**Russia Sanctions for Researchers**

Recently, the U.S. federal government has taken significant action toward Russia, Belarus and the Donetsk People’s Republic (DNR), Luhansk People’s Republic (LNR), and Crimea regions of Ukraine, as well as individuals and entities supporting the Russian government in these regions following their invasion of Ukraine.

These regulations are rapidly changing and may impact current or future collaborations, shipments, travel, and financial transactions. Please contact the Assistant Vice President of Research Integrity & Compliance at officeofresearch@chapman.edu to discuss potential collaborations before initiating them.

#### Shipping

FedEx, UPS, and DHL have suspended deliveries to Russia, Belarus, and Ukraine due to the current conflict in Ukraine. The U.S. Postal Service (USPS) is currently the only shipping option for these countries, although USPS may also experience interruptions or be unavailable depending upon circumstances on the ground.

#### Export Restrictions and License Requirements

The U.S. government has also imposed export licensing requirements for almost all items and technology being exported or re-exported to Russia or Belarus. The export licensing requirements also apply to hand-carried items. Any shipments, hand-carried items, or transfer of items and technology to Russia, Belarus, or the Donetsk People’s Republic (DNR), Luhansk People’s Republics (LNR), and Crimea regions of Ukraine must be reviewed by Penn’s Export Compliance Office in ORS.

In the event you need to ship materials to Russia, Ukraine, or Belarus in the near future, please contact the Chapman Office of Research Compliance at officeofresearch@chapman.edu.

#### Financial Sanctions and Restricted Parties

The U.S. government has implemented blocking sanctions on most major Russian banks and placed multiple businesses and individuals on restricted parties lists due to their support of the Russian government. Financial transactions and other activities with these restricted parties may require prior authorization from the U.S. Departments of Commerce or Treasury.

Chapman uses Visual Compliance software to identify prohibited/restricted parties through Restricted Party Screening. If you need help with screening, please contact the Chapman Office of Research Compliance at officeofresearch@chapman.edu.

**Additional Background**

The following links outline new U.S. regulations that restrict most activities and collaborations with Russia and Belarus, including, but not limited to, the shipment or importation of what is considered “luxury goods”:

* [Department of State Fact Sheet: How the United States is Holding Russian and Belarus Accountable](https://www.state.gov/holding-russia-and-belarus-accountable/#export-import-controls)
* [Department of Treasury: Russian Harmful Foreign Activities Sanctions](https://home.treasury.gov/policy-issues/financial-sanctions/sanctions-programs-and-country-information/russian-harmful-foreign-activities-sanctions)
* [Department of Treasury: Belarus Sanctions](https://home.treasury.gov/policy-issues/financial-sanctions/sanctions-programs-and-country-information/belarus-sanctions)
* [Department of Commerce Fact Sheet](https://www.bis.doc.gov/index.php/policy-guidance/country-guidance/russia-belarus)

The White House published a [press release](https://www.whitehouse.gov/ostp/news-updates/2022/06/11/guidance-on-scientific-and-technological-cooperation-with-the-russian-federation-for-u-s-government-and-u-s-government-affiliated-organizations/) on June 11, 2022 titled “Guidance On Scientific and Technological Cooperation with the Russian Federation for U.S. Government and U.S. Government Affiliated Organizations, which outlines the U.S. Government’s plan to address science and technology research involving the Russian government. Many of the plans put forward in the Press Release appear to primarily concern interactions with universities and research institutions that are considered “Russian Government-affiliated.”

**University Impact of The Press Release**

The primary purpose of the Press Release appears to “limit the bilateral science and technology research cooperation with the Russian government.” The Press Release goes on to state that the White House will “wind down institutional, administrative, funding, and personnel relationships and research collaborations in the fields of science and technology…” These plans to wind down interactions seem to apply not only to the Russian Government-affiliated institutions but also to individuals employed by, or who work under, the directions of those institutions.

Projects initiated/funded before February 2022 should be exempt from these new policy restrictions if you have a project/interaction that started after that date.

Though the Press Release does provide useful information on the White House's plans for Russia moving forward, it should be noted that the U.S. response to Russia’s invasion is a rapidly evolving situation.

Please contact the Chapman Office of Research Compliance at officeofresearch@chapman.edu to discuss potential collaborations before initiating them.

**References:**

# [Implementation of Additional Sanctions Against Russia and Belarus Under the Export Administration Regulations (EAR) and Refinements to Existing Controls](https://www.federalregister.gov/documents/2023/05/23/2023-10774/implementation-of-additional-sanctions-against-russia-and-belarus-under-the-export-administration) - (BIS) – May 23, 2023

# [Implementation of Additional Sanctions Against Russia and Belarus Under the Export Administration Regulations (EAR) and Refinements to Existing Controls](https://www.federalregister.gov/documents/2023/02/27/2023-03927/implementation-of-additional-sanctions-against-russia-and-belarus-under-the-export-administration) – Bureau of Industry and Security (BIS) – February 27, 2023

# [Implementation of Additional Sanctions Against Russia and Belarus Under the Export Administration Regulations (EAR) and Refinements to Existing Controls](https://www.federalregister.gov/documents/2022/09/16/2022-19910/implementation-of-additional-sanctions-against-russia-and-belarus-under-the-export-administration?utm_campaign=subscription+mailing+list&utm_source=federalregister.gov&utm_medium=email) – Bureau of Industry and Security (BIS) – September 16, 2022

We thank our colleagues at the University of [North Carolina at Chapel Hill](https://iirm.unc.edu/export-control/application-of-export-controls/comprehensive-sanctions/) and the [University of Pennsylvania](https://researchservices.upenn.edu/areas-of-service/export-compliance/3238-2/) for their help with this page.