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A Message from President Daniele C. Struppa

Every member of the Chapman University community has a stake in providing a safe environment for teaching, research, and learning, and all of us must be committed to keeping our campus and residence areas secure and crime-free. As an independent, comprehensive university, located in the center of one of the most progressive and exciting areas of California, we are proud of our tradition and enthusiastic about the opportunities it provides for our students to interact with the external community.

We are also aware of the challenges posed by such an environment, and this booklet outlines many of the steps taken by the university to ensure the safety of its students, faculty, and staff. The responsibility for crime prevention and personal safety, however, does not and cannot rest primarily with the university or our Public Safety Department; rather it rests with you and me as individuals. Each of us must take personal responsibility for our own safety, as well as for the safety of those around us.

Daniele C. Struppa President


1 This report is also available on the World Wide Web at http://www.chapman.edu/campus-services/public-safety/clery-act.aspx. It is presented in a Portable Document Format (pdf) and can be viewed by using Adobe Acrobat Reader.
Section 1 | Security Report

Important Phone Numbers & Resources
Orange Police Department: Non-emergency (714) 744-7444/Emergency dial 911
Orange Fire Department: Non-emergency (714) 633-1313/ Emergency dial 911

POLICE & FIRE (CHAPMAN GRAND)
Anaheim Police Department: Non-emergency (714) 765-1900/Emergency dial 911
Anaheim Fire Department: Non-emergency (714) 765-4000/Emergency dial 911

POLICE & FIRE (RINKER CAMPUS)
Irvine Police Department: Non-emergency (949)724-7000/Emergency dial 911
Orange County Fire Authority: Non-emergency (714)573-6000/Emergency dial 911

CHAPMAN UNIVERSITY DEPARTMENT OF PUBLIC SAFETY
Communications Center/Front Desk: (714)997-6763
Patrol Operations: (714)997-6763
Investigations: (714)289-3104
Fire and Life Safety: (714)744-7875 or (714)289-2071
Parking/Transportation Services: (714)997-6560 or (714)997-6543
Public Safety Website www.chapman.edu/publicsafety

OTHER UNIVERSITY RESOURCES (Main and Rinker Campus)
Dean of Students: (714)997-6721
Student Engagement: (714)997-6761
Student Health Center: (714)997-6851
Student Psychological Services:(714)997-6778
Residence Life and First Year Experience:(714)997-6603
Facilities Management:(714)997-6658
Chapman Information:(714)997-6815
Campus Shuttle Services Website: www.chapman.edu/shuttle
RESOURCES OUTSIDE OF CHAPMAN UNIVERSITY (Main and Rinker Campus)

Chapman Global Medical Center: (714) 633-0011
Saint Joseph Hospital: (714) 633-9111
UCI Medical Center: (714) 456-7890
Anaheim Memorial Medical Center: (714) 774-1450

CRISIS HOTLINES (Main and Rinker Campus)

Chapman University Sexual Assault Crisis Counselor: (714) 744-7080
Waymakers Sexual Assault Hot Line (24 Hours): (714) 957-2737
Sexual Violence Crisis Hotline: (800) 656-4673
Local Rape Crisis Hotline: (714) 831-9110
Rape, Abuse & Incest National Network (RAINN) Website: www.rainn.org
Find services for victims in your area: (800) 656-HOPE (4673)
National Domestic Violence Hotline: (800) 799-SAFE (7233)/Website www.thehotline.org
Suicide Prevention Hotline: (800) 273-8255
Drug and Alcohol Abuse Hotline: (800) 662-HELP

Campus Overview

Chapman University is a 160-year-old independent institution of liberal arts and professional training dedicated to providing a solid foundation of knowledge that enables its graduates to become fully educated persons. The university is located 40 miles south of Los Angeles, adjacent to Disneyland, Anaheim Stadium, Orange County Performing Arts Center, and fifteen minutes from Orange County-John Wayne Airport.

The university traces its history to 1861, the founding date of Hesperian College, its predecessor. It is one of the oldest private universities in California. For many decades, Chapman University has been an integral part of the City of Orange and the city and university have prospered together. The Southern California area offers students, faculty, staff, and visitors an exciting environment in which to live, learn and grow intellectually, socially, and culturally. The interaction of university and city create an unparalleled laboratory in which to engage the problems and possibilities of urban America.

Realistically, however, urban living also puts members of the university community in the midst of some of society’s most pressing dilemmas. Just as in every other urban university setting, each of us at Chapman University must learn how to live successfully and safely
within these realities. The mission of the university is to provide a personalized education of distinction that leads to inquiring, ethical and productive lives as global citizens.

Personal safety and the security of property start with a knowledgeable and aware person who behaves in a self-consciously safe manner. The information in this publication is offered to increase your awareness of crime as we experience it at Chapman University and of the many programs, we provide to assist you in protecting your safety and well-being.

**Preparation of the Annual Security & Fire Safety Report**

The preparation of the university’s annual security report is a continual process. The Clery Compliance Committee makes every effort to ensure that the report is complete and accurate. The process includes the gathering of crime statistics from those individuals identified as campus security authorities and law enforcement agencies where appropriate, as well as verification that there are no changes in policies or procedures from previous years with the office of Student Affairs. After collecting crime statistics from the other sources, Public Safety reconciles them with its own statistics to ensure that all offenses have been counted and that no incidents are counted twice. Upon verification of new or changed procedures and crime statistics, the report is prepared for publication and distributed in an online version; printed versions are available upon request to the Department of Public Safety. Upon completion of the Annual Security and Fire Safety report, the crime statistics are submitted to the U.S. Department of Education.

You may request a free paper copy of the Annual Security & Fire Safety Report for 2018 by written request addressed to: Dr. Rick Gonzalez, Deputy Chief of Public Safety, Department of Public Safety Administration, located at 348 N. Olive Orange, CA 92866 or email at rgonzalez@chapman.edu. In person at the front desk of Department of Public Safety at 418 North Glassell Street Orange, CA 92866; or by calling (714) 997-6763 (24 hours).

**Access to Campus Facilities**

Chapman University is a private university. Our facilities are primarily used by University staff, faculty, and students. Both the Orange and Rinker campus are generally open to those persons with legitimate reasons during normal business hours. There are many persons who may be invited to the campus outside of the normal business hours during public events or as a guest. There is mutual benefit from such use; however, there are also those who come to Chapman University for unacceptable reasons. Department of Public Safety officers and other university officials are authorized to enforce university policies and may restrict or prohibit access to university property based on their discretion.

Some buildings and offices, however, are not open to the general public. Entrance may be denied, and local trespass laws invoked for persons found in or around our buildings without legitimate reasons.

**Main Campus-Orange**

The campus buildings are open and unlocked from 7am to 10pm. After 10 p.m., the campus is closed to all but faculty, staff, students, and their invitees. The buildings may be accessed after hours by electronic card-key access or by calling the Department of Public Safety. The campus perimeter entrances, and thoroughfares always remain open, including overnight.
Rinker Health Science Campus-Irvine
The health science campus is comprised of two buildings:
9401 Jeronimo Rd: Is open from 7am to 5pm, Monday through Friday. Every person must check in at the front lobby and must wear a visible identification while inside the building. After 5pm, the building may be accessed by electronic card-key access or by calling the Department of Public Safety.
9501 Jeronimo Rd: Is always locked. The building is accessible 24/7 by electronic card-key access only. Everyone must wear a visible identification while inside the building.

Residential Building Access
There are no residential buildings at the Rinker Health Science Campus. Residential buildings at the Main Campus are governed by specific access and guest rules. These rules are specifically described for students in the Chapman Student Conduct Code and the Residence License Agreement. The exterior doors to all residential facilities are kept locked. Each residence unit is equipped with electronic card-key-access systems that restrict access to residents only and other users permitted by the university. Trespassing laws are strictly enforced within the residence life areas of campus by the Department of Public Safety personnel.

Chapman Department of Public Safety
The Chapman University Department of Public Safety consists of forty five full-time staff members comprising the Patrol Division, Investigations, Communications, Fire and Life Safety Division, and Parking and Transportation. The Department of Public Safety uses a variety of crime suppression and prevention methods including foot patrol, bike patrol, and vehicular patrol to monitor university activities 24 hours a day. The department also employs a broad array of technology including video surveillance cameras, license plate recognition cameras, fire alarms, intrusion alarms, card access systems, and blue-light emergency phones, which are located throughout the campus and in residential buildings. Additionally, Public Safety monitors a private 9-1-1 system to handle emergency calls on the Main Campus. If you are located at the Rinker Health Science campus, all 9-1-1 calls go directly to the local jurisdiction. Officers receive training in criminal law, patrol procedures, investigations, report writing, first aid, CPR, and in the use of Automatic External Defibrillators (AED).
Contact Us

**Public Safety - Operations**
418 North Glassell Street Orange, CA 92866
Tel: (714) 997-6763 (24 hours)
Fax: (714) 516-5711
publicsafetystaff@chapman.edu

**Public Safety - Administration**
348 N. Olive Orange, CA 92866
Tel: (714) 744-7681
Fax: (714) 516-5711
Web site: www.chapman.edu/publicsafety

**Lost & Found** Public Safety operates the university’s Lost and Found service. If you have lost an item, you can call (714) 997-6763 to find out if the property was turned in.

**Authority and Jurisdiction**
Public Safety officers are private security officers employed by the university and are regulated by the California Bureau of Security & Investigative Services (BSIS). The authority to enforce Chapman University Policy is established by the Board of Trustees. Officers have the authority to make a citizen’s arrest, pursuant to the California Penal Code for persons committing crimes against the general peace, interfering with the security of campus facilities or grounds, or interfering with or disturbing the safety and the general welfare of the university community. When such a citizen’s arrest is necessary, the Department of Public Safety shall, as promptly as possible, notify the local police department to respond and render assistance as needed.

The patrol jurisdiction of the Department of Public safety is generally limited to Chapman University owned property. The Patrol Division of the Department of Public Safety is primarily responsible for handling all emergency calls and crimes in progress within their geographical area of responsibility. DPS will request assistance from local police and emergency services as necessary.

DPS has a Memorandum of Understanding (MOU), with the Orange Police Department, Anaheim Police Department, and the Irvine Police Department, which outlines the primary agency for the investigation of alleged criminal offenses. The primary agency for investigating crimes on Chapman’s Main Campus lies with the Orange Police Department; however, the Department of Public Safety assists in investigating non-violent property crimes that occur at the university. The primary law enforcement authority at Chapman Grand Residence Hall is the Anaheim Police Department. For the Rinker Health Science Campus, the main jurisdiction for investigating all crimes lays with the Irvine Police Department.

Under special circumstances, the Department of Public Safety also collaborates with other local, state, and federal law enforcement agencies, including the Orange County Sheriff’s Department, CA Alcohol Beverage Control, the United States Secret Service and the Federal Bureau of Investigations.
Security Awareness & Crime Prevention

The Department of Public Safety uses a variety of methods and programs designed to educate the campus community with strategies for enhancing personal safety. DPS programs are generally offered throughout the year and may be offered upon request. These services are for both the Main Campus and the Rinker Health Science Campus. DPS also conducts tabling events throughout the year at resource fairs and campus events such as Student Orientation Week, International Women’s Day and Staff Appreciation week. The following is a representative list of these programs:

Rape Aggression Defense (R.A.D.) RAD is a unique crime prevention program just for women that focuses on women’s safety and self-defense techniques. To schedule a RAD training seminar for your group please contact Sergeant Josephine Wright at Jjwright@chapman.edu or call Public Safety at (714) 997-6763. This course is offered upon request every semester. Register for RAD Classes and other Public Safety training sessions at Public Safety Training Calendar.

Active Shooter Training Tailored for students, faculty and staff, this one-hour active shooter training class addresses what to do in the event of an active shooter on campus and discusses the options of “Run, Hide or Fight”. The class is offered upon request every semester. For more information contact the instructor, Officer Hinson at jhinson@chapman.edu.

Operation Safe Ride Students, faculty, staff and visitors can utilize this FREE escort service. Operation Safe Ride operates during the Spring and Fall semesters. Hours of operation: Monday-Wednesday: 7:30pm-12:15am. Thursday: 730pm-1:45am. Friday-Saturday: 7:30pm to 2:15am. Our designated Safe Ride drivers are in constant radio contact with Public Safety. If you need an escort and it is after the scheduled hours, you can still call Public Safety at (714) 997-6763 to receive an escort. Additional information on Operation Safe Ride can be obtained at Operation Safe Ride Webpage.

Operation “Get Safe” Video This video presentation provides safety tips for some of the major safety issues that can arise on a college campus and supports the “See Something, Say Something” campaign. The video can be used for safety presentations and is always available on the DPS main page. You may also view the video at Get Safe Video.
**Operation ID** DPS encourages everyone to register their laptops, cell phones, tablets, televisions or other valuable electronics. This will increase the chances that the item is returned to the true owner if it is lost or stolen. Download the form and return it to DPS. [Electronic Device Registration Form](#).

**Bi-TAP (Bicycle Theft Avoidance Program)** The Department of Public Safety host several tabling events at the beginning of each semester to encourage students to register their bikes and to provide tips on how to properly secure their bicycles. The Orange City Municipal Code and the Department of Public Safety require that all bicycle owners register their bikes. Bicycle owners can also stop by the Public Safety office to have their bicycles registered. Bike licenses and renewals are provided complimentary to Chapman constituents – both are valid statewide for three years.

**Blue Light Emergency Telephone System** Blue light emergency phones are located throughout the Main Campus and the Rinker Campus. The phones are connected to the Department of Public Safety’s 24-hour communications center and identify the location of the phone used to place a call should the caller be unable to talk.

** Panther Guardian Safety App** Turn your phone into a personal safety device. Download the FREE Panther Guardian App powered by Rave Guardian to check in with family, friends, the Chapman University Department of Public Safety, or others you trust to help you stay safe both on and off campus. Features Include:

- **Set a Safety Timer** – Notify people you trust to check in on you if you are alone or in an unfamiliar place.

- **Manage & Message Your Guardians** – Invite family, friends, or others to be your Guardian, and communicate with them within the app as needed.

- **Easy Emergency Communication** – Call Public Safety directly for help if you are in trouble and send text tips – including photos – if you see something suspicious.

  - Download the [iPhone App](#)
  - Download the [Android App](#)

**Res Life Training Programs** DPS and the Orange Police Department attend new Resident Advisor & Resident Directors training. This training aims to help residential staff develop knowledge and tools they may use to recognize potential criminal activity in the residence halls. The training also provides an opportunity to become familiar with DPS and build a strong partnership with the officers assigned to patrol the residential buildings.
Security Considerations in Facilities Maintenance

The Department of Public Safety regularly monitors all campus facilities for necessary safety and security related repairs. DPS monitors all facility interior and exterior lighting and reports any issues to Facilities Management. Emergency Blue Phones are tested monthly. Any phones that are non-functioning are reported to Information Security & Technology (IS&T). Every semester, a team comprised of members from DPS, Facilities Management, local law enforcement, and community members complete a safety walk of certain locations on campus. The goal is to identify locations with safety concerns including oversized landscaping that may provide concealment to a criminal and poor lighting.

Student Concerns Intervention Team (SCIT)

SCIT is a multi-disciplinary team of campus professionals comprised of Student Affairs, Public Safety, Psychological Counseling Services, Residence Life, and the Student Health Center. The team meets weekly with the goal of creating a network of care and support for students with concerns that emphasizes early intervention and academic success/progress for students dealing with difficulties and to connect students with appropriate resources or individuals that may help address their needs. The difficulties students may be experiencing could be academic, social, relationship based (family, romantic, friends, etc.), psychological, substance abuse, financial, or behavioral. We assess student behaviors of concern in the community and develop appropriate intervention plans. Concerned about a student? Fill out the Student Concern Informational Report to help us identify students of concern.

Victim Assistance Program

Crime Survivors is a victim’s advocacy group in both Orange and Los Angeles Counties. The vision of Crime Survivors is for victims of crime to recover from their experience mentally, physically, emotionally, and financially, by receiving the respect, support and protection from law enforcement, the judicial system, and the community. They can be contacted at Crime Survivor’s Webpage.

Prevention Education

There are sexual assault, domestic violence and alcohol presentations put on by the P.E.E.R. (Proactive Education Encouraging Responsibility) and Health Education Department. Chapman's PEER and Health Education Department also provides students with opportunities to explore how these life issues affects a person's ability to succeed in our society. Wise decision-making, responsibility, and awareness of consequences are key components of these programs. You can contact Dani Smith at (714) 744-7080 or by email at dasmith@chapman.edu. These presentations are offered throughout the year. For more information, visit the P.E.E.R. website P.E.E.R Webpage.

In addition to these crime prevention programs, the Student Health Center and Psychological Counseling Services offer assistance in the areas of student counseling and health education for the campus community. These services include counseling, referral to other services and programs to aid in a time of need.

Accurate and Prompt Reporting of Crime

Chapman University recognizes the need to promote a safe and secure environment for all faculty, staff, and students as well as visitors or entities visiting the campus. The University encourages accurate and prompt reporting of all crimes to the Department of Public Safety and the appropriate police agencies, when the victim of a crime elects to, or is unable to, make such a report. Accurate and prompt reporting of criminal offenses aids in providing a timely response and timely warning notices to the community when appropriate and assist in compiling accurate crime statistics as required by the Jeanne
Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act (Clery Act). Reporting crime to law enforcement is essential to the apprehension and arrest of criminals and serial perpetrators. The ability to apprehend the criminal decreases significantly with the passage of time. Reporting crimes to law enforcement decreases the likelihood that the crime will reoccur and assists the Department of Public Safety track crime trends and develop specific crime prevention efforts.

Campus Security Authorities
The Clery Act requires the University to disclose statistics concerning the occurrence of certain crimes that both occur within the University’s Clery geography and that are reported to designated campus security authorities ("CSAs"). The term “Campus Security Authorities” (CSAs) is a Clery Act specific term that encompasses certain groups of individuals responsible for alerting the University to certain criminal incidents reported to them. It is the policy of Chapman University that the following individuals and organizations within the university are designated as Campus Security Authorities (CSAs) in accordance with the guidelines established under the "Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act of 1998," and subsequent rules.

Campus Security Authorities (CSAs) are individuals, who by virtue of their responsibility and under the Clery Act, are designated to receive reports of criminal incidents that occur on-campus, in student housing, on public property surrounding the campus and in non-campus property controlled by the University. As a CSA, those specified individuals who become aware of a crime involving Chapman University or a member of our community must report it to the Department of Public Safety so that DPS may determine whether it should consider issuing a Timely Warning or Emergency Notification, and if the statistic should be included in the Annual Security Report. Additional information can be found here: CSA Training Video.

Examples of CSAs include but are not limited to:

**Public Safety:** Any member of the Department of Public Safety.

**Title IX Coordinators:** All Title IX Coordinators and staff.

**Residence Life and First Year Experience:** Assistant Dean of Students, Director of Residence Life and First Year Experience; Associate Director; Assistant Directors; Area Coordinators, Resident Directors; Residential Graduate Assistants, and Resident Advisors.

**Athletics:** Director of Athletics; Associate Director of Athletics; Assistant Director of Athletics; all Head Coaches & Assistant Coaches.

**Student Affairs:** Vice President & Dean of Students; Associate Vice President & Senior Associate Dean of Students; Director of Student Conduct; Program Coordinators for Student Affairs; Director of Student Health Services; and Director of PEER and Health Education; and Case Manager.

**Student Engagement:** Director of Student Engagement; Associate Director, Civic Engagement Initiatives; Program Coordinators, Cross-Cultural Engagement; Associate Director, Student Union; Program Specialist of Student Engagement; and Assistant Director, Greek Life.
Academic Units: If someone has significant responsibility for student and campus activities, he or she is a campus security authority. For example, a dean of students who oversees student housing, a student center or student extracurricular activities has significant responsibility for student and campus activities. Examples of individuals who would not meet the criteria for being campus security authorities include a faculty member who does not have any responsibility for student and campus activity beyond the classroom, clerical staff, and cafeteria staff. The function of a campus security authority is to report to the appropriate law enforcement personnel, either campus Public Safety or local police, those allegations of Clery Act crimes that he or she concludes are made in good faith. A campus security authority is not responsible for determining authoritatively whether a crime took place—that is the function of law enforcement personnel.

Crime Reporting through CSAs
A victim of a crime may report a crime to any CSA. The CSA will document the incident and keep the information as private as possible. CSAs are required to inform the Department of Public Safety of the existence of all known Clery crimes, reported to them so that those incidents can be recorded as statistics and, where appropriate, included in the university’s Annual Security Report. If a reporting party does not consent to the disclosure of his or her identity to the department, CSAs are expected to inform the Department of Public Safety of the reporting party’s wish for confidentiality and to report the incident for statistical purposes only without disclosing identifying information. Chapman will maintain confidentiality under this framework to the extent permissible under law. To report an incident you may also contact the Department of Public Safety directly.

Voluntary Confidential Reporting to the Department of Public Safety
A victim may also report any crime anonymously to the Department of Public Safety so that it may be included in the Annual Security Report. You do not have to give your name or any identifiable information. You may also report anonymously any sexual assault, sexual battery, interpersonal violence or stalking by completing the Sexual Assault Anonymous Report form.

