills training, alcohol focus), IND-11 (skills training, alcohol plus general life skills), IND-1 (information/knowledge/education alone), which are shown by the CollegeAIM guide to either be not effective or for there to be too little research to rate effectiveness. A previous study of the Healthy Panther program, demonstrated effectiveness in reducing student's risky behavior as it relates to alcohol and/or drug use, but the study has not been recently repeated. Additionally, individual student meetings with Dr. Dani Smith would fall into a higher effectiveness level. Dr. Smith meets with students who are sanctioned to meet with her, but also with those who seek out her assistance.

Environmental-level strategies in use at the University (including the establishment of an amnesty policy, enacting noisy assembly laws, and implementing a safe-ride program) have not been researched enough to rate their effectiveness.

**Review of Alcohol and Other Drug Program Goals**

The goals and objectives for the current biennium are listed below along with a status update.

<b>2016 Recommendation</b>	<b>Status</b>
Enhance distribution for the DFSCA annual notification to ensure all	Since the Fall of 2016, the DFSCA annual notification has been sent to the campus in conjunction with

2016 Recommendation	Status
<p>employees and students receive this notification.</p> <ul style="list-style-type: none"> <li>○ Distribution for the DFSCA annual notification should be sent with the annual security report via email to ensure delivery for all University and employees and students. (Implemented in September 2016.)</li> <li>○ Human Resources should distribute the DFSCA annual notification to all employees who are hired after the distribution email is sent.</li> <li>○ The Vice President for Student Affairs and Dean of Students office should send the notification to all new students at the start of spring academic term.</li> </ul>	<p>the Annual Security Report and is therefore received by all employees and students by October 1 of every semester. Since February 2017, all newly enrolled undergraduate and graduate students receive an email with the annual notification during the first weeks of their first semester/trimester at Chapman University.</p> <p>Human Resources will begin sending all new employees (excluding student employees) a link to the DFSCA annual notification and the Annual Security report in October 2018 as part of the new employee checklist.</p>
<p>Research and participate in a survey of students' alcohol and drug use such as the ACHA-National Collegiate Health Assessment or the Core Institute Alcohol and Drug Survey.</p>	<p>Current Status: Staff members in the Dean of Students office submitted an application to the Institutional Review Board (IRB) to administer the <a href="#">Core Institute Alcohol and Drug Survey</a> in Spring 2018, but was unable to rectify the concerns that the IRB had about the survey's administration in conjunction with Southern Illinois University. In the summer of 2018, Dr. Dani Smith, Director of Peer and Health Education, volunteered to help coordinate the IRB process with the intent of administering the survey in the 2018-2019 academic year. The plan is to administer the <a href="#">long form version of the</a> survey to students in even academic years and to administer the <a href="#">faculty and staff survey on their perceptions of drug and alcohol use on campuses</a> in alternating years.</p>

<b>2016 Recommendation</b>	<b>Status</b>
Review University policies, including student organization risk management policies, surrounding student organization hosted "venue parties."	This goal was not met during the biennium.
Work with Information Systems & Technology to use the student involvement data contained within Peoplesoft (the student information management system) to track information about students who violated the alcohol and drug portions of the Student Conduct Code and their organization and/or athletic team affiliations. This information can be used to better target education programs.	Student Engagement staff, in coordination with Information Systems and Technology, was able to implement this in the summer of 2018. The Dean of Students Office was able to import this information into the student conduct database beginning in Fall 2018 and can track a student's known organization involvement at the time of incident. This will allow the Dean of Students office to track trends in student organization membership and conduct incidents.
Develop procedures to track alcohol and drug prevention education programming done by Residence Life, fraternities, and sororities.	Fraternities and sororities are required to submit information about their alcohol and drug education programming as part of their annual reports (see Appendix G) __ for a summary of information submitted as part of this biennium.
Develop an enhanced communication procedure between Public Safety and the Dean of Students office for medical calls related to drug and alcohol use.	This goal was not met during the biennium.
Create a resource webpage for students and employees where information about local resources is more readily available than the required annual Drug Free Schools and Communities notification.	This goal was not met during the biennium.
Explore creating a taskforce between the University, Orange Police, and the local office of the California Alcoholic Beverage Control to discuss collaborative opportunities between the groups to enhance enforcement of existing laws.	This goal was not met during the biennium.

