

From the Office of Institutional Compliance

Role

The Institutional Compliance Committee (ICC) supports Chapman University in providing broad oversight of university-related regulatory issues.

Responsibilities

The ICC:

1. Provides guidance and input on the development of a comprehensive compliance program.
2. Identifies and discusses compliance-related topics that could affect the university.
3. Promotes compliance within the members' respective units and throughout the university.
4. Aids in the identification of compliance risks where exposures may not be fully addressed.
5. Assists in the identification of compliance-related educational needs.
6. Identifies policies and procedures that are needed to ensure regulatory requirements.
7. Develops, implements, and improves the compliance program within the members' respective units, based on best practices delineated in the U.S. Federal Sentencing Guidelines.
8. Encourages open communication among campus compliance units and senior university leadership.

Membership

The Chair of the ICC is the Chief Compliance Officer.

FY 25-26 membership includes:

Name	Title/Department
Peter Aguila	Director of Compliance Programs/Institutional Compliance
Gail Nishida (Chair)	Chief Compliance Officer/Institutional Compliance
Linda Young	Director of Internal Audit/Internal Audit
Hima Vatti (Advisory)	Senior Vice President & General Counsel/Legal Affairs
Meredith Grant (Advisory)	Senior Associate General Counsel/Legal Affairs
Albert Su (Advisory)	Associate General Counsel/Legal Affairs
Shannon Turner	Interim Vice President & Controller/Financial Services
Essam Ulhaq	Associate Vice President of Finance/ Financial Services
Phillip Lyle	Interim Chief Information Officer/IS&T
Keith Barros	Chief Information Security Officer/IS&T
Brenda Rushforth	Vice President/Chief Human Resource Officer
Michael Nee	Assistant Vice President of Employee Care and Recognition/HR
Dennis Kwarteng	Director of Equal Opportunity & Investigation/HR
Martina Nieswandt	Vice President of Research
Jen Donais	Assistant Vice President of Research

Name	Title/Department
Al Vasquez	Vice President/Enterprise Risk and Safety
Rick Gonzalez	Chief/Public Safety
Colleen Wood	Assistant Vice President/Associate Dean of Students/Lead Title IX Coordinator
Tristan Hilpert	Assistant Dean of Students/Student Affairs
Susie Park	Assistant Vice President of Gift Administration and Stewardship/University Advancement
Vivek Arora	Associate Vice President Marketing & Digital Strategy
Kristin Beavers	Director, Global Education/Office of the Provost
Eileen Besner	Director of Faculty Affairs/Office of the Provost
Jim Whitaker	Associate Vice President for Enrollment/Chief Financial Aid Officer/Office of Enrollment
Nidhi Vogt	Vice President and Deputy Chief of Staff

Meetings

The ICC will meet quarterly or more frequently at the discretion of the Chair.

FY 25-26 – New members are highlighted in yellow

Revised: October 14, 2025

Approved: October 14, 2025