Confidential Reporting through Pastoral and Professional Counselors
Professional counselors and pastoral counselors acting in that role are not campus security authorities, and the law specifically exempts them from the responsibility to report to DPS criminal behavior disclosed to them. Similarly, physicians and clinical staff in the Student Health Center are not required to report criminal behavior to DPS, although state law requires them to report to local law enforcement information about certain physical injuries and reports of child abuse. The university urges all community members who are not CSAs to consider reporting any criminal incident to the Department of Public Safety or law enforcement for the geographical jurisdiction in which an incident occurs, particularly where a victim is unable to do so. The pastoral or professional counselor is encouraged to inform the person they are counseling of the option to report the crime on a voluntary and confidential basis for inclusion in the annual security report. In the event an individual chooses not to report a crime to DPS, the university urges the person at least to disclose the occurrence of the incident to a campus security authority so it may be counted, as appropriate, in the university’s annual Clery report, without any personally identifying information.
Pastoral and Professional Counselors include:

- **Clergy Privilege.** A person who is associated with a church, religious organization or denomination, is recognized by that church, religious organization or denomination as someone who provides confidential communications and is functioning within the scope of that recognition as a Clergy role and under the discipline or tenets of his or her church, religious organization or denomination has a duty to keep those communications secret.

- **Psychotherapist.** A person whose official responsibilities include providing mental health counseling to members of the institution's community and who is functioning within the scope of his or her license or certification. This definition applies even to professional counselors who are not employees of the institution but are under contract to provide counseling at the institution.

To be exempt from disclosing reported offenses, clergy members or psychotherapists must be acting in the role of clergy member or professional counselor. This means that a dean of students who has a professional counselor's license but is employed by the institution only as a dean and not as a counselor, is not exempt from reporting. An individual who is not yet licensed or certified as a counselor but is acting in that role under the supervision of an individual who meets the definition of a counselor, is considered to be a psychotherapist for the purposes of the Clery Act. An example would be a Ph.D. counselor-trainee acting under the supervision of a professional counselor at the institution.

### Timely Warnings and Emergency Notifications

The information detailed in this section applies to both the Chapman University Main Campus (Orange) and the Chapman University Rinker Health Science Campus (Irvine). Even though the campuses are not located in the same city, the policies described are identical at both locations.

It is the policy of Chapman University, in accordance with the Clery Act, to issue a "timely warning" to the campus community regarding any Clery Act crime that is reported to a Campus Security Authority or to the Department of Public Safety; occurred on Clery geography and represents a serious or continuing threat to the university community.

It is the policy of Chapman University, in accordance with the Clery Act, to immediately issue an "emergency notification" to the campus community upon confirmation of a significant emergency or dangerous situation involving an immediate threat to the health or safety of students or employees occurring on campus.

### Timely Warnings

It is the policy of Chapman University to issue timely warnings to prevent similar crimes from occurring and enable the community to protect themselves. The Chief of Public Safety or his designee will generally analyze the incident and consult, when relevant with the local police department. In certain circumstances, it may be necessary to consult with other university departments (e.g., Student Affairs or the University’s Title IX Office) if the crime involves rape, sexual assault, domestic or dating violence, stalking or discrimination against a protected class. The timely warning will not include any victim information to
preserve confidentiality and will include the type of crime, the date & time of occurrence, the location, and a brief description of the incident.

The decision whether to issue a Timely Warning is made on a case-by-case basis in light of all known circumstances surrounding the crime, including factors such as the nature of the crime reported, whether there exist a continuing danger to the campus community, and the possible risk of compromising law enforcement efforts. For example, if an assault occurs between two students who have a disagreement, there may be no on-going threat to other University community members, in such case; a Timely Warning Notice would not be distributed. Sexual assaults are considered on a case-by-case basis depending on the facts of the case, when and where the incident occurred, when it was reported, and the amount of information known by the Department of Public Safety. The Department of Public Safety issues Timely Warnings via email and the department website page Timely Warnings/Crime Alerts.

Crime Alert Bulletin
The Chief or a designee may also distribute a “Crime Alert Bulletin” for other crimes as determined necessary. The Department of Public Safety issues crime alert bulletins via email and the department website. These are information bulletins to increase situational awareness of non-Clery crimes that have occurred on campus or in the proximity of the university.

Emergency Notifications
Chapman University is committed to ensuring the campus community receives timely, accurate, and useful information in the event of a significant emergency or dangerous situation on campus that poses an immediate threat to the health and safety of campus community members. The University uses the Rave Guardian notification system branded as Panther Alert.

![Panther Alert Logo](image)

Every member of the Chapman community has their Chapman email address automatically enrolled in Panther Alert but will not receive phone calls or text messages unless they log in and register those numbers at Sign up for Panther Alert. It is the responsibility of students, staff, and faculty to register their mobile devices and phone numbers in Panther-Alert system in order to receive text messaging, phone calls or voicemail messages. The Panther-Alert system is an emergency communication system which sends emergency messages to your mobile phone (by text and voicemail), email accounts, landlines, or fixed devices of choice so you get emergency messages quickly wherever you are. The Panther Alert Emergency Notification system will be tested at least once per academic year.

Chapman University will notify the campus community upon the confirmation of a significant emergency or dangerous situation involving an immediate threat to the health or safety of the students or employees occurring on campus. The University will, without delay and taking into account the safety of the community, determine the content of the notification and initiate the notification system, unless issuing a notification
will, in the professional judgement of responsible authorities, compromise efforts to assist a victim or contain, respond to, or otherwise mitigate the emergency. This could include a request from the local police or fire department to delay the message for these purposes.

In the event that a significant or dangerous situation occurs, the Department of Public Safety will; (1) confirm the existence of a significant emergency or dangerous situation; (2) determine the appropriate segments of the campus community to notify; (3) determine the content of the emergency notification; and (4) initiate the emergency notification system.

Confirming the Existence of a Significant Emergency or Dangerous Situation and Initiating the Emergency Notification System.
The Department of Public Safety receives information from eyewitnesses, offices and departments on-campus, local law enforcement and other media sources, regarding emergencies or dangerous situations that pose an immediate threat to the health or safety of the Chapman University community. Normally, the Department of Public Safety’s first responding officers are tasked with confirming the existence of a credible emergency event by responding to the location. In the event that a responding officer has not yet arrived at the location, a dispatcher may also confirm the threat by validating the legitimacy of the information received over the phone by; (1) screening multiple callers; (2) checking the surveillance camera system, or (3) by checking with local police/fire authorities.

The responding officer will confirm the existence of an emergency by assessing the scene and interviewing any witnesses. Once the first responders or dispatchers confirm that there is a significant emergency or dangerous situation, they will notify the on-duty supervisor, to issue an emergency notification. The supervisor may delegate the task to a dispatcher if they are at the scene of the emergency. The dispatcher or supervisor will initiate the notification process by logging into the Rave Alert system (Panther Alert) and selecting the appropriate method to send out the alert which may include, text message, phone calls, email or social media post.

The Department of Public Safety will contact Strategic Marketing and Communications to initiate the Crisis Communication Plan to maintain crisis communications and manage all public information. The University has various systems in place to communicate with the larger community including the Panther Alert system, the University email system, social media, the university home page and the University Emergency page. Panther Alerts can be initiated by authorized Department of Public Safety personnel, including the Chief, the Deputy Chief, the Fire Marshall, Supervisors and certain members of the Information Security & Technology (IS&T) department or Strategic Marketing and Communications. Panther Alert initiators are trained by the campus Fire Marshal and can select alert content from among several pre-scripted messages that can be modified to suit the particular situation or crime.

Determining the Appropriate Segment or Segments of the Campus Community to Receive an Emergency Notification
In determining an appropriate communication, DPS will take into account several factors, including, but not limited to, the building or segment of the population threatened, the nature of the threat, and the credibility of the information. When determining which segment or segments will receive the notification, DPS will take into account if the emergency is contained to a specific location that will not affect the rest of the campus (off-campus vs. on campus) or if it is relegated to a specific campus (Main Campus vs.
Determining the Content of the Emergency Notification

The Department of Public Safety will determine the contents of the notification based on each situation. The University has developed several template messages that can be easily modified to the ongoing situation. In the event that there is no template, the individual writing the alert will craft the most succinct message to convey the following: (1) Type of emergency and location; (2) Directions or instruction to be followed; (3) Actions or response by Police or Fire departments. DPS will notify the local police department, who may choose to send an emergency alert to the surrounding community that is not affiliated with the university.

The university will continue to communicate updates and revised health and or safety guidance, as necessary, throughout the duration of the incident. Once emergency conditions subside, the university will distribute a final notification confirming that emergency conditions have subsided. If necessary, additional health or safety instructions will accompany any final notification. Anyone who believes they have information that may justify issuance of a timely warning or other emergency notification to the Chapman community should report that information to the Department of Public Safety by phone at (714) 997-6763.

City Emergency Alert Notification Systems

Every member of the Chapman community is encouraged to sign up to receive emergency alerts from their local police department. This will ensure that you get notified of all emergencies occurring in the area that you live in.

Sign up for City of Anaheim Alert.

Sign up for City of Irvine Alert.

Sign up for City of Orange Alert.

Monitoring of Crime by Student Organizations at Off-Campus Locations

The University does not have any officially recognized student organizations that own or control property off campus. Criminal activity occurring at off-campus locations are generally reported to the local police department. If DPS learns of criminal activity involving Chapman students or a student organization, it will coordinate with the local police department to forward the information to the Director of Student Conduct, the Dean of Students, or the Title IX Coordinator. The University requires all recognized student organizations to abide by federal, state, and local laws and University policies. The University may become involved in the off-campus conduct of recognized student organizations when such conduct is determined to interfere with the University’s mission or adversely affects members of the Chapman campus community.

Public Safety Daily Crime and Fire Log

Public Safety maintains a daily crime and fire log. The crime log describes the nature of the crime, date the crime was reported, date and time the crime occurred, general location of the crime and disposition of the complaint, if known. The fire log reflects on-
campus residential fires reported to Public Safety. To access the fire log, visit Daily Fire Log Web Page.

Public Safety enters or updates reports within two business days of receiving the information. The Daily Crime Log records all crimes reported to Public Safety within our geographical area of responsibility. However, there are times when information may be withheld from the log, such as if there is clear and convincing evidence that releasing such information would jeopardize an ongoing criminal investigation or the safety of an individual, cause a suspect to flee or evade detection or result in the destruction of evidence. In such instances, information may be withheld until the adverse effect is no longer likely to occur. In addition, updates are not required after 60 days have passed from the date of the initial entry. To access the daily crime log, visit Daily Crime Log Web Page.

Megan's Law and Sex Offender Registrant Information

The Campus Sex Crimes Prevention Act (CSCPA) of 2000, a federal law, requires institutions of higher education to provide the campus community with information on where they may obtain information on registered sex offenders in the state of California. It also requires sex offender registrants who are already required to register in the state to provide notice, as required under state law, to each institution of higher education for which the person is currently enrolled as a student or full- or part-time employee within five days of enrolling or being hired (with or without compensation), or those participating in a vocation (California Penal Code Section 290.009). As the Department of Public Safety is not a law enforcement agency, the registration process must be conducted at the City of Orange Police Department for Chapman University Main Campus and the City of Irvine Police Department for Chapman University Rinker Health Science Campus.

In the state of California, convicted sex offenders must also register with their local law enforcement agencies. Megan’s Law allows the public to access the registry. It also authorizes local law enforcement to notify the public about high-risk and serious sex offenders who reside in, are employed in, or frequent the community.

Public information regarding sex offenders in California may be obtained by viewing the Megan’s Law website at www.meganslaw.ca.gov.

Missing Student Notification

The information detailed in this section applies to both the Chapman University Main Campus (Orange) and the Chapman University Rinker Health Science Campus (Irvine). Even though the campuses are not located in the same city the policies described are identical at both locations.

How to Report:
Chapman University takes student safety very seriously. To this end, individuals having reason to believe that a student has been missing should immediately notify the Chapman University Department of Public Safety at 714-997-6763.

These reports may also be made to Residence Life and First Year Experience in the Davis Community Center or the Dean of Students Office in Argyros Forum 101. If the missing
person report is made to staff or organizations other than Chapman University Department of Public Safety, the entity must contact Public Safety immediately.

A student residing in Chapman housing is determined to be missing when the Chapman University Department of Public Safety or other law enforcement agency determines that:

- The student has been missing for more than 24 hours without any known reason,
- The reported information is credible, and
- The circumstances warrant officially declaring the student to be missing.

Unless there is evidence to the contrary, a student residing in Chapman housing will not necessarily be considered missing if the student provided information about their intended whereabouts to the Residence Life and First Year Experience or if the student is absent during recognized University holidays or breaks.

A student may be considered to be missing if his or her absence is contrary to their usual pattern of behavior and/or if unusual circumstances may have caused the absence. Such circumstances may include, but not be limited to, a report or suspicion that the missing student may be the victim of foul play, has expressed suicidal thoughts, is under the influence of alcohol or other drugs, is in danger, or has been with persons who may endanger the student’s welfare.

**Contact Procedures:**
All enrolled students at Chapman University, regardless of their living circumstances, should designate a Confidential Contact.

A Confidential Contact is an individual(s) that the student prefers Chapman University officials contact in the event that the student is determined to be missing for a period of more than 24 hours. The information provided for the Confidential Contact is confidential and accessible only to authorized campus officials. The contact information may not be disclosed to any party except to law enforcement personnel in furtherance of an official missing person investigation.

The University suggests that students notify their Confidential Contacts that they have been designated as such.

All enrolled students at Chapman University living on campus are required to designate a Confidential Contact during the housing application process. It is suggested that students living off campus also designate a Confidential Contact. Every student (resident and non-resident) has a student account on PeopleSoft where they may designate contact information for their selected Confidential Contact. Students should update their personal information at the beginning of each academic year. Students are solely responsible for the accuracy of the information provided and updating the information when needed.

In the event that the missing student is under the age of 18 and is not emancipated, the custodial parent or guardian on the student’s file at the time that the underage student is determined to be missing will also be contacted within 24 hours in addition to the student’s Confidential Contact. If at any time for any reason the custody or guardianship of the student changes during his or her time at the University, the student must update their records immediately.
When a Report is Made:
When a report is received that a student’s whereabouts are unknown, Chapman may employ such steps as are appropriate in determining the student’s whereabouts; these steps may include some or all of the following:

- Check of the student’s campus residence.
- Attempt to contact the student via phone or internet.
- Interview roommates or other students, as appropriate, to gather additional information about the student’s location and recent activities.
- Access the student’s class schedule and try to contact them in class.
- Investigate the students’ ID card usage to determine which room the student last used their ID card to enter and when they last used their ID card to purchase food on campus.

Should the above measures be unsuccessful in locating the student, the university shall deem the student as “missing” and within 24 hours notify appropriate law enforcement agencies and the student’s Confidential Contact.

When Public Safety makes a determination that a student who is the subject of a missing person report has been missing for more than 24 hours and has not returned to the campus, the Department of Public Safety will initiate the emergency contact procedures in accordance with the student’s Confidential Contact designation and will also notify local law enforcement that has jurisdiction in the area the student went missing. This will be done within 24 hours, regardless of whether or not the student had a Confidential Contact, is above the age of 18 or is an emancipated minor.

Additionally, Public Safety may release a photo of the missing student as a tool to assist in locating the individual and may seek information and/or assistance from a variety of campus resources during the course of the investigation.

Substance Abuse Policies

The information detailed in this section applies to both the Chapman University Main Campus (Orange) and the Chapman University Rinker Health Science Campus (Irvine). Even though the campuses are not located in the same city, the policies described are identical at both locations.

In compliance with the Drug Free Schools and Communities Act (Public Law 101-26) Chapman University prohibits the unlawful possession, use, and/or distribution of illegal drugs and alcohol by students and employees. This includes providing alcoholic beverages to a person under 21, and the possession or consumption of alcoholic beverages by a person under 21. Any student or employee who violates this policy may be subject to disciplinary action, up to and including permanent expulsion or termination of employment. To read the entire policy: Federal Drug Free Schools and Community Regulations.
Importantly, university disciplinary action is taken independently and regardless of any criminal action that may ensue. Specific regulations are contained in the student handbook and in the Alcohol and Substance policy established by university committee. Chapman University cooperates fully with the Orange Police Department (Main Campus), the Anaheim Police Department (Chapman Grand Residence Hall) and Irvine Police Department (Rinker Campus) in the investigation and enforcement of suspected illegal drug and/or alcohol use in the university community. This includes all state and federal drug laws. Primary responsibility rests with the Orange Police Department for the Main Campus, the Anaheim Police Department for Chapman Grand Residence Hall, and the Irvine Police Department for the Rinker Health Science Campus because of the university’s private status. Visit the Student Conduct Website or the Staff and Administrative Handbook to read the full substance abuse policies.

Statement of Non-Discrimination

The University is committed to providing an environment that is free from discrimination and harassment based on race, age, creed, color, religion, national origin or ancestry, sex, gender, disability, veteran status, genetic information, sexual orientation, gender identity or expression, or pregnancy and will not discharge or in any other manner discriminate against employees or applicants because they have inquired about, discussed, or disclosed their own pay or the pay of another employee or applicant.

The University is fully committed to achieving a diverse workforce and complies with all Federal and California State laws, regulations, and executive orders regarding non-discrimination and affirmative action. University employees and third parties have the right to work in a professional environment that promotes equal employment opportunities and prohibits discriminatory practices, including harassment. All employees, applicants for employment and third parties with the University are protected from prohibited conduct. The University expects that all relationships among persons in the workplace will be free of bias, prejudice and harassment. Retaliation of any kind is a separate violation of this Policy and may lead to an additional complaint and disciplinary action. Complaints of discrimination, harassment of any kind, and retaliation against an employee or third party may be directed to the Equal Opportunity and Diversity Officer.

The University prohibits discrimination on the basis of race (including hair texture and protective hair styles), ethnicity, color, religion (including religious dress and grooming practices), creed, ancestry, national origin, age, medical condition, physical or mental disability, sexual orientation, gender (including sexual harassment, gender identification, gender expression, transgender or LGBTQIA+ status), genetic information, marital status (including registered domestic partner status), military and veteran status, lawful change of name, Social Security Number or federal employment authorization document, receipt of Medi-Cal coverage, California driver’s license with a “federal limits apply” notation, or any other characteristic protected by local, state or federal law. Discrimination or harassment on the basis of sex includes harassment or discrimination on the basis of pregnancy, childbirth, or related medical conditions, breastfeeding, and conditions related to breastfeeding.

The University further prohibits retaliation. Retaliation is an adverse action that is taken because someone files a complaint or participates in this process as a witness. The University will not retaliate, nor will it tolerate retaliation, against individuals who make good faith reports about discrimination, harassment, or retaliation in the workplace,
whether internally or to an outside agency, or who participate in any workplace investigation under this policy.

Chapman University is deeply committed to creating and sustaining an educational, working, and living environment that is conducive to learning and scholarship and is supportive of students and employees. Part of this commitment is fostering a campus free of discrimination and harassment on the basis of sex in all its forms.

Any person may report prohibited conduct or discrimination to the Equal Opportunity and Diversity Officer or to the Lead Title IX Coordinator. A complaint about the Equal Opportunity and Diversity Officer may be made to the Chief Human Resources Officer.

**Albert Roberson**, Director of Equal Opportunity
IX Coordinator and 504 Officer
DeMille Hall
One University Drive Orange, CA 92866
Tel: (714) 997-6847
Email: aroberson@chapman.edu

**Office for Civil Rights**, San Francisco Office
U.S. Department of Education 50 United Nations Plaza
San Francisco, CA 94102 Telephone: (415) 486-5555
Facsimile: (415) 486-5570
Email: OCR.SanFrancisco@ed.gov

**Annual Notification**

The University shall send an annual notification to all students and employees about reporting options, existing counseling, health, mental health, victim advocacy, legal assistance, visa and immigration assistance, student financial aid, and other services available (both within the University and in the community) to those who experience prohibited conduct. This notification shall also contain information about available options and assistance through supportive measures and how to request such measures. These measures include academic situations, living situations, transportation situations, working situations and other protective measures. The annual notification will also include information on the University’s responsibility for enforcing orders of protection, restraining orders, and no contact orders. This notice shall also contain information about a person’s right to notify law enforcement, to be assisted by university officials in such a notification if desired, and to decline to notify law enforcement.
Dating Violence, Domestic Violence, Sexual Assault & Stalking

Chapman University is committed to providing a safe learning and working environment. University policy prohibits the crimes of dating violence, domestic violence, sexual assault, and stalking as defined by the Clery Act. The Violence Against Women Act (VAWA) amendments to the Clery Act expand the rights afforded to campus survivors of sexual assault, domestic violence, dating violence, and stalking. These policies apply to all students, faculty, staff, contractors, and visitors.

The Clery Act defines dating violence, domestic violence, sexual assault and stalking as follows:

**DATING VIOLENCE**
Dating Violence includes any act of violence committed by an individual:

a. who is or has been in a social relationship of a romantic or intimate nature with the Reporting Party; and

b. where the existence of such a relationship shall be determined based on a consideration of the following factors:

- The length of the relationship;
- The type of relationship; and
- The frequency of interaction between the individuals involved in the relationship.