**Review of Current Alcohol and Other Drug Program**

*Strengths and Opportunities*

- The University continues to offer a robust selection of substance free programming through Student Engagement, Residence Life and First Year Experience, and the University Program Board.
- The University's Safe Ride program continues to offer students a safe transportation option in lieu of drinking and driving.
- The addition of the Wellness Promotion Coordinator (in Fall 2018), in conjunction with the two-year live on requirement, provides a greater opportunity to focus alcohol and other drug programming in the first year and second year residential communities.

#### *Challenges/Threats*

- The University currently has participated in any formal assessment of student's alcohol and drug usage or students' and employees' perceptions on alcohol and drug use at the University. Having such data would allow for enhanced educational programming efforts.
- In 2018, the state of California legalized the possession and sale of marijuana for individuals who are over 21. This has led to increased accessibility for students who may not always consider the differences in state law, federal law, and university policy.
- The number of students in recovery seems to be increasing as Orange County continues to be a destination for those seeking substance abuse treatment. However, the University does not have a comprehensive strategy to support the success of students in recovery.

#### **Goals and Objectives for next Biennium**

- To better track and meet the goals set forth in this document, the Committee will meet more regularly than during the summer/fall semesters in which the review is completed. A meeting of the entire Committee will be called at least once a semester, and once during the summer, in odd academic years. Additionally, the entire Committee will meet at least once in the semester following the review.
- After completion of the CORE survey, the Committee and other appropriate stakeholders should meet to discuss the appropriate programmatic and policy responses to the results.
- Enhance programming targeted at first year students
- With the addition of the Wellness Coordinator in Residence Life, creating pre-packaged materials (such as bulletin boards) and programs related to student well-being, including information on alcohol and other drug use.
- Develop programming, focused on first year students, to build strategies on declining offers of substances, how to avoid over consumption, the BAC curve, and recognizing and intervening in alcohol emergencies.
- Enhance training for student staff in Residence Life so they are better able to identify alcohol and other drug emergencies and know when to call for medical assistance.
- Develop a standardized educational process for students who are transported for alcohol or drug intoxication.

- Develop programming for students in recovery on campus by hosting an Alcoholics Anonymous or similar meeting on campus.
- Develop a resource guide for students, staff and faculty to find current information on local mental health and addiction resources.
- Coordinate with eCHECKUPToGo to offer the alcohol and marijuana online prevention and education programs to students to provide a personalized and evidence-based strategy for students on campus.

## Drug Free Schools and Campuses Biennial Review Signature Page

I have reviewed and approved the contents of this 2018 Biennial Review.

A handwritten signature in blue ink, appearing to read "J. Price".

Dr. Jerry Price  
Vice President for Student Affairs and Dean of Students  
Signed: 12/17/2018

I have reviewed and approved the contents of this 2018 Biennial Review.

A handwritten signature in blue ink, appearing to read "Harold Hewitt Jr.".

Harold Hewitt Jr.  
Executive Vice President and Chief Operating Officer  
Signed: 12/20/2018

## Appendices

### Appendix A: Related Student Conduct Code Policies

#### Alcoholic Beverages Policy

The following behaviors are prohibited:

- a. The sale, possession, use, consumption, production, purchase, or provision of alcoholic beverages to or by any person under the age of 21.
- b. Requiring medical attention and/or engaging in disorderly or disruptive conduct due to intoxication causing University officials to respond, even if the subject is over the age of 21.
- c. Hosting events providing alcohol without first securing authorization from the Vice President and Dean of Students or designee and adhering to the guidelines provided.
- d. Possessing open containers or consuming alcoholic beverages in any outside location, at events without prior authorization, or in public areas inside University buildings including the residence halls, Davis Community Center, Masson Family Beach Club, Student Union, residence hall floor lounges, hallways, or quad areas, except with permission from the Vice President and Dean of Students or designee.
- e. Consuming alcohol in a University residence when an individual under the age of 21 is present.
- f. Being under the age of 21 and in the presence of and having knowledge of alcohol in a University residence regardless of the amount of time spent in that residence.
- g. Possessing kegs and other common source containers as well as devices designed for the rapid consumption of alcohol (e.g., beer bong, funnels); engaging in behavior (e.g., games like beer pong, flip cup) that promotes the rapid or excessive consumption of alcohol.
- h. Providing alcohol with the intent of taking advantage of another.