**DOMESTIC VIOLENCE**
Domestic violence means any felony or misdemeanor crime of violence committed against a person who is a spouse or former spouse, a cohabitant or former cohabitant, a person with whom they have a child, or with whom they have a previous or current dating, romantic, intimate, or sexual relationship.

**SEXUAL ASSAULT**
Sexual Assault is defined as an offense that meets the definition of rape, fondling, statutory rape, or incest as used in the FBI’s Uniform Crime Reporting (UCR) program. Per the National Incident-Based Reporting System User Manual from the FBI UCR Program, a sex offense is “any sexual act directed against another person, without the consent of the victim, including instances where the victim is incapable of giving consent.”.

**STALKING**
Stalking is a course of conduct directed at another person that would cause a reasonable person to fear for their safety or the safety of others, or to suffer substantial emotional distress. “Course of conduct” means behavior involving two or more acts in which a person directly or indirectly monitors, follows, observes, threatens, surveils, communicates to or about another or interferes with the other person’s property. “Substantial emotional distress” means significant mental suffering or anguish. Stalking includes “cyberstalking.” Cyber stalking is a course of conduct in which a person uses electronic media, like the
internet, social networks, blogs, cell phones, or text messages to cause reasonable fear or emotional distress.

The California Penal Code and University Policy prohibits the crimes of dating violence, domestic violence, sexual assault, and stalking. The following is a summary of the definitions applicable to Title IX and the Violence Against Women Reauthorization Act (2013) offenses (sexual assault, dating violence, and stalking) under California state law.

**Consent:** Positive cooperation in act or attitude pursuant to an exercise of free will. The person must act freely and voluntarily and have knowledge of the nature of the act or transaction involved. A current or previous dating or marital relationship shall not be sufficient to constitute consent where consent is at issue. Nothing in this section shall affect the admissibility of evidence or the burden of proof on the issue of consent." See Cal. Penal Code § 261.6.

The California Penal Code establishes three categories of sexual assault offenses: rape, spousal rape, & statutory rape.

**Rape:** Rape is defined under section 2617 of the California Penal Code as an act of sexual intercourse under certain, enumerated circumstances, including:

a. where a person is incapable, because of a mental disorder or developmental or physical disability, of giving legal consent, and this is known or reasonably should be known to the accused;

b. where the accused uses force, violence, duress, menace, or fear of immediate and unlawful bodily injury;

c. where any intoxicating or anesthetic substance, or any controlled substance, prevents the accuser from resisting, and this condition was known, or reasonably should have been known by the accused;

d. where the accuser is at the time unconscious of the nature of the act, and this is known to the accused;

e. where the accuser submits under the belief that the accused is someone known to the accuser other than the accused, and this belief is induced by any artifice, pretense, or concealment practiced by the accused, with intent to induce the belief;

f. where the accused threatens to retaliate physically in the future against the accuser or any other person, and there is a reasonable possibility that the accused will execute the threat; and g. where the accused threatens to use the authority of a public official to incarcerate, arrest, or deport the accuser or another and the accuser has a reasonable belief that the accused is a public official.

**Spousal Rape:** The definition of spousal rape under section 262 of the California Penal Code generally tracks the definition of rape, except that the accused is the spouse of the accuser.

**Statutory Rape:** Section 261.5 of the California Penal Code refers to statutory rape as “unlawful sexual intercourse." The term means an act of sexual intercourse accomplished with a person who is not the spouse of the perpetrator, if the person is under eighteen
years old. The crime is either a misdemeanor or a felony depending on whether the age difference between the accused and accuser is greater or less than three years. Under section 243.4 of the California Penal Code, 8 sexual battery is defined, in part, as touching the intimate part of the accused against his or her will for the purpose of sexual arousal while the accuser is either: (1) unlawfully restrained by the accused or an accomplice; (2) institutionalized for medical treatment and seriously disabled or medically incapacitated; or (3) under the impression, due to the accused’s fraudulent representations, that the touching served a professional purpose.

Domestic Violence: Section 243(e) of the California Penal Code defines "domestic battery" to mean willful and unlawful touching that is committed against: (1) the accused’s spouse or former spouse; (2) the accused’s cohabitant or former cohabitant; (3) the parent of the accused’s child; (4) the accused’s fiancé or fiancée, either former or current; or (5) someone with whom the accused has, or has had, a dating relationship (i.e. frequent, intimate associations primarily characterized by the expectation of affectional or sexual involvement independent of financial considerations). In addition, section 273.5 of the California Penal Code prohibits the willful infliction of corporal injury resulting in a traumatic condition upon an accuser who meets these same five categories.

Dating Violence: California law has no criminal law that exclusively addresses dating violence. However, California domestic battery and corporal injury laws, both encompass acts committed within the context of dating relationships.

Stalking: Under section 646.910 of the California Penal Code, stalking is defined as willfully, maliciously, and repeatedly following or harassing the accuser and making a credible threat with the intent to place that person in reasonable fear for his or her safety, or the safety of his or her immediate family.

Procedures to Follow in Cases of Alleged Sexual Assault, Stalking, Dating Violence or Domestic Violence

If you or someone you know has been sexually assaulted or has been exposed to any form of sexual or gender-related misconduct, you are strongly encouraged to consider taking the following actions:

Go to a safe environment. You may want to call a trusted family member, friend, or individual to stay with you for emotional support.

- Know that the incident was not your fault.
- Get medical care as soon as possible.
- For life-threatening conditions, call 911 or go to the nearest hospital Emergency Department. If you need assistance getting there, call Public Safety at (714) 997-6763.
- Even if you think that you do not have any physical injuries, you should still have a medical examination.
- Discuss with a health care provider the risk of exposure to sexually transmitted infections and the possibility of pregnancy resulting from sexual assault.
  - For treatment of less serious injuries, use the Student Health Center. You may call to set up an appointment at (714) 997-6851 or to learn more about the center’s walk-in hours.
If you need medical care after hours and need help, contact Public Safety at (714)- 997- 6763 or the Waymakers 24 Hour Rape Crisis Hotline at (714) 957-2737.

If you suspect that you have been given some type of drug, ask the hospital personnel you receive medical care to take a urine sample. Drugs, such as Rohypnol and GHB, are more likely to be detected in urine than in blood. * However, they DO leave the body quickly.

Importance of Preserving Evidence

- You may have evidence collected to aid criminal prosecution if you later decide to file criminal charges or if you would like to obtain a protection order. It is best for any physical evidence to be collected immediately, ideally within the first 72 hours.
- You have the option to notify local police if you would like to do so. If you wish to contact police and/or have a forensic exam/rape kit done, try to preserve evidence. The following will help preserve evidence:
  - Try not to wash your face or hands, bathe, brush your teeth, drink or eat, douche, or change clothes if you can avoid it.
  - If you do change your clothes, put all clothing you were wearing at the time of the assault in individual paper bags (not plastic).
  - Do not clean or disturb anything in the area where the assault occurred. It is important to preserve as much evidence as possible if you wish to file a report with law enforcement.

Note: All rape kits in Orange County are conducted at Anaheim Regional Medical Center. Specially trained nurses are on call to perform the evidence collection exam. Additionally, a sexual assault advocate from Community Service Programs or a support person of your choice may be present throughout the procedure.

If you do not want to contact law enforcement but would like a rape kit, contact Waymakers Hotline at: 714-957-2737 and discuss this with online advocates. For more information, visit Rape Abuse & Incest National Network Webpage.

Victims of dating and domestic violence and stalking should save other types of evidence. For example, receipts, text messages, pictures, videos, emails, Facebook posts or messages, Snapchats, or other social media posts may be helpful during an investigation or hearing. It may be helpful not to delete this information and to preserve it for later. If you have already deleted text messages or other materials, you may wish to contact your phone carrier to find out if they can be recovered. Also, writing down a list of possible witnesses to submit to investigators or hearing officers is also suggested. Maintaining such information may be important to a criminal investigation, a University investigation, or to obtain a restraining order.

Reporting Options for Students, Staff & Faculty

Reporting to Hospitals and Medical Professionals

In Orange County, forensic exams (commonly referred to as rape kits) are only conducted at Anaheim Regional Medical Center located at 1111 W. La Palma Ave, Anaheim, CA 92801. Other Orange County hospitals (including St. Joseph’s Hospital, Hoag Hospital, Chapman Global Medical Center, and Orange County Global Medical Center)
or urgent care facilities do not conduct forensic exams. The sooner the exam is performed, the more information can be gathered.

Under California law, any health practitioner employed in a health facility, clinic, physician’s office, or local or state public health department or clinic is required to make a report to local law enforcement if he or she provides medical services for a physical condition to a person who he or she knows or reasonably suspects is suffering from: (1) a wound or physical injury inflicted by a firearm; or (2) any wound or other physical injury where the injury is the result of assaultive or abusive conduct (including Rape, Sexual Assault, and Dating and Domestic Violence). This exception does not apply to sexual assault and domestic violence counselors and advocates.

**Reporting to Law Enforcement or Public Safety**

The University supports any individual who has experienced violence who wishes to make a law enforcement report and will inform that individual of this reporting option. The reporting party is encouraged to contact local law enforcement in the city where the incident occurred, but it is their choice whether or not to report to law enforcement. If an individual reports an alleged incident to law enforcement, the University will cooperate with any investigation to the extent possible under federal and state law.

An individual who wishes to report prohibited conduct to Public Safety may contact Public Safety directly at 714-997-6763. Pursuant to Section 67383 of the Education Code, any report made to Public Safety by a student or employee of a violent crime, sexual assault, or hate crime, must be immediately disclosed to the local law enforcement agency, without identifying the victim, unless the victim consents to being identified. The reporting party can request that their name not be provided to the law enforcement agency and Public Safety will honor that request. Public Safety Officers are considered mandatory reporters under Title IX and will immediately notify the appropriate Title IX Coordinator or designee, to provide outreach to the impacted student or employee and to provide resources and reporting options.

An individual who wishes to make a report to law enforcement in addition to, or instead of, making a report to Public Safety or the University may contact law enforcement directly by calling:

- 911 (for emergencies)
- Orange Police Department: 714-744-7444
- Irvine Police Department: 949-724-7000
- Anaheim Police Department: 714-765-1900

Because police reports are public record under California law, confidentiality of reports cannot be guaranteed. Exceptions exist for sexual assault and crimes where victims or witnesses would be at risk should their names be released to the public. As a private institution, Public Safety reports are private and are not considered public record.

An individual over the age of 18 has a right to report, or to not report, prohibited conduct to law enforcement. Reporting to law enforcement may start a criminal investigation and adjudication within the criminal justice system. A criminal investigation and process is separate from a University administrative investigation and process.
Should a student or employee obtain a restraining order against another individual, the student or employee is encouraged to share that information with the Title IX Coordinator and/or Public Safety so that the University can be prepared to assist in the enforcement of the restraining order. Public Safety can assist in obtaining an Emergency Protective Order (EPO).

**Reporting to a Title IX Coordinator**
University employees, third parties, and students who believe they or others have been subjected to harassment, discrimination and/or sexual harassment by a University employee (faculty & staff) or third party, please contact one of the following:

**Albert Roberson**, Director of Equal Opportunity, Deputy Title IX Coordinator and 504 Officer
DeMille Hall
One University Drive
Orange, CA 92866
Tel: (714) 997-6847
Email: aroberson@chapman.edu

**Dawn White**, Investigator Equal Deputy Title IX Coordinator and 504 Officer
DeMille Hall
One University Drive
Orange, CA 92866
Tel: (714) 997-6827
Email: dawhite@chapman.edu

University employees, third parties, and students who believe they or others have been subjected to harassment, discrimination and/or sexual harassment by a University student, please contact one of the following:

**DeAnn Yocum-Gaffney**, Lead Title IX Coordinator
Associate Vice President for Student Affairs
And Senior Associate Dean of Students
Argyros Forum 302A
One University Drive
Orange, CA 92866
Dean of Students Office
Tel: (714) 997-6721
Email: gaffney@chapman.edu

**Lauren Lockwood**, Title IX Investigator
and Program Coordinator for Student Affairs
Argyros Forum 302
One University Drive
Orange, CA 92866
Dean of Students Office
Tel: (714) 532-6056
Email: llockwood@chapman.edu

**Ezra Omar**, Title IX Investigator
and Program Coordinator for Student Affairs
Argyros Forum 302
One University Drive Orange, CA 92866
Dean of Students Office
Tel: (714) 532-6039
Email: eomar@chapman.edu
Reports may be made at any time (including during non-business hours) by using the telephone number, electronic mail address or Equal Opportunity and Diversity Office webpage, or by mail to the office address listed above. The reporting party has the option to choose whether they will notify and seek assistance from law enforcement. You may also contact any Title IX Coordinator and request resources or support without initiating any formal investigation, which may include obtaining a University “No Contact” order.

When a report of sexual assault, domestic violence, dating violence or stalking is made, the reporting party shall receive, in writing, information about relevant disciplinary procedures from a Title IX Coordinator. They shall also receive information about the importance of preserving evidence, how and to whom to file a report, and the option to file a report with law enforcement or to decline to do so.

**Reporting to University Faculty or Staff**
Students may report to faculty or staff member of their choice, but students should be aware that all University employees (faculty, staff, administrators, and student employees) are required to report conduct prohibited by this policy to a Title IX Coordinator. The only exceptions to this requirement are those individuals who are designated as privileged and confidential resources as noted above. For more information about reporting obligations see Human Resources. To access supportive measures, students should contact the Title IX Coordinator.

**Reporting to Governmental Authorities**
University employees, including student employees, who feel they may have been subjected to unlawful harassment or discrimination may also file a complaint with the California Department of Fair Employment and Housing (DFEH), the United States Equal Employment Opportunity Commission (EEOC). Students may file a complaint with the U.S. Department of Education, Office for Civil Rights (OCR).

**Department of Fair Employment and Housing (DFEH)**
- contact.center@dfeh.ca.gov
- www.dfeh.ca.gov

**United States Equal Employment Opportunity Commission**
- 1-800-669-4000 (TTY 1-800-669-6820)
- www.eeoc.gov

**Office for Civil Rights**
- U.S. Department of Education
- Office for Civil Rights
- 50 United Nations Plaza
- Mail Box 1200, Room 1545
- San Francisco, CA 94102

- Phone: (415) 486-5555
- Fax: (415) 486-5570
- TTY: (800) 877-8339
- Email: ocr.sanfrancisco@ed.gov
Privileged and confidential resources
Consider discussing your options or talking to privileged offices/individuals for confidential support. These individuals and groups are confidential places to discuss what happened without immediately initiating a formal report. The University has identified individuals and departments on campus who have a professional requirement to maintain confidentiality* of a conversation with a victim or witness who wants someone to talk to but does not want to report the incident to the University. If a victim or witness discloses conduct prohibited by this policy to a below mentioned individual when that individual is not acting in the role that provides them privilege (such as when a counselor is serving as a professor rather than in their counseling role), the individual is required to make a report to the Title IX Coordinator. The following are individuals and departments on campus who are privileged and confidential resources when working in the following roles:

Advocate*
- Dani Smith, Ed.D., Chapman University Sexual Assault/Rape Crisis Counselor
  - (714) 744-7080 | dasmith@chapman.edu

Privileged Support People*
- Reverend Gail Stearns, Ph.D., Dean of Chapel | (714) 628-7289
- Reverend Nancy Brink, Director of Church Relations | (714) 997-6760
- Rabbi Cori Yutkin | (714) 628-7260
- Father Rafael Luévano | (714) 532-6098
- Shaykh Jibreel Speight, Director of Muslim Life | (714) 628-2646
- Reverend Cisa Payuyo, Associate Director of Church Relations | (714) 997-6760

Support Services*
- Student Psychological Counseling Services | (714) 997-6778
- Frances Smith Center for Individual & Family Therapy | (714) 997-6746

*While the individuals listed above have a professionally required duty to refrain from disclosing information reported to them, there are certain, specific situations in which they are not able to keep the disclosure private. Those situations are: (1) if someone may be a danger to themselves or others, (2) information about any minor or elder currently being subjected to abuse or neglect – including intentional access to unlawful sexual images, or (3) in some cases if the information is subpoenaed for court proceedings.

Victim Rights and Options

Domestic Violence Restraining Orders
You may request a domestic violence restraining order by visiting the Superior Court of Orange County. A domestic violence restraining order is a court order that helps protect people from abuse or threat of abuse from someone they have a close relationship with. You may request for a domestic violence restraining order if:

1. A person has abused (or threatened to abuse) you;
   AND
2. You have a close relationship with that person. You are:

- Married or registered domestic partners,
- Divorced or separated,
- Dating or used to date,
- Living together or used to live together (more than roommates),
- Parents together of a child, or
- Closely related (parent, child, brother, sister, grandmother, grandfather, in-law).

If you are a parent and your child is being abused, you can also file a restraining order on behalf of your child to protect your child (and you and other family members). If your child is 12 or older, he or she can file the restraining order on his or her own.

**What a Restraining Order Can do**

A restraining order is a court order. It can order the restrained person to:

- Not contact or go near you, your children, relatives, or others who live with you
- Stay away from your home, work, or your children’s schools
- Move out of your house (even if you live together)
- Not have a gun
- Complete a batterer intervention program
- Outline specific spousal support or child custody and visitations

If you do not qualify for a domestic violence restraining order, there are other kinds of orders you can ask for:

- Civil harassment restraining order (can be used for neighbors, roommates, coworkers, or more distant family members like cousins, uncle, or aunt, etc.). Find more information on [getting a civil harassment restraining order](#).
- Elder or dependent adult abuse restraining order (if the person being abused is 65 or older; or between 18 and 64 and a dependent adult). Find more information on [getting an elder or dependent adult abuse restraining order](#).
- Workplace violence restraining order (filed by an employer to protect an employee from violence, stalking, or harassment by another person). Find more information on [getting a workplace violence restraining order](#).

**Emergency Protective Order (EPO)**

An EPO is a type of restraining order that only law enforcement can ask for by calling a judge. Judges are available to issue EPOs 24 hours a day. So, a police officer that answers a domestic violence call can ask a judge for an emergency protective order at any time of the day or night.

The emergency protective order starts right away and can last up to 7 days. The judge can order the abusive person to leave the home and stay away from the victim and any children for up to a week. That gives the victim of the abuse enough time to go to court to file for a temporary restraining order.

To get an order that lasts longer than an EPO, you must ask the court for a temporary restraining order (also called a “TRO”).
Temporary Restraining Order (TRO)
When you go to court to ask for a domestic violence restraining order, you fill out paperwork where you tell the judge everything that has happened and why you need a restraining order. If the judge believes you need protection, he or she will give you a temporary restraining order.

Temporary restraining orders usually last between 20 and 25 days, until the court hearing date.

“Permanent” Restraining Order
When you go to court for the hearing that was scheduled for your TRO, the judge may issue a “permanent” restraining order. They are not really “permanent” because they usually last up to 5 years.

At the end of those 5 years (or whenever your order runs out), you can ask for a new restraining order so you remain protected.

Criminal Protective Order or “Stay-Away” Order
Sometimes, when there is a domestic violence incident (or series of incidents), the district attorney will file criminal charges against the abuser. This starts a criminal court case going. It is common for the criminal court to issue a criminal protective order against the defendant (the person who is committing the violence and abuse) while the criminal case is going on, and, if the defendant is found guilty or pleads guilty, for 3 years after the case is over.

To find the applicable Superior Court House in your jurisdiction, click on the following link Superior Court of California-County of Orange website.

University “No Contact” Orders
A No Contact Order is a formal directive issued by the University requiring parties to have no direct or indirect interaction, including but not limited to written or electronic communication (i.e. letters, social media, text messages, etc.), telephone, recordings, or third party contact (i.e. friends, family members, acquaintances, etc.). A No Contact Order remains in effect until it is officially removed in writing by the University.

California Crime Victim’s Bill of Rights
Marsy’s Law significantly expands the rights of victims in California. Under Marsy’s Law, the California Constitution Article I, §28, section (b) provides victims with the following enumerated rights:

(1) To be treated with fairness and respect for his or her privacy and dignity, and to be free from intimidation, harassment, and abuse, throughout the criminal or juvenile justice process.

(2) To be reasonably protected from the defendant and persons acting on behalf of the defendant.

(3) To have the safety of the victim and the victim’s family considered in fixing the amount of bail and release conditions for the defendant.

(4) To prevent the disclosure of confidential information or records to the defendant, the defendant’s attorney, or any other person acting on behalf of the defendant, which could be used to locate or harass the victim or the victim’s family or which disclose
confidential communications made in the course of medical or counseling treatment, or which are otherwise privileged or confidential by law.

(5) To refuse an interview, deposition, or discovery request by the defendant, the defendant’s attorney, or any other person acting on behalf of the defendant, and to set reasonable conditions on the conduct of any such interview to which the victim consents.

(6) To reasonable notice of and to reasonably confer with the prosecuting agency, upon request, regarding, the arrest of the defendant if known by the prosecutor, the charges filed, the determination whether to extradite the defendant, and, upon request, to be notified of and informed before any pretrial disposition of the case.

(7) To reasonable notice of all public proceedings, including delinquency proceedings, upon request, at which the defendant and the prosecutor are entitled to be present and of all parole or other post-conviction release proceedings, and to be present at all such proceedings.