#### Illegal Substances Policy

The following behaviors are prohibited:

- a. Use, possession, sale, distribution and/or production of narcotic or other controlled substances (including marijuana), or acting as an accessory, liaison, or facilitator for any of the above, except as expressly permitted by law (See *Appendix 5: Alcohol and Substance Abuse Policy*). The possession or use of marijuana, even with a medical recommendation or medical license, is prohibited on University property in compliance with federal law. Any drugs and/or paraphernalia found to be in a student's possession, residence, vehicle, or assigned area will be considered to be in the possession of the student whether or not the student is the individual who purchased or furnished the drugs, paraphernalia, or handmade drug use device. Confiscated paraphernalia will not be returned to students at any time.



- b. Being in the presence of and having knowledge of an illegal substance in a University residence regardless of the amount of time spent in that residence.
- c. Illegal or improper use of prescription or over-the-counter medicines. All prescription medicines must be kept in their original bottle and must have the prescription from the doctor noted on the bottle. Prescription medicines found without their prescription attached will be confiscated until such time that the prescription can be produced. All prescription medications must be prescribed to the person in possession of the medication.
- d. Possession of drug paraphernalia, including but not limited to: water pipes, scales, needles, clips, rolling papers, bongs etc., or any device that may be associated with drug use, regardless of whether it is purchased or handmade, even as props for filming.
- e. Use of a legal substance in an improper manner (e.g. ingesting a cleaning chemical, inhaling other chemical substances for the purpose of intoxication).
- f. Providing drugs with the intent of taking advantage of another.

#### Appendix 6: Alcohol and Substance Abuse Policy

##### I. PURPOSE: DRUG-FREE SCHOOLS AND COMMUNITIES ACT

The Drug-Free Schools and Communities Act Amendments of 1989 require that institutions of higher education certify that they have adopted and implemented a drug and alcohol prevention program as a condition of receiving funding under any federal program. The Secretary of Education has, as required by the Amendments, issued regulations to enforce the law. These regulations, known as the “Drug Free Schools and Campuses”, may be found in [34 CFR 86](#).

##### II. STATEMENT OF PHILOSOPHY

Chapman University has established an alcohol use policy based on the tenet that those serving and drinking alcohol will do so legally and responsibly, with concern for others around them, and with an understanding of the social, personal and legal issues involved. It is the responsibility of persons or groups that use, possess, distribute or produce alcohol to be familiar with and abide by all laws regarding the sale and use of alcoholic beverages. Students hosting events providing alcohol must secure authorization from the Vice President and Dean of Students, or designee, and adhere to the guidelines provided. These guidelines can be found at: <http://www.chapman.edu/students/life/clubs-organizations/manage.aspx>

Student organizations are expected to follow the event planning procedures as outlined in the student organization reference guide.

#### Appendix 7: Good Samaritan and Amnesty Policies

Chapman University cares about the safety and welfare of each member of its community. At times, community members may need assistance. Sometimes students are hesitant to call assistance for fear that the student needing assistance or themselves

may be charged with university policy violations. Chapman University hopes to remove this fear by clarifying the policies to encourage students to report sexual misconduct and to seek assistance for themselves or others who need help. The Good Samaritan policy applies to students seeking help on behalf of other students and the medical amnesty policy applies to the person(s) in need of medical attention. The sexual misconduct amnesty policy applies to both any witnesses and the complainant in an incident.

### Good Samaritan Policy

The Good Samaritan policy allows the University to eliminate conduct consequences as articulated in the Student Conduct Code for students, who may be under the influence of alcohol or other substances, who make a good faith call for medical or other help on behalf of another student (See also *Appendix 5: Sexual Misconduct Policies*). This policy applies in situations involving alcohol, other drugs, interpersonal violence, and other situations considered dangerous by a reasonable individual. This means that no formal university conduct actions or sanctions will be assigned to the reporting student(s) for minor violations relating to the incident. The incident will still be documented, and educational interventions may be required as an alternative to conduct action. This policy does not protect against repeated or serious violations of the Code such as, but not limited to, abusive behavior, failure to comply, interpersonal violence, and distribution of alcohol and/or other drugs, hazing, theft, property damage, etc.

### Medical Amnesty Policy

The medical amnesty policy is a reduction in the conduct consequences for students who receive medical attention due to alcohol intoxication, alcohol poisoning, or other drug intoxication. Students who receive medical attention for alcohol and other drug intoxication will be required to complete educational interventions. This means that no formal university conduct actions or sanctions will be assigned to the student, unless the student fails to complete the assigned educational interventions. This policy shall only apply to a student's first alcohol/drug policy violation that requires medical attention, regardless of how the response was initiated. Additionally, this policy does not protect against serious violations of the Code such as, but not limited to, abusive behavior, failure to comply, interpersonal violence, and distribution of alcohol and/or other drugs, hazing, theft, property damage, etc.

### Sexual Misconduct Amnesty Policy

Chapman University strongly advocates the reporting of sexual misconduct (including sexual assault, domestic abuse and violence, dating abuse and violence, stalking, and sexual harassment). To encourage and support the reporting of such matters, it is important to note that the complainant or any witnesses will not be held accountable for violations of the Code (including alcohol or illegal substances policies) that may have occurred at the time of or as a result of the incident in question, unless the University determines that the violation was egregious, which includes, but is not limited to, an action that places the health or safety of another other person at risk or involves plagiarism, cheating, or academic dishonesty.

## Appendix B: Smoking Policy

Chapman University is committed to a philosophy of good health and a safe learning and working environment. In keeping with this philosophy, it is important that our environment reflect the University's concern for wellness.

The Chapman University campus in Orange, and the Harry and Diane Rinker Health Science Campus in Irvine have been designated as smoke free environments. Based on this designation, smoking is absolutely prohibited on both campuses and all university-owned property. This policy applies to all university faculty, staff, students and visitors. Visitors include university volunteers, contractors, vendors, prospective students and all other guests that enter onto Chapman University property.

For the purposes of this policy, smoking is defined as inhaling, exhaling, burning or carrying a lighted cigarette and other tobacco or plant products, including electronic devices, such as electronic cigarettes that deliver nicotine or other vaporized liquids. The sale or distribution of any tobacco product, including smokeless tobacco products, is also prohibited. Additionally, sponsorship of a university activity or event by a tobacco product manufacturer is prohibited unless explicitly authorized in writing by the Office of the Chancellor.

It is expected that compliance will be achieved by the thoughtfulness, civility and cooperation of all members of the campus community, including visitors. We also encourage respect and consideration of our neighboring community and their property. Please dispose of cigarette butts and related materials in appropriate receptacles. Compliance is grounded in an informed and educated campus community. Egregious infractions or ongoing and unresolved issues related to this policy will be addressed through the applicable administrative processes.

In the interest of good health, faculty and staff who are interested in smoking cessation programs are encouraged to access information through their [Cigna](#) or [Kaiser](#) health plan, and/or through the [Life Assistance Program](#).

Students interested in smoking cessation programs are encouraged to access information available through the Student Health Center.

The following links also provide information and support that may be helpful:

- [National Cancer Institute](#)
- [Orange County Health Public Health Services](#)

## Appendix C: Chapman University Athletic Department Drug and Alcohol Policy

By having the opportunity to participate in collegiate athletics, student athletes not only represent themselves, but also represent their team, the athletic department, and the entire Chapman University community. The actions of individual athletes reflect upon these various organizations. Chapman athletics promotes athletic opportunities in which student athletes can excel in competition, achieve success in sports and have fun while participating in athletics in a safe and healthy environment.

In order to represent the University in a positive manner, support the competitive abilities of athletes, and follow NCAA requirements, each team program shall create a drug and alcohol policy. This mandatory policy must adhere to the California State Law, Chapman University Student Conduct Code Policies, and NCAA Rules pertaining to drug and alcohol use.\* Athletes are encouraged to be included in the process of developing the policy. This policy shall address both in and off season issues and include consequences for failure to follow the policy. The written policy shall be submitted to the Athletic Director for approval and be communicated to the student athletes before the first competition every year. In addition to consequences regulated by the team policy, any behavior that is disruptive or detrimental to the Chapman community due to drug or alcohol use is subject to discipline through the athletic department and the institution.

Disciplinary actions may include but are not limited to attendance in a drug rehabilitation program, counseling, and suspension from athletics and Chapman University.

The team policy shall include the athletic department policy regarding team events. Team events shall include but are not limited to travel, barbeques, fund raisers, clinics, scouting, recruiting, banquets or any other function. The athletic department maintains a zero-tolerance policy on the use of alcohol or illegal drugs by any athletic department representative during team events. Students, Coaches, athletic administrators, and athletic trainers shall adhere to this policy while involved in official team activities. Violation of this policy may result in the suspension of the individual(s) involved in the violation. Recognizing that the activities of parents and fans cannot be mandated, coaches and administrators are encouraged to communicate the Chapman University athletic department policy with all individuals involved in team activities.