(8) To be heard, upon request, at any proceeding, including any delinquency proceeding, involving a post-arrest release decision, plea, sentencing, post-conviction release decision, or any proceeding in which a right of the victim is at issue.

(9) To a speedy trial and a prompt and final conclusion of the case and any related post-judgment proceedings.

(10) To provide information to a probation department official conducting a presentence investigation concerning the impact of the offense on the victim and the victim's family and any sentencing recommendations before the sentencing of the defendant.

(11) To receive, upon request, the pre-sentence report when available to the defendant, except for those portions made confidential by law.

(12) To be informed, upon request, of the conviction, sentence, place and time of incarceration, or other disposition of the defendant, the scheduled release date of the defendant, and the release of or the escape by the defendant from custody.

(13) To restitution.

(A) It is the unequivocal intention of the People of the State of California that all persons who suffer losses as a result of criminal activity shall have the right to seek and secure restitution from the persons convicted of the crimes causing the losses they suffer.

(B) Restitution shall be ordered from the convicted wrongdoer in every case, regardless of the sentence or disposition imposed, in which a crime victim suffers a loss.

(C) All monetary payments, monies, and property collected from any person who has been ordered to make restitution shall be first applied to pay the amounts ordered as restitution to the victim.

(14) To the prompt return of property when no longer needed as evidence.
(15) To be informed of all parole procedures, to participate in the parole process, to provide information to the parole authority to be considered before the parole of the offender, and to be notified, upon request, of the parole or other release of the offender.

(16) To have the safety of the victim, the victim’s family, and the general public considered before any parole or other post-judgment release decision is made.

(17) To be informed of the rights enumerated in paragraphs (1) through (16).

**Disciplinary Procedures for Reports of Sexual Misconduct**

The University’s goal is to foster an open and safe community where these behaviors are deemed unacceptable, where those who are affected are provided support, and where a fair and impartial review process is provided to all parties. The University’s response to allegations of prohibited conduct is grounded in the fair application of policy and procedure. The University is firmly committed to complying with all applicable laws and governmental regulations. This commitment applies to all educational programs and activities, including admissions, financial aid, and University programs.

The University has developed formal and informal processes to investigate or otherwise address reports of prohibited conduct. All employees (faculty & staff) must cooperate fully with investigations in a timely manner undertaken by persons charged with conducting the inquiry except if prohibited by law.

All forms of sexual misconduct and harassment, including acts described and defined in this report, are prohibited by University Policy. The following are general procedures and policy statements obtained from the [Discrimination, Harassment, and Retaliation Prevention Policy for Employees](#), [Policy on Sexual Harassment Prohibited by Title IX](#), the [Student Conduct Code](#), and the [Student Sexual Misconduct Policy](#). Violations of these policies are subject to disciplinary sanctions through the applicable university policy.

**Disclosure of Results of Disciplinary Proceedings:**

Upon request, Chapman University will disclose the results of any disciplinary proceeding conducted by the institution against a student or employee who is alleged to have committed a crime of violence or a non-forcible sex offense (statutory rape, incest) to the complainant or to the next of kin of the complainant in the event that he/she is deceased.

**To Whom the Policy and Procedures Apply**

The policies, resources and procedures apply in its entirety to Chapman University students, student groups, employees, faculty members and third parties. Although the University may be, at times, limited in its control of third parties, the prohibited behaviors defined by University policy articulate the behavioral expectations Chapman University holds for third parties, though the procedural requirements will not apply.

The policies apply to any student or employee who experiences prohibited sexual misconduct or harassment by a member of the University community in University education programs or activities. This includes locations, events, or circumstances over which the University exercised substantial control over both the Respondent and the
context in which the sexual harassment occurs, and also includes any building owned or controlled by a student organization that is officially recognized by the University. The University has other policies and procedures that may be applicable if the conduct does not meet the definition of prohibited sexual misconduct or harassment.

**Sexual Harassment**
Acts of sexual harassment may be committed by any person upon any other person, regardless of the sex, sexual orientation, and/or gender identity of those involved. Consistent with its obligations under the 2020 Title IX Regulations, the University prohibits sexual harassment, which is conduct based on sex, including gender identity, gender expression, or sexual orientation.

Sex and gender/sex-based harassment or discrimination can take many forms, including sexual assault, dating violence, domestic violence, stalking, sexual exploitation, or other forms of sex-based or gender-based harassment or discrimination.

**University Definitions**

**Affirmative consent** means affirmative, conscious, and voluntary agreement to engage in sexual activity. It is the responsibility of each person involved in the sexual activity to ensure that they have the affirmative consent of the other or others to engage in the sexual activity. Affirmative consent must be ongoing throughout a sexual activity and can be revoked at any time.

- Lack of protest or resistance does not mean consent.
- Silence does not mean consent.
- The existence of a dating relationship between the persons involved, or the fact of past sexual relations between them, should never by itself be assumed to be an indicator of consent.

It shall not be a valid excuse that the Respondent believed that the Complainant affirmatively consented to the sexual activity if the Respondent knew or reasonably should have known that the Complainant was unable to consent to the sexual activity under any of the following circumstances:

- The Complainant was asleep or unconscious.
- The Complainant was incapacitated due to the influence of drugs, alcohol, or medication, so that the Complainant could not understand the fact, nature, or extent of the sexual activity.
- The Complainant was unable to communicate due to a mental or physical condition.

In addition, it shall not be a valid excuse to allege lack of affirmative consent that the Respondent believed that the Complainant consented to the sexual activity under either of the following circumstances:

- The Respondent’s belief in affirmative consent arose from the intoxication or recklessness of the Respondent.
- The Respondent did not take reasonable steps, in the circumstances known to the Respondent at the time, to ascertain whether the Complainant affirmatively consented.
Sexual Assault:

A. Sex Offenses—Any sexual act directed against another person, without the affirmative consent of the victim including instances where the victim is incapable of giving affirmative consent. Sexual Act is defined as conduct between persons consisting of:

a. Contact between the penis and the vulva.
b. Contact between the penis and the anus.
c. Contact between the mouth and the penis.
d. Contact between the mouth and the vulva.

a. **Non-Consensual Penetration:** The actual or attempted penetration, no matter how slight, of the vagina or anus with any body part or object, or oral penetration by a sex organ of another person, without the affirmative consent of the Complainant. This includes penetration that is forcible and/or against that person’s will or not forcibly or against the person’s will (non-consensually) in instances where the Complainant is incapable of giving affirmative consent because of age or because of temporary or permanent mental or physical incapacity.

b. **Fondling:** The touching of the private body parts of another person (buttocks, groin, breasts) for the purpose of sexual gratification, without affirmative consent. This includes fondling that is forcible and/or against that person’s will (non-consensually) or not forcibly or against the person’s will in instances where the Complainant is incapable of giving affirmative consent because of age or because of temporary or permanent mental or physical incapacity.

c. **Other Lawfully Prohibited Sexual Intercourse**

This category includes prohibited conduct that does not meet the definition of Non-Consensual Penetration or Fondling.

i. Nonforcible sexual intercourse between persons who are related to each other within the degrees wherein marriage is prohibited by law, regardless of affirmative consent.

ii. Nonforcible sexual intercourse with a person who is under the statutory age of consent, regardless of affirmative consent.

B. **Dating Violence,** defined as: violence committed by a person who is or has been in a social relationship of a romantic or intimate nature with the Complainant and where he existence of such a relationship shall be determined based on a consideration of the following factors: the length of the relationship, the type of relationship, and the frequency of interaction between the persons involved in the relationship. For the purposes of this definition, dating violence includes, but is not limited to, sexual or physical abuse or the threat of such abuse.

C. **Domestic Violence,** defined as: a felony or misdemeanor crime of violence committed:

- By a current or former spouse or intimate partner of the Complainant;
• By a person with whom the Complainant shares a child in common;
• By a person who is cohabitating with, or has cohabitated with, the Complainant as a spouse or intimate partner;
• By a person similarly situated to a spouse of the Complainant under the domestic or family violence laws of California;
• By any other person against an adult or youth Complainant who is protected from that person’s acts under the domestic or family violence laws of California.

*To categorize an incident as Domestic Violence, the relationship between the Respondent and the Complainant must be more than just two people living together as roommates. The people cohabitating must be current or former spouses or have an intimate relationship.

D. **Stalking**, defined as: engaging in a course of conduct directed at a specific person that would cause a reasonable person to -

- Fear for the person’s safety or the safety of others; or
- Suffer substantial emotional distress.

For the purposes of this definition—

(i) Course of conduct means two or more acts, including, but not limited to, acts in which the Respondent directly, indirectly, or through third parties, by any action, method, device, or means, follows, monitors, observes, surveils, threatens, or communicates to or about a person, or interferes with a person’s property.

(ii) Reasonable person means a reasonable person under similar circumstances and with similar identities to the Complainant.

Substantial emotional distress means significant mental suffering or anguish that may but does not necessarily require medical or other professional treatment or counseling.

**Other Potentially Relevant Policies and Procedures**

If the respondent is a staff employee
The policy and procedures for responding to reports of prohibited conduct committed by University staff employees, including postdoctoral candidates, are described in the University’s **Discrimination, Harassment, and Retaliation Policy** and the **Policy on Harassment Prohibited by Title IX**. These procedures do not apply to non-student respondents.

If the respondent is both a student and a staff employee
If respondent is a student and a staff employee, the University’s Equal Opportunity and Diversity Officer/Deputy Title IX Coordinator and Lead Title IX Coordinator will determine which policies and procedures apply, either this policy or the University’s **Discrimination**.
Harassment, and Retaliation Prevention Policy or the Policy on Harassment Prohibited by Title IX. The determination will be based upon the facts and circumstances of the alleged behavior, including the context of the prohibited conduct, the roles of the parties at the time of the conduct, and the location of the incident. Once that determination is made, the Title IX Coordinator, Title IX Deputy Coordinator/Equal Opportunity and Diversity Officer or designee(s) will notify the parties which policies and procedures will apply.

If the respondent is faculty
If the respondent is a faculty member, the University’s Deputy Title IX Coordinator and Equal Opportunity and Diversity Officer will coordinate the investigation and follow the policy and procedures set forth in the University’s Faculty Handbook, which is available in the Office of Human Resources or the Policy on Harassment Prohibited by Title IX.

If the respondent is a student and teaches classes at the University
If the respondent is a student and also teaches a class at the University (i.e. is a lecturer, instructor, or adjunct faculty), the University’s Equal Opportunity and Diversity Officer/Deputy Title IX Coordinator and Lead Title IX Coordinator or the Policy on Harassment Prohibited by Title IX. The determination will be based upon the facts and circumstances of the alleged behavior, including the context of the prohibited conduct, the roles of the parties at the time of the conduct, and the location of the incident. Once that determination is made, the Title IX Coordinator, Title IX Deputy Coordinator/Equal Opportunity and Diversity Officer or designee(s) will notify the parties which policies and procedures will apply.

If the respondent is a student group
The University may address prohibited conduct alleged to be committed by and in relation to student groups as set forth in the Student Conduct Code. Policies and honor codes promulgated by individual schools and colleges that govern student groups or internal accountability processes that some groups may have may also address prohibited conduct committed by student groups but may not serve in place of the procedures set forth in this policy.

If the Complainant or the Respondent is a Third Party
If the Complainant or the Respondent is a third party, the University’s ability to take action may be limited and is determined by the context of the prohibited conduct and the nature of the relationship of the third party to the University. The Title IX Coordinator and/or Equal Opportunity and Diversity Officer/Title IX Deputy Coordinator will determine the appropriate manner of resolution, which may include referral to resources or to area law enforcement, restriction from access to campus or University activities in the case of respondents, or referral to the Title IX Coordinator of the home school of the Third Party.

Note: Complaints involving third-party contractors, employees of third-party contractors, and temporary employees will be referred to Human Resources for review and appropriate action. Policies and procedures for University employees will govern the review of the complaint and can be found in the University’s Discrimination, Harassment, and Retaliation Prevention Policy or the Policy on Harassment Prohibited by Title IX.

The University will offer resources and assistance to all community members who experience or are affected by alleged prohibited conduct. In instances when this policy
does not apply, the University will assist in identifying and contacting external law enforcement agencies and appropriate campus or community resources.

Supportive Measures, Emergency Removal, and Resources

Supportive Measures
Supportive measures are available to complainants, respondents, and witnesses and may be accessed by contacting the Title IX Coordinator or the assigned investigator (if applicable).

Supportive measures are available regardless of whether or not the Complainant chooses to report full details (such as the name of the Respondent) to the University or to law enforcement or file a formal complaint with the University. Supportive measures shall be confidential, to the extent that maintaining confidentiality does not impair the University’s ability to provide such measures.

When a student or employee reports to the University that they have experienced conduct prohibited by university policy, whether the incident(s) occurred on or off campus, the University shall provide the individual with written information about resources and options, including whether or not to report to law enforcement and how to seek assistance in doing so. The University shall also provide the individual with written notification of health and mental health resources as well as other referrals or services as requested and available both on and off campus. This information shall also include information about the importance of preserving evidence such as photos, videos, and text messages.

Information about supportive measures is also provided to all students in required trainings as well as in the annual Title IX email notification sent to all students and employees. Additionally, when students or employees are contacted as part of a sexual misconduct policy related process, information about the availability of supportive measures

Supportive measures for students

The University shall provide, without fee or charge, supportive measures (temporary and/or ongoing) as reasonably available. These measures may not unduly burden a respondent. Students who are complainants, respondents, and witnesses may make requests for supportive measures to the Title IX Coordinator, who will help identify all available options and determine which measures to take to support the student. Request may be made verbally when meeting with the Title IX coordinator or via email. Supportive measures for students may include, but not be limited to, the following options:

- **Academic Assistance**
  - Transferring to another section of a lecture or laboratory
  - Rescheduling an academic assignment or test
  - Accessing academic support (e.g., tutoring)
  - Arranging for incompletes, a leave of absence, or withdrawal from course(s)
  - Preserving eligibility for academic, athletic, or other scholarships, financial aid, internships, study abroad, or international student visas
- **Medical and mental health services, including counseling**
- **Change in campus housing and/or dining locations**
- **Assistance in finding alternative housing**
• Consultation with the Department of Public Safety or local law enforcement agency as appropriate
• Assistance in arranging for alternative University employment arrangements and/or changing work schedules
• Providing an escort to ensure that the student can move safely between school programs and activities
• Transportation and parking assistance
• Assistance identifying any additional resources including off-campus support and services
• No contact orders

**Supportive measures for employees**
The University shall treat parties equitably by offering Supportive Measures to the Complainant, and by following a grievance process that complies with this policy before the imposition of any disciplinary sanctions or other actions that are not Supportive Measures as against the Respondent. The University will maintain as confidential any Supportive Measures provided to the Complainant or Respondent, to the extent that maintaining such confidentiality would not impair the ability of the University to provide the Supportive Measures. The Equal Opportunity and Diversity Officer is responsible for coordinating the effective implementation of Supportive Measures. The Equal Opportunity and Diversity Officer should record and retain records regarding requests and provision of Supportive Measures which may include any of the following:

• Assistance in arranging for alternative University employment arrangements and/or changing work schedules
• Providing an escort to ensure that the employee can move safely around campus
• Consultation with the Department of Public Safety or local law enforcement agency as appropriate.
• Transportation and parking assistance
• Assistance identifying any additional resources including off-campus support and services
• No contact orders
• Life Assistance Program (LAP)

**Emergency Removal for Students**
For most cases, the enrollment status of a respondent will not be changed upon receipt of a report or during an investigation. If the University determines there is a credible safety concern, interim actions may be taken by the University after receiving a report of prohibited conduct but prior to a hearing outcome. These measures are taken against a respondent based upon the totality of the circumstances known at the time following an individualized safety and risk analysis where the University has determined that an immediate threat to the physical health or safety of any student or other individual arising from the allegations of sexual harassment justifies removal of the respondent and provides the respondent with notice and an opportunity to challenge the decision immediately following the removal.

They may be kept in place until a final investigation decision is released. These measures are designed to protect complainant(s), witness(es), and/or the University community.
from additional or ongoing prohibited conduct. Failure to comply with protective interim measures may result in a separate policy violation. Other measures include, but are not limited to, the following:

- Change in campus dining locations
- Interim suspension from an on-campus employment position
- Limiting access to specific University facilities, the campus and/or University events
- Limiting access to specific University equipment
- Suspending a student’s participation in student organizations, student employment, student leadership positions, and/or intercollegiate/intramural athletics
- Allowing a student to withdrawal from a course
- Schedule modifications to separate a respondent from a complainant or other involved party
- Emergency removal (as set forth by policy for measures of prohibited Sexual Harassment or the Student Conduct Code for other forms of prohibited conduct.)
- Emergency removal from Chapman housing or emergency change of housing assignment

In all cases in which an emergency removal is imposed, the student or two representatives from a student organization will be given notice of the action and the option to request to meet with the Title IX Coordinator prior to such action/removal being imposed, or as soon thereafter as possible, to show cause why the action/removal should not be implemented or should be modified.

This meeting is not a hearing on the merits of the allegation(s), but rather is an administrative process intended to determine solely whether the emergency removal is appropriate. When this meeting is not requested in a timely manner, objections to the emergency removal will be deemed waived. A Complainant and their advisor may be permitted to participate in the meeting if the Title IX Coordinator determines it is equitable to do so. This section also applies to any restrictions that a coach or athletic administrator may place on a student-athlete arising from allegations related to prohibited sexual harassment under this policy. There is no appeal process for emergency removal decisions.

The Respondent may be accompanied by and advisor of their choice when meeting with the Title IX Coordinator for the show cause meeting. The Respondent will be given access to a written summary of the basis for the emergency removal prior to the meeting to allow for adequate preparation.

Emergency Removal of a Staff Member
Nothing in this part precludes the University from removing a Respondent from the University's education program or activity on an emergency basis, provided that the University undertakes an individualized safety and risk analysis, determines that an immediate threat to the physical health or safety of any student or other individual arising from the allegations of sexual harassment justifies removal, and provides the Respondent with notice and an opportunity to challenge the decision immediately following the removal.

Administrative Leave. The University reserves the right to place a Respondent that is a non-student employee on administrative leave during the pendency of a grievance process.
**Emergency Removal of a Faculty Member**

If a faculty member’s continued presence at the University represents a risk of substantial harm to persons, including the faculty member, or to equipment or other property, the faculty member may be temporarily excluded from all campus facilities. The compensation of a faculty member temporarily excluded shall not normally be discontinued during the period of exclusion. Exclusions exceeding two (2) weeks shall be reviewed by the Senate Executive Board if the faculty member so requests.

**Timeframe for Reporting**

There is no time limit for making a report. In fact, the University recognizes the sensitive nature of these incidents, and acknowledges that many reports of Sexual Misconduct are delayed. If the report is delayed to the point where one of the parties has graduated by the date of institutional notice, the University will still seek to meet its Title IX obligation by taking steps to end the harassment, prevent its recurrence, and remedy its effects, when appropriate.

The University does encourage the prompt reporting of prohibited conduct as prompt reporting allows for the collection and preservation of evidence, digital media and witness statements. The University’s ability to investigate and respond may be limited by delay.

**Amnesty for students who report or participate as witnesses**

To encourage and support the reporting of incidents under this policy, students who participate as witnesses or complainants in investigations under this policy will not be held accountable for violations of the Student Conduct Code that may have occurred at the time of or as a result of the incident in question (for example, being under the influence of alcohol or other drugs), unless the University determines that the violation was egregious. Egregious violations include, but are not limited to, actions that place the health or safety of another other person at risk or that involve academic dishonesty.

**Not participating in an investigation as a complainant or respondent**

While the University does not compel complainants or respondents to participate in investigations or hearings, complainants and respondents should be aware that participating in the fact-finding process is almost always a crucial component of the University’s ability to fully gather and analyze information.

Should the complainant choose not to participate in the investigation, the investigator(s) shall notify the Title IX Coordinator so that the Coordinator may determine if the Complainant’s lack of participation requires that the decision-making process be discontinued. Should a respondent choose not to participate in the investigation the University will proceed with the process, and the findings will be made based upon the available information.

**Not participating in a hearing as a complainant or respondent**

If, after having the opportunity to review the finalized investigation report, the Complainant and/or Respondent choose not to participate in a hearing or requests an alternate resolution outside of hearing, the Title IX Coordinator shall determine whether a hearing shall be convened.
Should the Respondent or Complainant decide not to participate in the hearing, the Board is unable to rely upon any statements provided by that party during the investigative phase when making a determination of responsibility.

**General Principles of Investigation and Adjudication**

**General Principles**

1. **Presumption of Not Responsible** - The University presumes that respondents are not responsible for the alleged conduct until a determination of responsibility is made at the conclusion of the grievance process.

2. **Standard of evidence** - The standard of evidence to find a violation of University policy is a preponderance of the evidence. Preponderance of the evidence means that a decision of responsibility for a policy violation will be made on whether it is more likely than not that the alleged conduct occurred and that the conduct by the Respondent violated the policy based on the totality of information.