The athletic department also requires student athlete attendance at department-sponsored educational programs once a semester. Student athletes concerned about their own substance use or that of a teammate are encouraged to seek assistance. Campus resources include the Athletic Training Department, the Department of Peer and Health Education, Student Health Services, and athletic department staff.

Chapman University student athletes have enjoyed a long history of success not only in athletic competition, but also in many other endeavors. Student athletes are encouraged to continue this tradition by making choices that allow them to be safe, show respect for their teammates and coaches, and also reflect the University's standards of excellence.

\*These guidelines can be viewed at the following websites:

[Student Conduct Website](#)

[NCAA Website](#)

#### Appendix D: Student Organization On-Campus Events with Alcohol Policy

Any on campus event planned by a student group at which alcohol service is requested must follow this policy\*:

1. The possession, sale, use or consumption of alcoholic beverages must be in compliance with any and all applicable federal, state, county, and city laws, as well as the Chapman University Student Conduct Code.
2. All events at which alcohol is served must also include provisions of a free alternate non-alcoholic beverage and free food throughout the event (Student Conduct Code). The amount of food is to be arranged with Sodexo catering, as there must be sufficient food present in relationship to the amount of alcohol being served.
3. The service and/or consumption of alcoholic beverages is to be complementary to the event, and under no circumstance should an event have the consumption of alcohol as its primary purpose (Student Conduct Code). As a result, advertising for any event may not include the information that alcoholic beverages will be served as a primary component of marketing.
4. Only beer and wine may be served. The use of common containers (e.g. kegs) is prohibited. The amount of alcoholic beverages present at the event must be predetermined and stated on the alcohol permit application.
5. Alcohol must be procured by Sodexo. It should not be provided by the student group.
6. No alcoholic beverages may be purchased through or with university funding, including student fees (e.g. SGA or UPB funding).
7. All alcohol must be purchased by the drink from Sodexo bartenders (or their contracted designee).
8. The bartenders will be responsible for checking IDs. Whenever possible, student IDs should also be checked to verify age and identity.
9. A separate, contained area must be designated for those over 21 for alcohol consumption. No alcohol will leave this area.

10. All recruitment/rush, new member, ritual, and initiation activities associated with any student group will be non-alcoholic.

11. No event shall include or encourage "drinking games." The definition of drinking games includes but is not limited to: the rapid consumption of shots of alcohol, the practice of consuming shots equating to one's age, "beer pong," "century club," "dares" or any other activity involving the consumption of alcohol which involves duress or encouragement related to the consumption of alcohol.

12. A designated event host shall be required for any event at which alcohol is served. The host must be present to supervise during the entire event to assure compliance. The host must be at least 21 years of age and refrain from consuming alcohol before and throughout the event.

13. Public Safety Officers or additional security may be required to be present at any student event at which alcohol is served. The number of officers needed for the event will be determined by the Department of Public Safety on the basis of the anticipated attendance and the amount of alcohol served. Student groups may be responsible for covering the cost of additional officers.

14. Public Safety maintains the right to turn intoxicated students away from the event or to shut down the event early due to safety concerns.

15. In the interest of maintaining good community relations with the neighbors, the hosting group will make a good faith attempt to keep trash from the event from being left or deposited on adjacent property, sidewalks, and streets and will be responsible for cleaning up any trash within 12 hours following the event.

16. Gatherings/events shall be limited to the physical boundaries of the property of the host group. Spillover into the street or surrounding properties is prohibited.

\*Each event is considered on its own merits and content and specific additions or changes to this policy may be necessary to accommodate the variety of events occurring on campus.

## Appendix E: Dissertation Discussion Chapter Discussing the Effectiveness of the Healthy Panther Presentation

(Smith, D. [2013] Alcohol, consent, sex: Reducing college students' risky behaviors utilizing an integrated orientation model (Doctoral Dissertation).

The results of the present study suggest that an integrated health education model offering prevention information, including operationally defined prevention skills and strategies regarding alcohol abuse, sexual assault, and high-risk sexual behaviors can lead to behavior change in a college student population. These results are consistent with other findings that alcohol use is amenable to change (Testa & Livingston, 2009) and that programs that combine alcohol and sexual assault prevention are effective (Foubert & Newberry, 2006). The new findings of the current study are that students who received the integrated health education intervention also reported a decrease in risky sexual behaviors. Another finding was that the program was more effective for students planning on rushing a fraternity or sorority than it was for other students. At both pre-test and post-test, students planning to rush a fraternity or sorority reported more risky behavior around alcohol than their peers who were not planning to rush, but the magnitude of that effect was smaller at post-test than at pre-test. For risk related to the combination of alcohol and sexual assault, risk declined at post-test also. This suggests that while there was a reduction in risky behavior overall for participants in the IHEP, the reduction was even greater for students planning to affiliate with a fraternity or sorority.

A mandatory orientation program that integrates issues of alcohol, sexual assault, and safer sexual behaviors can be the first step toward community change on a college campus. If all students are required to attend the same program during orientation, students will share a common understanding of these social topics. Concepts and behaviors that are operationally defined become less ambiguous and easier for students to understand. Important concepts from the IHEP are the definitions of consent, coercion, rape, sexual assault, binge drinking, responsible consumption, and safer sexual behaviors. Students must know the resources available to provide early intervention and help to mitigate the consequences of high risk behaviors. The most distinguishing element of the IHEP is the integrated nature of the topics, including focus on their co-occurrence on campus.

There is increasing national attention on the topics of this study, including: The White House Task Force to Protect Students From Sexual Assault (2014), and the federal mandate that all institutions of higher education receiving federal funds must provide rape prevention programs (McMahon, 2010). Findings from the current study indicate that efforts to protect students from sexual assault should also include educational programming around alcohol. Moreover, such programming can protect the health of students if it also includes information about the ways that alcohol can impair one's decision making regarding safer sexual practices. Integrated strategies like the IHEP model seem to be an effective step in working toward creating safer campuses. According to the NIAAA (2012) "strong leadership from a concerned college president in combination with an involved campus community and a comprehensive program of evidence-based strategies can help address harmful student drinking" (p. 4). Part of



that comprehensive plan should be educational programming that addresses the intersecting risks related to alcohol, sexual assault, and sexual behaviors. Another contribution of this study is as an example of institutional assessment relative to these topics. The White House Task Force (2014) charges institutions to assess their campuses in terms of their effectiveness at maintaining a safe environment for students around sexual assault. The White House report references work of the CDC (2014) arguing that “Rigorous research methods, like randomized controlled trials, that examine the impact of prevention strategies on sexually violent behavior provide the strongest evidence of effectiveness” (p. 4). The IHEP assessment is not a randomized controlled trial, but it does examine the impact of prevention strategies and it statistically controls for differences between groups. In the CDC’s review of effective educational programs, they found only two that met their criteria for effectively reporting behavior change, and those two were conducted with middle-school students. The CDC argues for more comprehensive assessment that focuses on behavior change. The IHEP assessment identifies changes in student behavior before and after program intervention and also compares behavior of participants to non-participants, thus offering two strategies for determining program effectiveness.

Appendix F: Attendance for the Department of PEER and Health  
Education's Programs

<b>Program</b>	<b>2016-2017</b>	<b>2017-2018</b>
Healthy Panther	2006	2,323
Mocktails	1,200	1,700
Greek Life New Member Education	774	791
Greek Life Chapter Education	516	718
Title IX/ Alcohol Education	343	413
Study Abroad/Drug Prevention Education	608	n/a
Title IX Graduate/Law School Alcohol Prevention Education	604	520
Athlete Alcohol and Drug Prevention Education	200	323
Individual Meetings Prevention Education	17	22

Appendix G: Greek Life Alcohol Education Tracking

<b>Chapter</b>	<b>Fall 2016</b>	<b>Spring 2017</b>	<b>Fall 2017</b>	<b>Spring 2018</b>	<b>Total</b>
Alpha Phi	1	0	1	1	3
Alpha Gamma Delta	0	1	0	1	2
Delta Gamma	0	0	1	1	2
Delta Delta Delta	1	0	0	1	2
Gamma Phi Beta	1	0	1	1	3
Kappa Kappa Gamma	2	1	0	2	5
Kappa Alpha Theta	4	2	1	1	8
Pi Beta Phi	1	2	0	1	4
Alpha Delta Phi	1	1	1	1	4
Alpha Epsilon Pi	0	0	0	1	1
Beta Theta Pi	0	0	0	0	0
Delta Tau Delta	0	1	1	1	3
Delta Sigma Phi	n/a	n/a	n/a	0	0
Phi Delta Theta	1	2	0	1	4
Phi Kappa Tau	0	0	1	2	3
Phi Gamma Delta	2	1	1	0	4
Pi Kappa Alpha	0	0	0	0	0