3. **Information collected during investigation** – The University will collect and consider information that is relevant (both inculpatory and exculpatory) and material to the alleged misconduct in question. Information found by hearing officers to be not relevant, credible or reliable may be excluded or not considered in the hearing process.
   a. **Prior sexual history** – Generally, the sexual history of a complainant, respondent, or witness will not be considered unless directly relevant to an issue. For example, while the existence of a dating relationship or past sexual relations between parties can never by itself be assumed to be an indicator of consent, prior sexual history between the parties may be relevant to assess context for how the parties communicated consent.
   b. **Privileged information** – Information protected under a legally recognized privilege will not be collected, unless the person holding such privilege has waived the privilege.

4. **Conflicts of interest** – Chapman University is committed to the rendering of fair, objective, impartial decisions in its investigative, hearing and appeals processes as well as informal resolution processes.
   a. For cases involving student respondents, the Title IX Coordinator and Director of Student Conduct work in tandem to identify and avoid potential bias and/or conflict of interest in the assignment of investigator(s), hearing officers, and appeal officer(s). At the outset of an investigation, the Title IX Coordinator will select investigator(s) based on the parties involved, and the need to avoid any potential conflict of interest. The Complainant and the Respondent may object, within two calendar days of being contacted by the investigator(s), to their selection on the basis of bias or conflict of interest. If a party objects, the Title IX Coordinator will evaluate whether the objection is substantiated. The Title IX Coordinator will remove and replace any investigator(s) the Title IX Coordinator finds to have a conflict of interest or bias for or against any party involved. The Complainant and the Respondent have similar opportunities regarding hearing and appeal officers.
b. Additionally, the Title IX Coordinator and Director of Student Conduct coordinate work to avoid conflict of interest based on supervisory structures. They do this by coordinating the assignment of investigator(s), hearing administrators, and appeals officers. Individuals are assigned to these roles with attempts made to avoid requiring them to base decisions on the work of their immediate supervisors.

**Procedures Afforded to Participating Parties**

During the process outlined in this policy, both the complainant and the respondent are afforded specific procedures.

- All parties are entitled to a prompt, fair and impartial process. Such a process includes:
  - Being completed within reasonably prompt timeframes, including allowing for extensions for good cause and with written notification to the parties
  - Being conducted in a manner that is consistent with the University's policies and procedures
  - Afforded the right to an advisor of their choice
  - Protected from retaliation
  - Given timely notice of meetings at which the parties may be present
  - Given timely provision to the parties and any appropriate officials of equal access to any information that will be used during an alternative resolution or hearing
  - A process conducted by officials who do not have a conflict of interest or bias for or against any of the parties
  - Conducted by staff who, at a minimum, receive annual training on issues relating to dating violence, domestic violence, sexual assault and stalking, how to conduct an investigation and a hearing process that protects the safety of the parties and promotes accountability
  - Allows the parties the same opportunities to have others present during any University disciplinary proceeding, including the opportunity to be accompanied to any related meeting or proceeding by the advisor of their choice. The University may limit the extent to which the advisor may participate, as long as the restrictions apply equally to all parties.
  - Receiving simultaneous written notification to all parties of the result of any University disciplinary proceeding that arises from an allegation of dating violence, domestic violence, sexual assault or stalking; any change to the result; and when such results become final

Regarding the ability to confront other parties, complainants and respondents are able to submit questions for those parties to the investigators and/or during the hearing. For information about questioning during the hearing, see section XII. The investigator(s) and the hearing officer(s) have the responsibility to exclude or modify questions that are not necessary to render a decision, including but not limited to questions that are unfairly prejudicial, confusing, compound, argumentative, misleading, unnecessarily repetitive, not probative of the disputed facts or to the determination of the case, or speak only to a party's character or non-relevant sexual history. When excluding a question, the reason for doing so shall be provided.

**Role of An Advisor**

An advisor is an individual who may accompany the Respondent or the Complainant during the investigation process, such as any meetings with the Title IX Coordinator,
investigator(s), hearing officers or appeals officer(s), including interviews, hearings, and any meetings related to the appeals process. A student may only have one advisor with them during a meeting or other proceeding; however, that person does not need to be the same individual throughout an entire process. The parties may select whoever they wish to serve as their Advisor as long as the Advisor is eligible and available.

Choosing an Advisor who is also a witness in the process creates potential for bias and conflict-of-interest. A party who chooses an Advisor who is also a witness can anticipate that issues of potential bias will be explored by the hearing officer(s).

With the exception of posing questions to the other party and witnesses during a hearing, an Advisor may not speak on behalf of the individual they are supporting in the investigation, response hearing or other part of the process, including answering or asking questions for them. An Advisor’s participation in the process must not interfere with the process. The Title IX Coordinator, investigator(s), hearing officers, and appeals officer(s) have the authority to determine what constitutes appropriate behavior of an Advisor and to take reasonable steps to ensure compliance with this policy, which may include removing an Advisor from a meeting or process.

Should the Respondent or the Complainant not select an advisor or have one at the hearing phase, the University shall appoint an Advisor to ask questions provided by the party to be asked of the other party and witnesses during a hearing. A party may reject this appointment and choose their own Advisor, but they may not proceed without an Advisor. If the party’s Advisor will not conduct cross-examination, the University will appoint an Advisor who will do so thoroughly, regardless of the participation or non-participation of the advised party in the hearing itself. Extensive questioning of the parties and witnesses will also be conducted by the Hearing officer(s) during the hearing.

The process will not be unreasonably delayed to accommodate the schedule of an Advisor.

Advisors are expected to maintain the privacy of the records shared with them, to the same extent that the parties are expected to maintain the privacy of information shared during the process.

Other Procedural Matters

1. **Multiple complainants and/or respondents** – When incidents involve more than one complainant and/or respondent, the Title IX Coordinator will determine whether the investigations and/or hearings should be conducted separately or in one, consolidated process.

2. **Pending criminal investigations and/or proceedings** – If an individual files a criminal complaint with law enforcement, the University is still obligated to investigate any allegation of conduct prohibited by this Policy in a timely, equitable manner. At the request of a law enforcement agency, the University may temporarily delay the fact-finding portion of an investigation while the law enforcement agency is gathering evidence.

3. **Timing** – The University will complete investigations and hearings in a prompt, fair, and impartial manner, generally within 90 calendar days. University holidays and
breaks will likely impact the time that it may take to conclude an investigation or conduct a hearing. During the course of the investigation, the investigator(s) will provide, at a minimum, monthly updates to the parties about the general progress of the investigation. Cases that are particularly complex or involve unusual circumstances may require more than 90 days for the investigation process. For good cause, the process may be temporarily delayed if written notice is provided to the parties. Good cause may include, but is not limited to, the absence of one of the parties, a party’s advisor or a witness, a concurrent law enforcement investigation or the need for language assistance or accommodations for disabilities.

If the Title IX Coordinator, investigator(s), and/or hearing officer(s) determine that an extension of the 90-day investigation timeline is warranted or necessary, they will notify the parties in writing, including the reason for the delay and the anticipated date of completion. The timeline for any appeals process is 30 business days. If the Title IX Coordinator and/or appeals officer determine that an extension of the 30-day appeals timeline is warranted or necessary, they will notify the parties in writing, including the reason for the delay and the anticipated date of completion.

4. **Disability accommodations** – The University is committed to the full access and inclusion of students, faculty and staff with disabilities in its processes and services under this policy, including investigations and hearings. Disability Services and Human Resources assist with the coordination of reasonable and appropriate accommodations for individuals with documented disabilities. If a party or witness has questions or needs assistance with this as it relates to this policy, they are asked to inform the Title IX Coordinator as soon as possible in order to ensure early facilitation of accommodations, if any.

5. **Procedure** – Formal rules of process, procedure and/or technical rules of evidence, such as those applied in criminal or civil court, are not used in investigation and adjudication. All procedural questions are subject to the final decision of the Title IX Coordinator and/or the hearing officer.

**Initial Assessment**

**Receipt and outreach**

After receiving a report of an allegation of behavior that may be prohibited by this policy, the Title IX Coordinator will contact the Complainant to explain their opportunities and resources under University policy, reporting options on and off campus, interim and supportive measures as applicable, and appropriate referrals, as well as to invite the Complainant to an in-person or virtual meeting. This is called outreach and is most commonly communicated through the Chapman email account, unless the Complainant has indicated that their email address is not safe or appropriate for use.

The University is also committed to fulfilling its duties under the Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act or Clery Act and may issue a
timely warning to the campus community under the terms delineated in this law. The Chief of Public Safety or designee will determine when to issue a timely warning.

**Intake**
Any person who reports experiencing conduct that may violate University policy may make an appointment with any Title IX Coordinator or the Equal Opportunity and Diversity Officer by telephone call, email, or in person. The first meeting is called intake. An intake meeting may also be made by any individual who reports witnessing conduct that violates University policy.

At intake, the Complainant may ask questions about the policy and the process. The Complainant may bring an advisor to intake. Please note that translation services are offered, if requested and reasonably available.

At intake, the Title IX Coordinator and/or investigator(s) will gather information about the incident and assess the need for interim action. Supportive and/or interim measures may be taken prior to and/or without an investigation. A Formal Complaint is required to begin the investigation process for reports of Prohibited Sexual Harassment.

The Title IX Coordinator may refer the report to the Director of Student Conduct if it is determined that the behavior does not violate the Student Sexual Misconduct Policy.

**Request by Complainant to not proceed**
The Complainant may request their name not be shared with the Respondent, that no investigation be pursued, and/or no student conduct action be taken. In these instances, the Title IX Coordinator and/or designee(s) will discuss the Complainant’s concerns and seek to address and remedy concerns that the Complainant may have, such as concerns about retaliation or lack of clarity about procedural options or potential outcomes.

The Title IX Coordinator will assess possible, appropriate action when the Complainant requests anonymity or when the Respondent is unknown, such as what actions may address the effects of the reported behavior. Complainant requests for anonymity will limit the University’s ability to fully investigate, and as such, the University’s ability to respond to the complaint may be limited. Where possible, the University will, however, take other action to address the reported concern.

The Title IX Coordinator will determine the appropriate manner of resolution under this policy. The University will seek resolution consistent with the Complainant’s request to the degree possible but may need to take action to protect the health and safety of the complainant and the University community.

In those instances when the Title IX Coordinator determines that the University must proceed with an investigation despite the request of the Complainant and a Formal Complaint is not required, the Title IX Coordinator will notify the Complainant that the University intends to initiate an investigation. The Complainant is not required to participate in this investigation or in any subsequent actions taken by the University.

In all cases, the final decision on whether, how, and to what extent the University will conduct an investigation or proceed to hearing, and whether other measures will be taken in connection with a report of prohibited conduct, rests solely with the Title IX Coordinator.
Filing a Formal Complaint for Students
During or following the intake, for cases of Prohibited Sexual Harassment, the Title IX Coordinator, or designee, will work with the Complainant to determine if they wish to make a Formal Complaint and request an investigation. Upon the filing of the Formal Complaint, the Title IX Coordinator will then work with the Complainant to determine if the Complainant desires a supportive response, an informal resolution, or a formal investigation and grievance process.

Should the complainant desire only supportive measures, no formal investigation or grievance process will be initiated.

Filing a Formal Complaint for Employees
A Formal Complaint may be filed with the Equal Opportunity and Diversity Officer in person, by mail, online, or by electronic mail using the contact information listed for the Equal Opportunity and Diversity Officer above. A "document filed by a Complainant" means a document or electronic submission (such as by electronic mail or through an online portal provided for this purpose by the University) that contains the Complainant’s physical or digital signature, or otherwise indicates that the Complainant is the person filing the Formal Complaint. Where the Equal Opportunity and Diversity Officer signs a Formal Complaint, the Equal Opportunity and Diversity Officer is not a Complainant or otherwise a party. A Formal Complaint shall trigger an investigation except as specified below. The Formal Complaint should include the date(s) of the alleged incident(s), the name of the Respondent, and should describe the circumstances of the incident(s), where known. Click the following link to find The Formal Complaint form.

Ways to Resolve a Formal Complaint
There are three ways a formal complaint can be resolved:

1. Investigation and hearing
2. Respondent accepts responsibility for all alleged violations
3. Alternative resolution options

Alternative Resolution for Students
At the request of an involved party, and with the agreement of the other parties, some conduct reported under University policy may be addressed by alternative resolution. (Quid pro quo sexual harassment by an employee may not be addressed by alternative resolution.) The goals of informal resolution are to address reported behavior, prevent recurrence, and remedy effects without completing a formal investigation and hearing process. It is flexible by nature and tailored to the specific circumstances of a particular case. Alternative resolution will be considered only when consistent with institutional values, legal obligations and the voluntary, mutual agreement of all involved parties, including the University.

Alternative resolutions may include targeted or broad-based educational programming/training, adjustments made to reduce proximity between the parties, and/or direct or indirect action by the Title IX Coordinator or the University designed to meet the goals of the informal resolution as stated above. More specifically, alternative resolutions may include, but is not limited to:

- Permanent no-contact order between the parties
• Changes to employment arrangements, living arrangements, class schedule, dining facilities, or advisor/supervisor arrangements, as feasible
• Written apology and/or explanation of the circumstances surrounding the agreement
• Educational training for an individual, group or unit
  o Online educational modules (for example, alcohol or drug education)
  o Meeting(s) with University staff members
• Community service
• Restorative justice
• Agreed-upon restriction from participation in specific student groups
• Agreed upon restriction from participation in specific events
• Mediation/Facilitated discussion
  o Mediation is one form of informal resolution that may be considered in some cases. However, Chapman does not deem mediation appropriate for cases involving alleged sexual violence, including reports of alleged sexual assault, stalking, dating violence or domestic violence.

Depending on the form of alternative resolution used, it may be possible to maintain anonymity of the complainant, except in cases involving Prohibited Sexual Harassment, which require the filing of a Formal Complaint first. Failure to comply with any required component of an alternative resolution may result in a formal investigation and/or a referral to the student conduct process.

Participation in the alternative resolution is voluntary, and a party may request to end the informal process and begin the formal investigation process at any time prior to resolution. If the Respondent fails to complete the required outcomes, the matter may move to a formal investigation and hearing process under this policy, or the Respondent may be subject to further conduct action under the Student Conduct Code.

The University endeavors to complete an alternative resolution process within 90 days of such process being requested.

Alternative Resolutions for Employees
Consistent with the requirements of this section, at any time prior to reaching a determination regarding responsibility the University may facilitate an alternative resolution process, such as mediation, that does not involve a full investigation and adjudication, provided that the University:

1. Provides to the parties a written notice disclosing:
   • The allegations,
   • The requirements of the alternative resolution process including the circumstances under which it precludes the parties from resuming a Formal Complaint arising from the same allegations, provided, that at any
time prior to agreeing to a resolution, any party has the right to withdraw from the alternative resolution process and resume the grievance process with respect to the Formal Complaint; and

- Any consequences resulting from participating in the alternative resolution process, including the records that will be maintained or could be shared.

2. Obtains the parties’ voluntary, written consent to the alternative resolution process; and

3. Does not offer or facilitate an alternative resolution process to resolve allegations that an employee sexually harassed a student.

4. Completes the alternative resolution process within 60 business days of receiving the Formal Complaint, unless unusual or complex circumstances exist.

The University does not require as a condition of enrollment or continuing enrollment, employment or continuing employment, or enjoyment of any other right, waiver of the right to an investigation and adjudication of Formal Complaints of sexual harassment under this policy. The University shall not require the parties to participate in an alternative resolution process and will not offer an alternative resolution process unless a Formal Complaint is filed.

**Investigation**

**Notice of Allegations for Students**
If the Title IX Coordinator or designee determines that the University will proceed to a formal investigation, the Title IX Coordinator or designee will assign investigator(s) to the case. The investigators will send a written notice of investigation via email to the Complainant and the Respondent. This notice shall include:

- The *Student Sexual Misconduct Policy* as an attachment or link
- Notice of the allegations potentially constituting prohibited as defined in this policy, including sufficient details known at the time and with sufficient time to prepare a response before any initial interview. Sufficient details include the identities of the parties involved in the incident, if known, the conduct allegedly constituting prohibited conduct under this policy, and the date and location of the alleged incident, if known.
- A statement that the Respondent is presumed not responsible for the alleged conduct and that a determination regarding responsibility is made at the conclusion of the grievance process.
- Notification to the parties that they may have an advisor of their choice, who may be, but is not required to be, an attorney.
- Notification to the parties that they may inspect and review evidence, as set forth in this policy.
- Any provision in any University policy that prohibits knowingly making false statements or knowingly submitting false information during the grievance process.

If, in the course of an investigation, the University decides to investigate allegations about the Complainant or Respondent that are not included in the initial notice of charge, the
University will provide notice of the additional allegations to the parties whose identities are known.

**Notice of Allegations for Employees**

Upon receipt of a Formal Complaint, prior to commencing the investigation, The University shall provide the following written notice to the parties who are known. This notice shall include:

- The *Policy on Sexual harassment Prohibited by Title IX* as a link or an attachment
- Notice of the allegations potentially constituting sexual harassment as defined in this policy, including sufficient details known at the time and with sufficient time to prepare a response before any initial interview. Sufficient details include the identities of the parties involved in the incident, if known, the conduct allegedly constituting sexual harassment under this policy, and the date and location of the alleged incident, if known;
- A statement that the Respondent is presumed not responsible for the alleged conduct and that a determination regarding responsibility is made at the conclusion of the grievance process;
- Notification to the parties that they may have an advisor of their choice, who may be, but is not required to be, an attorney;
- Notification to the parties that they may inspect and review evidence, as set forth in this policy;
- Any provision in the University’s code of conduct that prohibits knowingly making false statements or knowingly submitting false information during the grievance process.
- Describes the standard of evidence that will be used.
- Lists all possible sanctions the institution may impose.

**Information Gathering**

The investigator(s) will meet separately with the complainant, respondent and identified witnesses. The investigator(s) will ask the parties for all information related to the allegations, including names of witnesses and documentation related to the incident, which may include, for example, documented communications between parties, receipts, photos, video, or other information relevant to the allegations. The information gathering process will not require, allow, rely upon, or otherwise use questions or evidence that constitute, or seek disclosure of, information protected under a legally recognized privilege, unless the person holding such privilege has waived the privilege.

Prior to the completion of the investigative report, the investigator(s) shall send to each party and the party’s advisor, if any, the information subject to inspection and review in an electronic formal or a hard copy and the parties shall have at least 10 business days to submit a written response, which the investigator(s) will consider prior to completion of the investigative report.

At the conclusion of the investigation, the investigator(s) shall draft a report which will contain a timeline of the investigation and a list of undisputed and disputed information.
The report will not contain any factual determinations or policy analysis. Included in the report shall be interview summaries and any other documents collected as part of the investigation that are directly related to the incident under investigation as set forth in the Notice of Investigation. These documents shall include any that the University does not intend to rely upon in making a decision regarding responsibility. Both inculpatory and exculpatory information shall be included. The information shall be sent simultaneously to both the party and their respective Advisor.

After receiving the investigative report and related documents, the parties shall have 10 business days to submit a written response to the investigator(s). After considering any submitted responses, the investigator(s) will submit a final investigation report to the Title IX Coordinator, who may require the investigator(s) to conduct additional information gathering to be included in the investigation report. After the report is approved by the Title IX Coordinator, the complainant and respondent will be provided with the investigation report.

After having the opportunity to review the finalized investigation report, the complainant and respondent will have 10 business days to submit a written response to the final report, if desired.

Only information that is provided to the investigator(s) or otherwise uncovered by the investigator(s) during the course of the investigation may be considered in the determination of whether a violation of policy has occurred. Any and all information for consideration by the hearing officer(s) should be provided to the investigator prior to the hearing and will not be allowed during the hearing itself, unless it can be clearly demonstrated that such information was not reasonably available to the parties at the time of investigation.

**Hearing**

After the investigation report is complete, the University will notify the Complainant and Respondent of the date and time of the hearing at least 10 calendar days prior to the hearings (or a shorter time by mutual consent).

The University shall conduct a live hearing in front of the decision-maker(s), which may be an individual or a Hearing Board, for the purposes of determining responsibility for allegations of sexual harassment in the Formal Complaint. The decision-maker(s) cannot be the same person(s) as the Title IX Coordinator or the investigator(s). A decision-maker may include internal employees or external third parties contracted by the University. If using a Hearing Board, the Title IX Coordinator will choose 3 (three) Hearing Board members from its pool to attend the hearing and make determinations. All potential Hearing Board members will receive annual training as specified by this policy. The parties each may challenge the participation of any member of the Hearing Board for conflict of interest or other good cause. The Title IX Coordinator will make the final decision whether to select an alternate upon a challenge from a party. If using a Hearing Board, the Title IX Coordinator will appoint a member of the Hearing Board to be the Presiding Officer of the Hearing Board. The Presiding Officer shall also be a decision maker.

In the event of documented extenuating circumstances, a complainant or respondent may request to reschedule the hearing. This request must be made no later than 9 calendar days prior to the originally scheduled hearing. The decision of whether to
reschedule is at the discretion of the hearing officer(s) and Title IX Coordinator, with consideration to the prompt and equitable completion of the process.

Parties, including the Respondent, Complainant, and witnesses may participate by videoconference.

**Pre-hearing Meeting(s)**
The Presiding Officer will convene a pre-hearing meeting(s) with each individual party and their respective Advisor to go over the scope of the hearing and the procedural requirements. Prior to or during this prehearing conference, the Presiding Officer shall establish a deadline for the parties to submit their list of witnesses, evidence, questions and topics they (the parties and their Advisors) wish to ask or discuss at the hearing, so that the Presiding Officer can rule on their relevance ahead of time to avoid any unnecessary witnesses, improper evidentiary introduction in the hearing or provide recommendations for more appropriate phrasing. However, this advance review opportunity does not preclude the Advisors from asking at the hearing for a reconsideration based on any new information or testimony offered at the hearing. The Presiding Officer must document and share their rationale for any exclusion or inclusion of a party’s evidence, witnesses, arguments or questions.

At each pre-hearing meeting with an individual party and their Advisor, the Presiding Officer will consider arguments that evidence identified in the final investigation report as relevant is, in fact, not relevant. Similarly, evidence identified as directly related but not relevant by the Investigator(s) may be argued to be relevant. The Presiding Officer may rule on these arguments pre-hearing and will exchange those rulings between the parties prior to the hearing to assist in preparation for the hearing. The Presiding Officer may consult with legal counsel and/or the Title IX Coordinator or ask either or both to attend pre-hearing meetings.

Prior to the hearing, the parties may submit impact statements either in a sealed envelope directly to the Presiding Officer or via email to the Title IX Coordinator. An impact statement will only be reviewed by the Board during the sanctioning phase (if applicable).

The pre-hearing meeting(s) will not be recorded.

**The Hearing Process**
The hearing officer(s) will be well versed in the investigation report and its attachments. The hearing is an opportunity for the hearing officer(s) to hear from the complainant, respondent, and witness(es) and to gather information needed to determine whether this policy has been violated.

The hearing officer(s) and the Presiding Officer, in consultation with the Title IX Coordinator, shall determine the hearing format. During the hearing the investigator(s) will present the report, the Complainant and the Respondent will have the opportunity present their account of the events, to ask questions of other parties through their advisors), and to provide a closing statement.
**Rules for Questioning**

a. At the hearing, the decision-maker(s) must permit each party’s Advisor to ask the other party and any witnesses all relevant questions and follow-up questions, including those challenging credibility.

b. Only relevant cross examination and other questions may be asked of a party or witness.

c. Decision-maker(s) also have the right to question a party or witness.

d. Cross examination at the live hearing must be conducted directly, orally, and in real time by the party’s Advisor of choice and never by a party personally, notwithstanding the University’s ability to otherwise restrict the extent to which advisors may participate in the proceedings.

e. Before the Complainant, Respondent, or witness answers a cross-examination or other question, the Presiding Officer must first determine whether the question is relevant. The Presiding Officer must explain to the party proposing the questions any decision to exclude a question as not relevant.

f. Questions and evidence about the Complainant’s sexual predisposition or prior sexual behavior are not relevant, unless such questions and evidence about the Complainant’s prior sexual behavior are offered to prove that someone other than the Respondent committed the conduct alleged by the Complainant, or if the questions and evidence concern specific incidents of the Complainant’s prior sexual behavior with respect to the Respondent and are offered to prove consent.

**Overview of the Hearing**

At the hearing, recording, witness logistics, party logistics, curation of documents, separation of the parties, and other administrative elements of the hearing process are managed by a non-voting hearing facilitator appointed by the Title IX Coordinator. The hearing facilitator may attend to: logistics of rooms for various parties/witnesses as they wait; flow of parties/witnesses in and out of the hearing space; ensuring recording and/or virtual conferencing technology is working as intended; copying and distributing materials to participants, as appropriate, etc. The facilitator shall administer the hearing in accordance with the following:

a. The live hearing will be closed. The only individuals permitted to participate in the hearing are as follows: the Complainant and Respondent, the decision-maker(s), the advisor for each party, any witnesses (only while being questioned), and any individual providing authorized accommodations or assistive services.

b. If a party does not have an advisor present at the live hearing, the University shall provide without fee or charge to that party, an advisor of the University’s choice, who may be, but is not required to be, an attorney, to conduct cross examination on behalf of that party. The University is obligated to ensure each party has an advisor, either of the party’s or the University’s choice regardless of whether or not the party is present at the hearing. To ensure timely proceedings, a party shall alert the Title IX Coordinator as soon as practicable if the party will need an advisor. If a
party’s selected advisor is unavailable for a hearing date, the live hearing date may be postponed for good cause.

c. Live hearings may be conducted with all parties physically present in the same geographic location or, at University’s discretion, any or all parties, witnesses, and other participants may appear at the live hearing virtually, with technology enabling participants simultaneously to see and hear each other.

d. At the request of either party, the University shall provide for the live hearing to occur with the parties located in separate rooms with technology enabling the decision-maker(s) and parties to simultaneously see and hear the party or the witness answering questions.

e. The University shall create an audio or audiovisual recording, or transcript, of any live hearing and make it available to the parties for inspection and review. Any other recording is prohibited and violations may result in discipline.

Once the parties provide an opening statement (if desired) the Complainant and Respondent may provide relevant information in turn, beginning with the Complainant, and then in the order determined by the Presiding Officer. The parties/witnesses will submit to questioning by the Hearing officer(s) and then by the parties through their Advisors (“cross-examination”).

All questions are subject to a relevance determination by the Presiding officer. The Advisor, who will remain seated during questioning, will pose the proposed question orally, electronically, or in writing (orally is the default, but other means of submission may be permitted by the Presiding Officer upon request or agreed to by the parties and the Presiding Officer), the proceeding will pause to allow the Presiding Officer to consider it, and the Presiding Officer will determine whether the question will be permitted, disallowed, or rephrased.

The Presiding Officer may explore arguments regarding relevance with the Advisors if the Presiding Officer so chooses. The Presiding Officer will then state their decision on the question for the record and advise the party/witness to whom the question was directed, accordingly. The Presiding Officer will explain any decision to exclude a question as not relevant, or to reframe it for relevance.

The Presiding Officer will limit or disallow questions on the basis that they are irrelevant, unfairly prejudicial, confusing, compound, argumentative, misleading, unnecessarily repetitive, not probative of the disputed facts or to the determination of the case or speak only to a party’s non-relevant sexual history. The Presiding Officer has final say on all questions and determinations of relevance. The Presiding Officer may consult with legal counsel on any questions of admissibility. The Presiding Officer may ask an Advisor to frame why a question is or is not relevant from their perspective but will not entertain argument from an Advisor on relevance once the Presiding Officer has ruled on a question or argument.

If a party or witness chooses not to submit to cross-examination at the hearing, either because they do not attend the meeting, or they attend but refuse to participate in questioning, then the Hearing officer(s) may not rely on any prior statement made by that party or witness at the hearing (including those contained in the investigation report) in the ultimate determination of responsibility. The Decision-maker(s) must disregard that
statement. Evidence provided that is something other than a statement by the party or witness may be considered.

If the party or witness attends the hearing and refuses to answer any question that the Presiding Officer determines to be relevant, none of their prior statements can be relied upon in the determination of responsibility.

Hearings will ordinarily be audio recorded, with the exception of any deliberation between the hearing officer(s), although written transcription or video recording may serve as a substitute. This record will be the property of the University. Respondents, Complainants, or Advisors at the hearing are free to take their own written notes, but they may not record, share, or stream any photography, video or audio of the hearing. Respondents or Complainants may request the opportunity to review the official record under the supervision of the Title IX Coordinator or designee.

The Decision-maker(s) may not draw any inference solely from a party’s or witness’s absence from the hearing or refusal to answer cross-examination or other questions. If charges of prohibited conduct other than Prohibited Sexual Harassment are considered at the same hearing, the Decision-maker(s) may consider all evidence it deems relevant, may rely on any relevant statement as long as the opportunity for cross-examination is afforded to all parties through their Advisors, the ability to assess credibility is provided where significant disciplinary sanctions are at issue. The decision-maker(s) may draw reasonable inferences from any decision by any party or witness not to participate or respond to questions. The hearing officer(s) may remove any party (including complainant, respondent, witness, advisor) from a hearing for reasons including, but not limited to, disruption, waste of time, sharing irrelevant, immaterial, or unduly repetitive information, or failing to adhere to requests of the hearing administrators. The hearing officer(s) may have the individual leave the hearing and proceed with the hearing in the person’s absence.

Findings and Appeals

Hearing Outcome
After the hearing has concluded, the hearing officer(s) will deliberate and prepare the hearing outcome. The hearing officer(s) will prepare the report promptly, generally making it available to the parties within 14 business days of the completion of the hearings. The Presiding Officer will notify the parties if an extension of this timeline is necessary. The hearing outcome will include an analysis of all relevant information identified throughout the process, an analysis of policy, and conclusion of whether or not there is a preponderance of evidence that the respondent violated University policy.

The possible outcomes for each alleged violation are as follows:

- Not responsible
- Responsible

If the hearing officer(s) determines that policy was violated, the Board may then consider the previously submitted party impact statements in determining appropriate sanctions. The Board will also consider any pertinent conduct history when determining sanctions. The Presiding Officer will ensure that each of the parties has an opportunity to review
any impact statement submitted by the other party(ies). The Decision-maker(s) may – at their discretion – consider the statements, but they are not binding.

The hearing outcome shall include the determination, rationale, the evidence used in support of the determination, the evidence disregarded, credibility assessments and any sanctions. The Hearing officer(s) will submit the hearing outcome to the Title IX Coordinator for final review prior to providing it to the parties. The Title IX Coordinator may direct the hearing officer(s) to further consider or investigate additional aspects of a particular case prior to finalizing the hearing outcome.

As simultaneously as possible, the Presiding Officer will provide each party with the hearing outcome via the individual’s University email address. The hearing outcome shall also include information about any appeals process available to the parties.

The parties will each receive an appeal deadline by which, if they disagree with the findings of responsibility and/or sanctions, they may request an appeal based on one or more relevant criteria, as outlined below. If neither party requests an appeal by the deadline, parties will be notified that the findings/sanctions outlined the hearing outcome will become the final determination on the matter. Should an appeal be filed by one party, the other party shall be notified of the submission.

**Remedies and Sanctions**

**Remedies and Sanctioning for Students**

Remedies must be designed to restore or preserve the Complainant’s equal access to the University’s education program or activity.

The sanctions generally applicable to a student who is found responsible for violating this policy are found within the sanctions section of the Student Conduct Code. Engaging in conduct that is prohibited by this policy may result in the imposition of one or more sanctions ranging from warnings and educational sanctions up to and including suspension or expulsion, depending on the severity of the incident in question and the student’s prior conduct history. The following are the typical sanction ranges for the various forms of conduct prohibited by this policy, prior to consideration of individual conduct history:

<table>
<thead>
<tr>
<th>Violation</th>
<th>Possible Sanctions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dating violence</td>
<td>A student found in violation of the dating violence provision will typically be suspended for a minimum of one year and may be suspended for multiple years or expelled*. A student may also receive educational sanctions.</td>
</tr>
<tr>
<td>Domestic violence</td>
<td>A student found in violation of the domestic violence provision will typically be suspended for a minimum of one year and may be suspended for multiple years or expelled*. A student may also receive educational sanctions or actions.</td>
</tr>
<tr>
<td>Sexual assault</td>
<td>A student found in violation of the sexual assault – non-consensual sexual penetration provision will typically be sanctioned to a multi-year suspension or be expelled* from the University. A student found in violation of the sexual assault – fondling provision will typically be placed on deferred suspension but may also be suspended or expelled. However, less severe violations of the sexual assault – fondling or other unlawful sexual conduct may result in probation or probation of loss of privileges. A student may also receive educational sanctions or actions.</td>
</tr>
<tr>
<td>Sexual exploitation</td>
<td>A student found in violation of the sexual exploitation provision will typically be placed on a minimum of a semester suspension to a multi-year suspension or expelled* from the University. A student may also receive educational sanctions to complete as a requirement for returning from a suspension.</td>
</tr>
<tr>
<td>Sexual harassment – unwelcome conduct</td>
<td>A student found in violation of the sexual harassment provision will typically be placed on a minimum of a deferred suspension to a multi-year suspension. A student may also receive educational sanctions to complete as a requirement for continued enrollment or as a requirement for returning from a suspension.</td>
</tr>
<tr>
<td>Stalking</td>
<td>A student found in violation of the stalking provision will typically be suspended from the University for a minimum of one year and may be suspended for multiple years or expelled*. However, less severe violations of this policy may result in probation with loss of privileges or deferred suspension. A student may also receive educational sanctions.</td>
</tr>
</tbody>
</table>

*Expulsion shall be noted on a student’s transcript.

Educational sanctions may include completion of online modules, required meeting(s) with appropriate University or community resources, research or reflection papers, restitution, or other sanctions the Board finds appropriate.

Additional actions may include restriction from campus facilities, restrictions on participation in University sponsored activities or events, permanent no contact orders.

Additional sanctions may include permanent no contact orders, academic or housing reassignment, or removal from campus housing or other sanctions uniquely tailored to remedy any prohibited conduct and prevent its recurrence.

**Remedies and Sanctions for Employees**

Remedies and Sanctions must be designed to restore or preserve equal access to the University’s education program or activity which may include, but are not limited to:

- Written warning
• Educational Training
• Referral for substance abuse evaluation, education, and/or treatment
• Referral for personal counseling
• Restitution for damages
• Special restriction or loss of privilege
• Disciplinary probation
• Restricted campus access
• Placement on unpaid leave of absence
• Termination of employment – employees, could apply to student employees
• Referral to public law enforcement agencies

Employees who fail to complete or fulfill assigned sanctions within the time allowed are subject to additional disciplinary actions including increased sanctions, placement on an unpaid leave of absence, and/or in some cases, termination of employment.

Employees who fail to complete or fulfill assigned sanctions within the time allowed are subject to additional disciplinary actions including increased sanctions, placement on an unpaid leave of absence, and/or in some cases, termination of employment.

Remedies and Sanctions for Faculty

Any of the following types of disciplinary action may be taken as deemed appropriate: reprimand; suspension with or without pay; demotion; reassignment; or dismissal.

Appeals

Appeals criteria
The appeals officer(s) will consider appeals requests narrowly, specific to the relevant criteria for appeal. Appeals may only be brought on one or more of the three possible criteria:

a. Procedural irregularity that affected the outcome of the matter;

b. New evidence that was not reasonably available at the time the determination regarding responsibility or dismissal was made, that could affect the outcome of the matter; and

c. The Title IX Coordinator, investigator(s), or decision-maker(s) had a conflict of interest or bias for or against complainants or respondents generally or the individual complainant or respondent that affected the outcome of the matter.

Appeal Process for Students
After a hearing has been convened and within 5 business days of receiving the hearing outcome, the parties will have an opportunity to submit a written appeal outlining why they believe one or more of the criteria for appeal exists in their case. Appeals are typically limited to 7 pages, double-spaced, 12-pt. font. In the instance of particularly complex or simultaneous cases, an extension on this page limit may be granted by the Dean of Students or designee.
The other party will be notified within 2 business days if an appeal is submitted. The notified party will have an opportunity, if requested, to review the original written appeal. They may submit a written response (typically limited to 7 pages, double-spaced, 12-pt. font) within 5 business days of being notified that an appeal was submitted.

Appeals outcomes
Appeals will be concluded in one of the following manners:
If the Dean of Students or designee determines that none of the appeals criteria have been met, they will deny the appeal request, thereby upholding the hearing findings and sanctions (if applicable).

a. If the Dean of Students or designee determines that new information not previously available needs to be considered, the case should normally be remanded to the original investigator(s) and/or, hearing officer(s) for reconsideration. Parties will be allowed to respond to new information and new findings will be issued.
b. If the Dean of Students or designee determines that there was a procedural error that had a significant impact the outcome, the matter will be remanded to the Title IX Coordinator to remedy the error or the Dean of Students or designee may take other corrective action to sufficiently remedy the error.

The Dean of Students or designee will provide the complainant and respondent written notification of the final determination as simultaneously as possible.

Appeal Process for Employees
Within 10 business days either party may appeal the University’s dismissal of a Formal Complaint of receiving the written determination regarding responsibility. Appeals must be sent to the Equal Opportunity and Diversity Officer in writing.

Response to Appeals
As to all appeals, the Equal Opportunity and Diversity Officer (or designee) shall:

a. Notify the other party in writing immediately when an appeal is filed and implement appeal procedures equally for both parties;
b. Ensure that the decision-maker(s) for the appeal is not the same person as the decision-maker(s) that reached the determination regarding responsibility or dismissal, the investigator(s), or the Equal Opportunity and Diversity Officer;
c. Ensure that the decision-maker(s) for the appeal complies with the standards set forth in this policy;
d. Give the non-appealing party an opportunity to submit a written statement in response to the appeal within 10 business days of receiving the appeal, which shall be transmitted within 2 business days to the Appeal decision-maker(s).


**Records Retention**

The University shall maintain for a period of at least seven years records of:

a. Each sexual harassment investigation including any determination regarding responsibility and any audiovisual recording or transcript required under federal regulations

b. Any disciplinary sanctions imposed on the respondent

c. Any remedies provided to the complainant designed to restore or preserve equal access to the University’s program or activity

d. Any appeal and result therefrom.

e. Any informal resolution and the result therefrom.

f. All materials used to train Title IX Coordinators, Investigators, Hearing officer(s), and any person who facilitates an Informal Resolution process. The University will make these training materials publicly available on the University’s website.

g. Any actions, including any supportive measures, taken in response to a report or formal complaint of sexual harassment, including:
   i. The basis for all conclusions that the response was not deliberately indifferent;
   
   ii. Any measures designed to restore or preserve equal access to the University’s education program or activity; and
   
   iii. If no supportive measures were provided to the Complainant, document the reasons why such a response was not clearly unreasonable in light of the known circumstances.

The University will also maintain any and all records in accordance with state and federal laws.

**Required Training**

The Title IX Coordinator, Equal Opportunity and Diversity Officer, investigators, decision-makers, and any person who facilitates an alternative resolution process (whether internal or external) shall receive training on the definition of sexual harassment under University policy, the scope of the University’s education program or activity, how to conduct an investigation and grievance process including hearings, appeals, and alternative resolution processes, as applicable, and how to serve impartially, including by avoiding prejudgment of the facts at issue, conflicts of interest, and bias. These individuals shall receive annual training on the issues related to domestic violence, dating violence, sexual assault, and stalking and how to conduct an investigation and hearing process that protects the safety of victims and promotes accountability.

Decision-makers shall receive training on any technology to be used at a live hearing and on issues of relevance of questions and evidence, including when
questions and evidence about the Complainant’s sexual predisposition or prior sexual behavior are not relevant. Investigators shall receive training on issues of relevance to create an investigative report that fairly summarizes relevant evidence.

Any materials used to train Title IX Coordinators, investigators, decision-makers, and any person who facilitates an alternative resolution process, will not rely on sex stereotypes, and will promote impartial investigations and adjudications of Formal Complaints of sexual harassment.

Prevention Education for Students & Employees

It is the University’s desire to create a supportive climate for all students, staff & faculty, and prevent sexual misconduct and violence within the Chapman community. For this reason, several Chapman departments and organizations develop educational, preventative programming annually for the community that is comprehensive and intentionally designed to end dating violence, domestic violence, sexual assault, and stalking.

Several groups within Chapman University contribute to the body of preventative education and programming on campus each year. Together, these groups help ensure that the programming is culturally relevant, inclusive of diverse experiences and identities, are sustainable year-to-year, respond appropriately to the needs of the Chapman community, and are research-based. Some of the involved groups include P.E.E.R. (Proactive Education Encouraging Responsibility) department, C.A.R.E.S. (Creating a Rape Free Environment for Students), Public Safety, Residence Life, and First Year Experience, the Dean of Students Office, and Human Resources.

Programs and Campaigns

Programs for New Students

New undergraduate students, including incoming first year students and transfer students, are required to complete The Healthy Panther Initiative (HPI), which provides culturally relevant and inclusive prevention information, skills, and resources that help in the overall development and success of Chapman students. The program is designed to empower students with information and skills to help them make positive decisions regarding the use of alcohol and drugs, sexual relationships, personal health, social health, risk reduction, and bystander intervention skills related to the prevention of sexual misconduct incidents. Prevention information and skills are conveyed to students utilizing video clips, lecture, sharing of real-life experiences and the use of humor, but central to the program is the integration of the three topics: alcohol use, sexual assault prevention, and safer sex choices. The program addresses the definitions of behavior prohibited by the Student Sexual Misconduct Policy including sexual assault, stalking and dating and domestic violence and University procedures for addressing alleged violations of those policies. Additionally, the program reviews local jurisdiction definitions for sexual assault, stalking, and dating and domestic violence. Students who do not complete the program during orientation are required to complete either a makeup session or online courses that cover University policies about sexual misconduct and other material to encourage students to make healthy choices. For the 2021-2022 academic year, students are required to
complete four online modules that discuss sexual assault, dating violence, domestic violence, stalking, sexual harassment, alcohol use, and tips for bystander intervention and risk reduction.

New graduate students are required to participate in an in-person training that reviews the Discrimination, Harassment, and Retaliation Prevention Policy and Policy on Harassment Prohibited by Title IX the Student Sexual Misconduct Policy and the Student Conduct Code. This training encompasses the definitions of sexual assault, stalking, and dating and domestic violence, reporting options, as well as bystander intervention and risk reduction tips. These trainings are offered at a variety of times early in the academic year in order to accommodate the varying needs of graduate academic programs.

Programs for New Employees

Staff and faculty members are required to regularly complete online courses related to the awareness and prevention of sexual harassment and sexual misconduct in the workplace and among students. The courses are interactive and contain many challenging and interesting real-life situations in an academic and workplace environment. One specific required course is titled, “Preventing Discrimination and Sexual Violence: Title IX, VAWA and Clery Act for Faculty and Staff.” Additionally, each summer, the Staff Summit offers a variety of workshops and sessions for staff members, including annual offerings related to FERPA, Title IX, incident reporting, CPR, safety, and security guidelines, and supporting students. In addition to the aforementioned programs, Chapman University regularly hosts ongoing awareness campaigns and events.

Some of the ongoing awareness campaigns and events (presentations are available upon request to student groups) include:

**Alcohol and Drug Abuse & How to Help a Friend who has a Problem**

This program explores co-dependence and provides students steps how to talk to a friend about concerns regarding their substance use. It provides bystander intervention tips and risk reduction information.

**Clothesline Project**

The Clothesline Project is an annual outdoor exhibit to increase awareness about gender violence through a display of t-shirts designed by survivors of violence and the people it has impacted. The goal of the program is to help break the silence surrounding violence against women and to illustrate the impact that it can have on the entire community. The Clothesline Project is a visual depiction of the prevalence of interpersonal violence in society. Additionally, information about bystander intervention and risk reduction is available at a table during this event.

**Denim Day**

Each year, Chapman observes Denim Day and encourages all campus community members to wear jeans in solidarity against rape, sexual assault, and survivor-shaming. The event draws participants from the student body, faculty, and staff alike.
Enzymes, Elephants, and Baboons

This program is a scientific look at interpersonal violence and provides students with active bystander tips. It is specifically designed for students who identify as male.

The Listen Project

This exhibit consists of life size silhouettes that display the stories of Chapman survivors with the goal of breaking the silence surrounding violence against men and women.

Men of Integrity, Women of Strength

The Annual Men of Integrity and Women of Strength, Courage and Action Event has occurred at Chapman for the last twelve years to honor students who take action to promote a safer environment for all students by speaking out against abusive or degrading speech, promoting safe and healthy relationships, living by their convictions, and actively supporting the development of a safer, more respectful Chapman community. A presentation on the topic of violence against women is often a showcase at the event.

Rape Aggression Defense (R.A.D.)

RAD is a crime prevention and risk reduction program specifically for women that focuses on women’s safety and self-defense techniques. The program offers intensive training on technique and strategy and offers students, faculty, and staff hands-on experience with self-defense practice.

SAVS (Sexual Assault Victims) Drive

This drive for clothing, blankets, and stuffed animals benefits adult and child survivors of sexual assault who undergo a forensic examination and must have a change of clothes to leave the hospital. The drive takes place in partnership with Waymakers, which include sexual assault advocates, a hotline, and shelter services for children and families.

Sexpectations

This program provides students with useful techniques and skills to better develop healthy habits in their relationships. The program educates attendees on how to identify the signs of an unhealthy relationship through skits and discussion.

Tabling Events (Various)

Throughout the academic year, several student groups and Chapman departments host tables with resources, giveaways, information, pledges, and other activities to raise awareness and increase students’ skills to become active bystanders and reduce their risk of sexual assault, stalking, dating violence, and domestic violence. One such tabling event is Mocktails, which takes place for students and their families during Orientation. Juice is served, and all participants receive a safety whistle and information about risk reduction, bystander intervention, and sexual assault resources on campus. Another tabling event utilizes
a large poster depicting a baseball field and explains the “rules” of consensual sex, including the definition of affirmative consent and information about safer sex.

**Take Back the Night**

This four-part evening, held in the Wallace All Faiths Chapel on campus, gives students and the community the opportunity to share stories and honor the strength of sexual assault survivors in the Chapman community. The event consists of a reading of sexual assault accounts submitted by Chapman survivors, an open microphone, a candlelight march, and a reception following the event.

**The Vagina Monologues**

Having recently celebrated its tenth year on campus, The Vagina Monologues is a student-run production of the play by Eve Ensler. The purpose of the production is to raise awareness of sexual assault, dating violence, domestic violence, and the impact that gender violence can have on an individual and their community. Additionally, the play serves as a fundraiser to support anti-violence groups that benefit the local community. Staff, faculty, and students all contribute to the production.

**Walk Against Violence**

The Walk Against Violence hosted at Chapman University connects with the award-winning Walk a Mile in Her Shoes march, which is an international movement to raise awareness, and staff are encouraged to wear high heels and walk from Attallah Piazza to Old Town Orange and back as a way of speaking out against rape, sexual assault, and other violent acts that target women.

**What Were You Wearing?**

The What Were You Wearing exhibit is hosted in the Student Union and is based on student-survivor descriptions of the clothes they were wearing when they were sexually assaulted. During this exhibit, students are also given bystander intervention tips.

**Bystander Intervention**

At Chapman, all community members should help foster a culture of care, community and individual responsibility, and accountability, and this can include intervening in situations related to potential dating violence, domestic violence, sexual assault, stalking, or other sexual misconduct. Many situations related to potential dating violence, domestic violence, sexual assault, stalking, or other sexual misconduct happen in the presence of bystanders: people who are not involved in the situation but who witness problematic or potentially dangerous behavior. Bystanders can play an important role in such situations by intervening safely and positively to prevent or reduce harm, for example by taking a friend home, seeking help or resources, or encouraging others to reconsider their behavior.

**Safe and Positive Options for Preventative Action**

Stay in groups and develop plans together. When going out, make sure to go out in groups and have a collective plan for transportation, socializing, and getting home.
safely together. Do you all intend to drink? Are any of you planning on getting together with another person or group when you arrive to your destination? What time do you want to head home? If you notice a friend or member of your group is not with the group or does not seem to be following the plan you all developed at the beginning of your outing, reach out to that person and ask if they are okay or they would like to go home.

**Drink responsibly.** Alcohol can affect your judgement and that of those you are with in any given situation. Drink responsibly so that you can recognize situations and take action if necessary.

**Watch out for others.** If you see a person walking by themselves or headed home by themselves and you are headed in the same direction, offer to have them walk with your group so that you all can be safer in each other’s company. If you see someone who appears highly intoxicated pouring or asking for another drink, approach them and mention that you are concerned that they have had enough to drink. If you see a person taking advantage of someone who seems too intoxicated, step in and let them know you are concerned.

**Diffuse situations casually and safely.** If you perceive that one person is advancing too quickly on someone else who might seem too intoxicated to give consent, or if you see someone who seems to be isolating another person from the crowd, casually jump in and redirect the conversation or ask if the isolated person is okay. Offer to direct the intoxicated or isolated person home or find another activity away from the other individual. If you do not feel comfortable jumping in casually or safely, try to get another person or authority to intervene.

**Tell someone.** If something does not seem right and you notice a situation or conditions that might be problematic, mention it to another friend or acquaintance. You might be able to develop a way to intervene safely and positively together.

**Contact resources or authorities if needed.** Do not hesitate to call the Department of Public Safety at 714-997 6763 or call 911 if you perceive that there is immediate danger, threat, or harm.

Additionally, some qualities of person’s friends or social group can affect their likelihood to commit sexual or interpersonal violence. People who have committed sexual or interpersonal violence have friend groups who, on average:

- Hold rigid, traditional beliefs about gender roles
- Are generally more aggressive or tolerant of violence
- Are “hypermasculine” or lack empathy for others

Consider the company you keep. Do you and your friends create a healthy, positive environment for each other? Do your friends express empathy and concern for you and others? Do you feel strongly connected to and supported by your community?

Friends and peers in valued social groups or organizations are more likely than anyone else to influence and change each other’s beliefs and behavior. You play an important role in making your community a healthy place.
Risk Reduction

General

The best way to have healthy sexual interactions and to maintain healthy relationships is to communicate. Ask others what they want to do and respect their boundaries when there are things they do not want to do.

Consent is crucial and relates to all the forms of sexual misconduct and other prohibited behavior in our Student Sexual Misconduct Policy.

- Consent is active, not passive, or implied. It is only “yes” if someone has willingly and unambiguously communicated their agreement.

- It is not always easy to say no. When someone is uncomfortable or afraid, they often will freeze up or find an indirect way to decline instead of saying “no” or physically removing themselves from the situation. If someone has stopped responding, seems “out of it,” responds vaguely or changes the topic, stop and check in.

- Just because you are in a relationship or have hooked up before does not mean you can assume you have consent. Check in with your partner(s) every time.

Sexual Assault

In most cases, sexual violence is committed by someone who their target knows, likes, or even loves. Even if you do not believe that someone you know could have committed sexual violence, it is important that survivors of sexual violence receive support. Do not dismiss or joke about allegations of sexual violence.

Alcohol and other intoxicating substances can affect our ability to communicate and understand consent. Everyone involved needs to be able to understand the fact, extent, and nature of any sexual activity (the “who, what, when, where, and how”) and freely agree to it. Be sure you are communicating about consent when anyone involved might be under the influence.

Dating/Domestic Violence

Healthy relationships are ones where all parties feel valued and have equal input. Relationships based on respect, equality, support, and care for each other are ideal.

- According to the National Domestic Violence Hotline, some of the signs of an abusive relationship include a partner who:
  - Shows extreme jealousy of your friends and time spent away
  - Insults, demeans, or shames you with put-downs
• Takes your money or refuses to give you money for necessary expenses
• Looks at you or acts in ways that scare you
• Controls who you see, where you go, or what you do
• Prevents you from making your own decisions
• Prevents you from working or attending school
• Destroys your property or threatens to hurt or kill your pets
• Intimidates you with guns, knives, or other weapons
• Pressures you to have sex when you do not want to or to do things sexually that you are not comfortable with
• Pressures you to use drugs or alcohol

• If you notice any warning signs in your relationship or in a friend’s relationship, know the support resources available and reach out for support in a safe way. For more resources, check out the aforementioned resources or the Title IX Resources website.

• Even if you are really concerned or scared about a friend in an unhealthy relationship, it is important to remain open and supportive when you talk with them. There are many reasons why people stay in unhealthy relationships, including fears about their or other loved ones’ safety if they choose to leave the relationship. For more information about how to help a friend, visit the National Domestic Violence Hotline website.

Stalking

Stalking is not about what the person doing unwanted things intended; it is about the impact that those unwanted behaviors have on their target.

• Saying no once should be enough. If someone has told you they do not want you to do something or have stopped interacting with you, you have their answer, and it is “no.”

• Set norms of consent and respect in all of your relationships. If a friend seems scared, frustrated, or otherwise uncomfortable with someone’s behavior, listen to them. Even if you like the person engaged in that behavior or would like that sort of behavior from someone you are interested in, that does not mean that it is okay with your friend.

If you are concerned about someone’s behavior toward you, it is okay to ask for help early. Stalking is one form of misconduct where early intervention can greatly reduce the severity of misconduct and the impact on all parties. Even if someone’s behavior does not yet rise to the level of a violation under our Student Sexual Misconduct Policy or Conduct Code, the Title IX Coordinator can help you explore options for your safety.
and well-being. In some cases, it might be helpful to have campus staff have an informal, educational discussion with the person whose behavior is concerning you.

Keep a record of any unwanted behavior that makes you uncomfortable. Stalking is defined as a pattern of behavior—keeping a record can help Campus Safety, Student Conduct, and/or law enforcement understand and address the pattern if you choose to report it.

Assessing the full scope of stalking behavior, its impact on the target of the behavior, and planning for safety can make a huge difference. If you’re worried about a friend, help connect them to a professional therapist, advocate, the Title IX Coordinator, or law enforcement to learn more about options for their safety and wellbeing; see the contact information for these resources listed above or visit the Title IX Resources website. A safety planning tool like the free Stalking Harassment and Risk Profile (SHARP) can also help clarify risks and options.

Stalking is a crime brochure – What to do if you are being stalked or criminally harassed

**Reporting Abuse or Neglect of Minors:**

All incidents of suspected abuse or neglect of individuals under the age of 18 should be reported immediately to the Chapman University Department of Public Safety at 714-997-6763 and/or the Orange Police Department at 714-744-7444; if the abuse/neglect was reported on the Chapman University Main Campus. If the abuse/neglect was reported on the Chapman University Rinker Health Science Campus contact the Chapman University Department of Public Safety at 714-997-6763 and/or the Irvine Police Department at 949-724-7000. A second report shall also be made to the Child Abuse Reporting Hotline at 714-940-1000 or 800-207-4464. These reports may be made 24 hours per day. If assistance is needed in making such a report, please do not hesitate to call the Department of Public Safety.

**Annual Disclosure of Crime Statistics**

The Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act (20 USC § 1092(f)) requires colleges and universities across the United States to disclose information about crime on and around their campuses. To comply with the disclosure requirement regarding crime statistics, the Department of Public Safety annually publishes crime statistics for the past three years of crimes mandated by the Clery Act. To better understand the explanation of what the statistics represent a definition of terms follows.

**Definitions of Reportable Crimes**

**Murder/Non-Negligent Manslaughter** – defined as the willful killing of one human being by another.

**Manslaughter by Negligence** – is defined as the killing of another person through gross negligence.

**Sexual Assault** – is defined as any sexual act directed against another person, forcibly and/or against that person’s will; or not forcibly or against the person’s will where the
victim is incapable of giving consent, as well as incest or statutory rape. The following
sex offenses fall with the definition of Sexual Assault:

- Rape - The penetration, no matter how slight, of the vagina or anus with any
  body part or object, or oral penetration by a sex organ of another person, without
  the consent of the victim.

- Fondling - The touching of the private body parts of another person for the
  purpose of sexual gratification, without the consent of the victim, including
  instances where the victim is incapable of giving consent because of his/her age
  or because of his/her temporary or permanent mental incapacity.

- Incest - Non forcible sexual intercourse between persons who are related to
  each other within the degrees wherein marriage is prohibited by law.

- Statutory Rape - Non forcible sexual intercourse with a person who is under the
  statutory age of consent.

**Robbery** – is defined as taking or attempting to take anything of value from the car,
custody, or control of a person or persons by force or threat of force or violence and/or
by putting the victim in fear.

**Aggravated Assault** – is defined as an unlawful attack by one person upon another for
the purpose of inflicting severe or aggravated bodily injury. This type of assault usually is
accompanied by the use of a weapon or by means likely to produce death or great
bodily harm.

**Burglary** – is the unlawful entry of a structure to commit a felony or a theft.

**Motor Vehicle Theft** – is the theft or attempted theft of a motor vehicle.

**Arson** – any willful or malicious burning or attempt to burn, with or without intent to
defraud, a dwelling house, public building, motor vehicle or aircraft, personal property
of another, etc.

**Hate Crimes**

**Hate Crimes** – includes all of the crimes listed above that manifest evidence that the
victim was chosen based on one of the categories of bias listed below, plus the
following crimes.

**Larceny/Theft** – includes, pocket picking, purse snatching, shoplifting, theft from
building, theft from motor vehicle, theft of motor vehicle parts or accessories, and all
other larceny.

**Simple Assault** – an unlawful physical attack by one person upon another where neither
the offender displays a weapon, nor the victim suffers obvious severe or aggravated
bodily injury involving apparent broken bones, loss of teeth, possible internal injury,
severe laceration or loss of consciousness.
Intimidation – to unlawfully place another person in reasonable fear of bodily harm through the use of threatening words and/or other conduct but without displaying a weapon or subjecting the victim to actual physical attack.

Destruction/Damage/Vandalism or Property (except Arson) – to willfully or maliciously destroy, damage, deface or otherwise injure real or personal property without the consent of the owner or the person having custody or control of it.

Categories of Prejudice Specific to Hate Crimes

Race – A preformed negative attitude toward a group of persons who possess common physical characteristics genetically transmitted by descent and heredity which distinguish them as a distinct division of humankind • Gender – A preformed negative opinion or attitude toward a group of persons because those persons are male or female.

Gender Identity – A preformed negative opinion or attitude toward a group of persons because of the gender identify by those persons.

Religion – A preformed negative opinion or attitude toward a group of persons who share the same religious beliefs regarding the origin and purpose of the universe and the existence or nonexistence of a supreme being.

Sexual Orientation – A preformed negative opinion or attitude toward a group of persons based on their sexual attraction toward, and responsiveness to, members of their own sex or members of the opposite sex.

Ethnicity/national origin – A preformed negative opinion or attitude toward a group of persons of the same race or national origin who share common or similar traits, languages, customs and traditions.

National Origin – A preformed negative opinion about a group of persons based upon them being from a particular country or part of the world. • Disability – A preformed negative opinion or attitude toward a group of persons based on their physical or mental impairments/ challenges, whether such disability is temporary or permanent, congenital or acquired by heredity, accident, injury, advanced age or illness.

Violence Against Women’s Act (VAWA) Offenses

The Clery Act defines dating violence, domestic violence, and stalking as follows.

Dating Violence- Dating Violence includes any act of violence committed by an individual:

a. who is or has been in a social relationship of a romantic or intimate nature with the Reporting Party; and

b. where the existence of such a relationship shall be determined based on a consideration of the following factors:

i. The length of the relationship;
ii. The type of relationship; and

iii. The frequency of interaction between the individuals involved in the relationship.

**Domestic Violence** - Domestic violence means any felony or misdemeanor crime of violence committed against a person who is a spouse or former spouse, a cohabitant or former cohabitant, a person with whom they have a child, or with whom they have a previous or current dating, romantic, intimate, or sexual relationship.

**Stalking** - Stalking is a course of conduct directed at another person that would cause a reasonable person to fear for their safety or the safety of others, or (ii) to suffer substantial emotional distress. “Course of conduct” means behavior involving two or more acts in which a person directly or indirectly monitors, follows, observes, threatens, surveils, communicates to or about another or interferes with the other person’s property. “Substantial emotional distress” means significant mental suffering or anguish. Stalking includes “cyberstalking.” Cyberstalking is a course of conduct in which a person uses electronic media, like the internet, social networks, blogs, cell phones, or text messages to cause reasonable fear or emotional distress.

**Arrest and Referrals for Discipline for Violations of Liquor Law, Drug, and Weapons Law**

**Liquor Law Violations** - The violation of state and local municipal laws and ordinances prohibiting the manufacture, sale, transporting, furnishing, possessing of intoxicating liquor; maintaining unlawful drinking places; bootlegging; operating a still; furnishing liquor to a minor or intemperate person; using a vehicle for illegal transportation of liquor; drinking on a train or public conveyance; and all attempts to commit any of the aforementioned. (Drunkenness and driving under the influence are not included in this definition.)

**Weapons Law Violations** - The violation of federal, state and local laws or ordinances dealing with weapon offenses, regulatory in nature, such as: manufacture, sale, or possession of deadly weapons; carrying deadly weapons, concealed or openly; furnishing deadly weapons to minors; aliens possessing deadly weapons; and all attempts to commit any of the.

**Drug Law Violations** - Violations of federal, state, and local laws relating to the unlawful possession, sale, use, growing, manufacturing, and making of narcotic drugs. The relevant substances include opium or cocaine and their derivatives (morphine, heroin, codeine), marijuana, synthetic narcotics (Demerol, methadone), and dangerous non-narcotic drugs (barbiturates, Benzedrine).

**Clery Act Geography Locations**

The following definitions apply to the geographical locations of incidents disclosed in the crime statistics tables contained in this report:

**On-Campus:** Any building or property owned or controlled by an institution within the same reasonably contiguous geographic area and used by the institution in direct support of, or in a manner related to, the institution’s educational purposes, including residence halls; and any building or property that is within or reasonably contiguous to the property described above in this definition, that is owned by the institution but controlled
by another person, is frequently used by students, and supports institutional purposes
(such as a food or other retail vendor).

**On-Campus Residence Halls:** An institution that has on-campus student housing facilities
must separately disclose two sets of on-campus statistics: the total number of crimes that
occurred on campus, including crimes that occurred in student housing facilities, and the
number of crimes that occurred in on-campus student housing facilities as a subset of the
total. In other words, if a Clery Act crime is reported to have occurred in an on-campus
residence hall, the incident is counted twice. It is reported in the overall on-campus
statistics table and once in the on-campus residence hall statistics table.

**Public Property:** All public property, including thoroughfares, streets, sidewalks, and parking
facilities, that is within the campus, or immediately adjacent to and accessible from the
campus.

**Non-Campus:** Any building or property owned or controlled by a student organization
that is officially recognized by the institution; or any building or property owned or
controlled by an institution that is used in direct support of, or in relation to, the
institution’s educational purposes, is frequently used by students, and is not within the
same reasonably contiguous geographic area of the institution.

The university owns or controls several different types of non-campus properties, including
but not limited to student, staff and faculty housing, sporting venues, academic facilities,
administrative support services, and parking structures.
Section 2. Fire Safety Report

The Fire & Life Safety Division (FLS) is responsible for the safety of the Chapman community by mitigating potential fire hazards on campus. Prevention is accomplished through code compliance, coordinating inspections and maintenance of fire protection systems, and by means of educating and training the campus community. The Fire & Life Safety Division serves as the university liaison with the Authorities Having Jurisdiction (AHJ) in matters of permits, inspections, and pre-incident planning.

The Higher Education Opportunity Act

The Higher Education Opportunity Act (Public Law 110-315) became law in August 2008. It requires all United States academic institutions to produce an annual fire safety report outlining fire safety practices, standards, and all fire-related on-campus statistics. The following public disclosure report details all information required by this law as it relates to Chapman University.

Fire Protection Systems

The table below lists the fire safety systems in place at each residence hall and apartment complex at Chapman University. Panther Village and Chapman Grand are considered a non-campus building.

<table>
<thead>
<tr>
<th>On-Campus Housing</th>
<th>Fire Sprinkler System</th>
<th>Smoke Detectors Monitored by Fire Alarm System</th>
<th>Single Station Smoke Alarms</th>
<th>Fire Drills Conducted (2019)</th>
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</table>
### Campus Emergency Response and Evacuation Plans

The information detailed in this section applies to both the Chapman University Main Campus (Orange) and the Chapman University Rinker Health Science Campus (Irvine). Even though the campuses are not located in the same city the policies described are identical at both locations.

Fire Life & Safety coordinates the university’s efforts to prepare for and respond to major emergencies. The primary focus is on coordinating preparation and training for emergency response and maintaining the campus emergency operations plan. Be familiar with the locations and use of all emergency exits, manual fire alarms, and fire extinguishers in your area. You are encouraged to contact your Floor Warden/Resident Advisor and Building Coordinator/Resident Director for your area and work with them to map out a personal strategy to use in the event of a fire or other disaster.

Emergency evacuation plans exist for every building on campus and in student housing facilities. Each evacuation plan provides a floor plan of the building and/or residence floor; identifies the locations of all exits and lists instructions for response to a fire.

Each year the university participates in the California Great Shake out earthquake drill by testing the Emergency Notification Alert System. The drill is announced and encourages the campus community to follow the emergency guidelines in the university’s emergency operations plan. Prior to the drill, a link to the emergency operations plan is shared with the entire campus community, which includes an evacuation plan (EAP) with evacuation assembly points. Emergency preparedness, evacuation information and related instructions can be accessed online at Emergency Management. The test is documented, with a description of the exercise, the date and time, and whether it was announced or unannounced.

### Fire and Evacuation Drills

Supervised Fire and evacuation drills are conducted in each residence hall at the start of the fall and spring semesters. The drills are coordinated by the Department of Public Safety with participation by the Residence Life and First Year Experience staff. Residents are required to participate in fire drills and are walked through the process of evacuating the building in which they reside at the time of each fire drill. Each resident who signs a lease agreement is given information, which includes fire safety and the appropriate action to take during a fire alarm or fire emergency. Drills are unannounced to students and occur at varying times and days. Residents are required to evacuate anytime a fire alarm sounds.
and failure to do so is subject to conduct review. Fire Life & Safety maintains an internal log with notes for each drill, the date and time conducted, the location of the drill, announced or unannounced, notes any issues and or lessons learned.

**ALWAYS** treat every alarm as an emergency and exit the building immediately if an alarm sounds. Evacuate the building using the shortest and safest route, proceeding to the pre-designated emergency evacuation site.

**In the Event of a Fire**
Immediately pull the nearest fire alarm as you exit the building. When evacuating the building, remember to feel doors with the back of your hand before opening them to be sure that there is no fire danger on the other side. If you notice smoke, use an alternate escape route. If you must enter a smoke-filled room or hallway, stay low, keeping one hand on the wall to avoid disorientation and crawl to the nearest exit, keeping your head near the floor.

Always proceed to the emergency exit stairs and do not use the elevators. A fire can disrupt the operation of elevators and trap occupants inside. Once you are safely away from danger, call Public Safety at (714) 997-6763 and communicate the details of the fire. If you are off campus, dial 911.

If you become trapped in a fire emergency, close all doors between you and the fire and stuff towels around the door cracks to keep out smoke. Wait at a safe window and signal for help by hanging a white sheet from the window.

NEVER RETURN TO A BUILDING UNTIL TOLD TO DO SO BY A CHAPMAN UNIVERSITY PUBLIC SAFETY OFFICER OR OTHER UNIVERSITY OFFICIAL.

**Reporting Fires**
All fires on campus, even if already extinguished and regardless of size, should be reported to Fire & Life Safety by contacting the Department of Public Safety at (714) 997-6763. Federal law requires the university to report on an annual basis all fires, which occur in on-campus student housing. All fires that occur in on-campus student housing are entered into the DPS Fire Log as required by the Clery act. The log can be accessed at Orange Campus Fire Log.

**Fire Safety Policies**
Housing fire safety policies can be found in the Student Conduct Website and in the Residence License Agreement.

Student Conduct Code:

**Fire Safety and Equipment** – The following behaviors are prohibited:

- Arson or creating or causing fire without obtaining prior approval from Fire and Life Safety.
- Causing an unwanted alarm for fire or smoke.
• Careless, negligent, or improper handling of, tampering with or obstructing the fire alarm system, smoke detectors, sprinkler system, emergency exits, carbon monoxide detectors, fire extinguishers, exit signs, and other life safety equipment.

• Failing to evacuate a University building during any fire and/or emergency alarms, including drills; re-entering the building before the all-clear sign is given by University staff.

• Propping open doors in residence buildings with enclosed corridors (e.g. Pralle-Sodaro, Henley, Sandhu Residence Center, and Glass Hall).

• Possessing and/or using candles, incense, oil lamps, helium tanks, fog/smoke machines, butane torches, and other combustible and/or flame-producing items in the residence areas, including items prohibited under Cooking/Kitchens and Electrical Appliances in Appendix 4. Burning candles in any campus building is prohibited (except for those events specifically scheduled through the Fish Interfaith Center and other approved events).

• Artificial trees and potted, live trees are permitted. No cut trees are permitted in the residence halls. extension cords may not be used for holiday lights or other electric lights or items.

• Possessing and/or using multi-plugs, hoverboards, halogen lamps or extension cords in the residence area. Power strips with surge protectors are permitted but may not be extended by plugging one into another.

• Possessing and/or using fireworks, sparklers, smoke bombs, CO2 cartridges, explosives, flammable chemicals, or other hazardous materials. Possessing and/or using barbeques and other outdoor cooking equipment in the residence halls and apartments except those provided by and installed by University officials. University-provided outdoor cooking equipment can only be used in designated areas.

• Attaching excessive wall coverings or other items to walls and/or ceilings.

Residence Life and First Year Experience Policies and Procedures
Cooking is allowed only in kitchens. Cooking in residence hall rooms or in non-kitchen areas is strictly prohibited. Microwaves other than approved combination microwave/refrigerator units are prohibited in the residence halls. Microwave/refrigerator units must use a single power supply and meet the cubic feet requirement in “Electrical Appliances.” The Morlan kitchen is available for resident use. Visit the Residential Support Desk in the Henley basement for assistance to enter the kitchen.

Food waste must be correctly disposed of and brought to the dumpster. Garbage disposals are for small remnants left over from washing. Absolutely no rice, potatoes, lettuce, celery, grease, carrots, eggshells, or other items that may cause the garbage disposal to malfunction should be placed in the sink or garbage disposal.
**Electrical Appliances** - Clothing irons, coffee makers, refrigerators smaller than 4.0 cubic feet, and micro-fridges (microwave/refrigerator combination units) are approved appliances in the residence halls. Surge protectors and power strips are approved and must be used. Absolutely no extension cords are allowed in residence halls, and apartments.

Due to the health and safety issues inherent in high-density living environments, the following are prohibited in the residence halls: hot plates, electric skillets, electric indoor grills, toaster ovens, toasters, hot oil popcorn poppers, halogen lights, sun lamps, hibachi’s, electric heaters, rice cookers, tanning beds, sandwich makers, multi-plug adaptors, refrigerators larger than 4.0 cubic feet, microwaves (other than the approved combination microwave/refrigerator), major appliances or devices deemed unsafe by Residence Life or Public Safety staff. Possession of these items in a residential room, whether in use or not, constitutes a violation of this policy. Prohibited items will be confiscated, and students found in violation of the policy may be subject to fines of up to $100 per item. Please refer to the Fire Safety policies for more information.

Small kitchen appliances are permitted in the common living areas of Sandhu Residence Center Suites as well as Glass, Davis, Harris, Chapman Grand, Panther Village Apartments, and The K.

**Smoking**

The use of cigarettes, e-cigarettes, cigars, water pipes, pipes, vaping pens or devices, hookahs or any other combustible or smoke-generating products or devices is prohibited on campus, including all housing and all outdoor areas of the campus.

**Fire Safety Training**

Each summer, prior to the return of students and conferences, the Fire & Life Safety Division conducts annual training for the Resident Advisors, Residence Life professional staff and Conference Services staff. Training covers:

- Fire prevention
- Current trends and national statistics of fires and causes on college campuses
- Building evacuations and emergency preparedness
- Life safety systems
- Hands-on fire extinguisher training
- Active Shooter training
Fire Safety training is available to all students, staff, and faculty at both the Main Campus and the Rinker Health Science Campus and can be customized for particular departments. This includes First Aid & CPR, fire extinguisher, fire and building evacuations. Register for the monthly fire extinguisher training at Fire Safety Training Calendar or contact us at firesafety@chapman.edu.

**Health & Safety Inspections**
At least twice a year, the Residence Life staff will conduct Health and Safety inspections. During inspections, if a room is found to have violations, action will be taken to address those violations. Residents who are found to be in violation of university policies during these inspections will be subject to fines, conduct review and/or loss of current or future housing assignments. Fines for violations range from $25-$35 per violation, and violators may also be subjected to conduct review. Residents may be fined if found with prohibited appliances listed under the fire safety policy.

Potentially dangerous items found during Health and Safety inspections may be confiscated without the option of being returned. **In addition to fines and confiscation, some items may be destroyed, including but not limited to drugs, drug paraphernalia, directional signs, weapons, alcoholic beverages, or containers and candles.**

**Plans for Future Improvements**
Facilities Management regularly monitors all campus facilities for necessary safety and security related repairs. The Fire & Life Safety Division continually works with Facilities Management to identify aging fire alarm systems in the residential and campus buildings and strives to provide reliable and nuisance-free systems. Life safety systems are assessed for additional detection or sprinkler protection requirements whenever the university plans building renovations.

There are no fire protection system improvements planned or scheduled at this time.
## Fire Statistics 2018 – 2020

As required by the Higher Education Opportunity Act of 2008, the following statistics are for fire incidents occurring in on-campus student housing for the years 2018 to 2020.

### Calendar Year 2020

<table>
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<tr>
<th>Residential Facility</th>
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<th>Location</th>
<th>Cause</th>
<th>Injuries</th>
<th>Deaths</th>
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Main Campus – Orange: Crime Statistics
2020

### Criminal Homicide

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<th>Category</th>
<th>On Campus Student Housing</th>
<th>On Campus Other</th>
<th>On Campus (Total)</th>
<th>Non-Campus</th>
<th>Public Property</th>
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### Criminal Offences

<table>
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<tr>
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<th>On Campus Other</th>
<th>On Campus (Total)</th>
<th>Non-Campus</th>
<th>Public Property</th>
</tr>
</thead>
<tbody>
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<tr>
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<tr>
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<td>Rape</td>
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<td>0</td>
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<tr>
<td>Statutory Rape</td>
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### Violence Against Women’s Act (VAWA)

<table>
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<th>On Campus (Total)</th>
<th>Non-Campus</th>
<th>Public Property</th>
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### Liquor, Drug & Weapons Violations

#### Arrests

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<th>Category</th>
<th>On Campus Student Housing</th>
<th>On Campus Other</th>
<th>On Campus (Total)</th>
<th>Non-Campus</th>
<th>Public Property</th>
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<tr>
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#### Judicial Referrals

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<th>Public Property</th>
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# Clery Act: Hate Crime Statistics

## 2020

<table>
<thead>
<tr>
<th>Clery Act Reportable Offenses</th>
<th>Race</th>
<th>Gender</th>
<th>Religion</th>
<th>Sexual Orientation</th>
<th>Ethnicity</th>
<th>Disability</th>
<th>National Origin</th>
<th>Gender Identity</th>
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<tbody>
<tr>
<td>Murder/Nonnegligent</td>
<td>0</td>
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<td>0</td>
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<td>Aggravated Assault</td>
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</tr>
<tr>
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<tr>
<td>Motor Vehicle Theft</td>
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<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Arson</td>
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<td>0</td>
<td>0</td>
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<td>0</td>
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</tr>
<tr>
<td>Simple Assault</td>
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<td>0</td>
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</tr>
</tbody>
</table>

| Totals                        | 3    | 1      | 0        | 1                  | 0         | 0          | 1              | 0              |

*Three incidents of destruction of property occurred on campus (Race)

*One incident of destruction of property occurred on campus (National Origin)

*One incident of intimidation occurred on public property (Sexual Orientation)

*One incident of aggravated assault occurred on non-campus property (Gender)
## Crime Statistics-2019

### Criminal Homicide

<table>
<thead>
<tr>
<th>Category</th>
<th>On Campus Student Housing</th>
<th>On Campus Other</th>
<th>On Campus (Total)</th>
<th>Non-Campus</th>
<th>Public Property</th>
</tr>
</thead>
<tbody>
<tr>
<td>Murder/Non-Negligent Manslaughter</td>
<td>0</td>
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<td>0</td>
<td>0</td>
<td>0</td>
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</table>

### Criminal Offences

<table>
<thead>
<tr>
<th>Category</th>
<th>On Campus Student Housing</th>
<th>On Campus Other</th>
<th>On Campus (Total)</th>
<th>Non-Campus</th>
<th>Public Property</th>
</tr>
</thead>
<tbody>
<tr>
<td>Robbery</td>
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<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Aggravated Assault</td>
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<td>1</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Burglary</td>
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<tr>
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### Sex Offences

<table>
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<tr>
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<th>Public Property</th>
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</tr>
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<td>Rape</td>
<td>2</td>
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<td>2</td>
<td>2</td>
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</tr>
<tr>
<td>Statutory Rape</td>
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<td>0</td>
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</table>
**Violence Against Women’s Act (VAWA)**

<table>
<thead>
<tr>
<th>Category</th>
<th>On Campus Student Housing</th>
<th>On Campus Other</th>
<th>On Campus (Total)</th>
<th>Non-Campus</th>
<th>Public Property</th>
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</thead>
<tbody>
<tr>
<td>Dating Violence</td>
<td>1</td>
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<td>3</td>
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</tr>
<tr>
<td>Domestic Violence</td>
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</tr>
<tr>
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**Liquor Law, Drug Law & Illegal Weapons**

**Arrest**

<table>
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<th>On Campus Student Housing</th>
<th>On Campus Other</th>
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<th>Non-Campus</th>
<th>Public Property</th>
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<td>Illegal Weapons Possession</td>
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**Disciplinary Referral**

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# Clery Act: Hate Crime Statistics

## 2019

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<th>Clery Act Reportable Offenses</th>
<th>Race</th>
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<th>Religion</th>
<th>Sexual Orientation</th>
<th>Ethnicity</th>
<th>Disability</th>
<th>National Origin</th>
<th>Gender Identity</th>
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<tbody>
<tr>
<td>Murder/Nonnegligent</td>
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<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Aggravated Assault</td>
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<td>Motor Vehicle Theft</td>
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</tr>
<tr>
<td>Simple Assault</td>
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<td>Intimidation</td>
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</table>

*One incident of destruction of property occurred on campus (Religion)*

*One incident of destruction of property occurred in campus housing (Sexual Orientation)*
## Crime Statistics-2018

### Criminal Homicide

<table>
<thead>
<tr>
<th>Category</th>
<th>On Campus Student Housing</th>
<th>On Campus Other</th>
<th>On Campus (Total)</th>
<th>Non-Campus</th>
<th>Public Property</th>
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<tbody>
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<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Manslaughter by Negligence</td>
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</table>

### Criminal Offences

<table>
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<tr>
<th>Category</th>
<th>On Campus Student Housing</th>
<th>On Campus Other</th>
<th>On Campus (Total)</th>
<th>Non-Campus</th>
<th>Public Property</th>
</tr>
</thead>
<tbody>
<tr>
<td>Robbery</td>
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<td>1</td>
<td>0</td>
<td>0</td>
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<tr>
<td>Burglary</td>
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<tr>
<td>Motor Vehicle Theft</td>
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<td>0</td>
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<td>Arson</td>
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</table>

### Sex Offenses

<table>
<thead>
<tr>
<th>Category</th>
<th>On Campus Student Housing</th>
<th>On Campus Other</th>
<th>On Campus (Total)</th>
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<th>Public Property</th>
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</thead>
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<tr>
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<td>4</td>
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</tr>
<tr>
<td>Incest</td>
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<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Rape</td>
<td>2</td>
<td>0</td>
<td>2</td>
<td>1</td>
<td>0</td>
</tr>
<tr>
<td>Statutory Rape</td>
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<td>1</td>
<td>1</td>
<td>0</td>
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</tbody>
</table>
### Violence Against Women Act (VAWA)

<table>
<thead>
<tr>
<th>Category</th>
<th>On Campus Student Housing</th>
<th>On Campus Other</th>
<th>On Campus (Total)</th>
<th>Non-Campus</th>
<th>Public Property</th>
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<tbody>
<tr>
<td>Dating Violence</td>
<td>1</td>
<td>1</td>
<td>2</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Domestic Violence</td>
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<td>0</td>
</tr>
<tr>
<td>Stalking</td>
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</table>

### Liquor, Drug & Weapons Law Violations

#### Arrests

<table>
<thead>
<tr>
<th>Category</th>
<th>On Campus Student Housing</th>
<th>On Campus Other</th>
<th>On Campus (Total)</th>
<th>Non-Campus</th>
<th>Public Property</th>
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#### Judicial Referrals

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*There were no unfounded crimes reported by local law enforcement for 2018, 2019, 2020*

*There were no reported hate crimes for 2018*
# Rinker Health Science Campus: Crime Statistics

## 2020

### Criminal Homicide

<table>
<thead>
<tr>
<th>Category</th>
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<th>On Campus (Total)</th>
<th>Non-Campus</th>
<th>Public Property</th>
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<td>N/A</td>
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<td>Manslaughter by Negligence</td>
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### Criminal Offences

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<th>On Campus (Total)</th>
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<td>N/A</td>
<td>0</td>
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<tr>
<td>Burglary</td>
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<td>N/A</td>
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<tr>
<td>Motor Vehicle Theft</td>
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<td>N/A</td>
<td>0</td>
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### Violence Against Women Act (VAWA)

<table>
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<th>Non-Campus</th>
<th>Public Property</th>
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### Liquor, Drug & Weapons Violations

#### Arrest

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<th>Public Property</th>
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#### Disciplinary Referrals

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<th>Public Property</th>
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<td>Illegal Weapons Possession</td>
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### Crime Statistics - 2019

#### Criminal Homicide

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<td>Manslaughter by Negligence</td>
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#### Criminal Offences

<table>
<thead>
<tr>
<th>Category</th>
<th>On Campus Student Housing</th>
<th>On Campus Other</th>
<th>On Campus (Total)</th>
<th>Non-Campus</th>
<th>Public Property</th>
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</thead>
<tbody>
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<td>Robbery</td>
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<td>N/A</td>
<td>0</td>
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<tr>
<td>Aggravated Assault</td>
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<td>0</td>
<td>0</td>
<td>N/A</td>
<td>0</td>
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<tr>
<td>Burglary</td>
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<td>0</td>
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<tr>
<td>Motor Vehicle Theft</td>
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#### Sex Offenses

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<th>Non-Campus</th>
<th>Public Property</th>
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## Violence Against Women Act (VAWA)

<table>
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<th>Public Property</th>
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## Liquor, Drug & Weapons Violations

### Arrest

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<th>Non-Campus</th>
<th>Public Property</th>
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### Disciplinary Referrals

<table>
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<th>Public Property</th>
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## Crime Statistics – 2018

### Criminal Homicide

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<tr>
<td>Murder/Non-negligent Manslaughter</td>
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<td>Manslaughter by Negligence</td>
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### Criminal Offences

<table>
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<tr>
<th>Category</th>
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<th>On Campus Other</th>
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<th>Non-Campus</th>
<th>Public Property</th>
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<tbody>
<tr>
<td>Robbery</td>
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<tr>
<td>Aggravated Assault</td>
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<td>0</td>
<td>N/A</td>
<td>0</td>
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<tr>
<td>Burglary</td>
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<td>Motor Vehicle Theft</td>
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### Sex Offenses

<table>
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<tr>
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<th>On Campus (Total)</th>
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<th>Public Property</th>
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<tbody>
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<td>Sex Offense: Fondling</td>
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<td>Sex Offense: Statutory Rape</td>
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### Violence Against Women's Act (VAWA)

<table>
<thead>
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### Liquor, Drug & Weapons Violations

#### Arrests

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<td>Illegal Weapons Possession</td>
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#### Disciplinary Referrals

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<tr>
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<th>On Campus Other</th>
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<th>Public Property</th>
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*There were no unfounded crimes reported by local law enforcement for 2018, 2019, 2020.*
Clery Act: Hate Crime Statistics

There were no reported Hate Crimes for 2018, 2019, 2020.

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<th>Religion</th>
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